

SEP 05 2018

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UNITED STATES HOUSE OF REPRESENTATIVES
FINANCIAL DISCLOSURE STATEMENTFORM B
For New Members, Candidates, and New Employees

LEGISLATIVE RESOURCE CENTER

18 SEP 12 PM 3:58

Name: Kathryn Gail Ellis Daytime Telephone: _____OFFICE OF THE CLERK
U.S. HOUSE OF REPRESENTATIVES (Office Use Only)

FILER STATUS	<input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives	State: <u>MISSOURI</u> 8th District: <u>8</u>	<input type="checkbox"/> Check if Amendment	Period Covered: January 1, <u>2018</u> to <u>5/15/18</u>	A \$200 penalty shall be assessed against any individual who files more than 30 days late.
	<input type="checkbox"/> Candidates - Date of Election: _____	<input type="checkbox"/> New Officer or Employee Employing Office: _____	Staff Filer Type (If Applicable): <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant		

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"
THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE D - LIABILITIES

Name: _____

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001- \$15,000	\$15,001- \$50,000	\$50,001- \$100,000	\$100,001- \$250,000	\$250,001- \$500,000	\$500,001- \$1,000,000	\$1,000,001- \$5,000,000	\$5,000,001- \$25,000,000	\$25,000,001- \$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/98	Mortgage on Rental Property, Dover, DE				X							
JT	Blommsdale Bank (Home Equity Loan)	4/14/16	HELOC	X										

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature. **New Members and second-year candidates** report positions held in the reporting period and the current calendar year. **First-year candidates and new employees** report positions held in the current calendar year and two previous years.

Position	Name of Organization
Vice-Chair of the Contra Board	Contra, Inc. - uncompensated
Secretary of the ASP Board	"A Safe Place" Foundation - uncompensated

SCHEDULE F – AGREEMENTS

Name: Kathryn Gail Ellis

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement

SCHEDULE J – COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

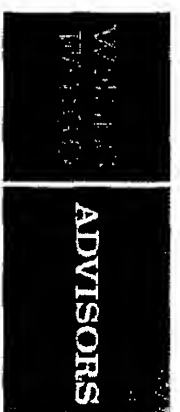
Source (Name and City/State)		Brief Description of Duties
Example:	Doe Jones & Smith, Hometown, Homestate	Accounting Services

FILER NOTES
(Optional)

Name: Kathryn Gail Ellis

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Use additional sheets if more space is required.



September 5, 2018

Gettinger Current WFA Portfolio Holdings

Prepared for:
Ann Gettinger

Prepared by:
Kyle J. Gowen, MBA
Financial Advisor
Mahn Wealth Management Group of Wells Fargo Advisors

1311 Veterans Blvd
PO Box 727
Festus, MO 63028

Investment and Insurance Products:

► NOT FDIC-insured ► NO Bank Guarantee ► MAY Lose Value

This report is prepared by your Financial Advisor using software provided by Wells Fargo Advisors. Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC, a registered broker-dealer and non-bank affiliate of Wells Fargo & Company.

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Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.

Fixed Income Securities (Bonds): Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds) or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency, sovereign, supranational, and local authority debt), and corporate bonds.

Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bond's price. Lower rated securities are speculative and involve greater risk of default.

Term: Short-term bonds have effective maturities of five years or less, intermediate bonds have effective maturities between five and ten years; and long-term bonds have maturities of ten years or longer.

Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).

Real Assets: Real Assets includes Public Real Estate, Private Real Estate and Commodities. Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage REITs will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.

Multi-Class: This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes.

Cash Alternatives: Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC and Wells Fargo Advisors Financial Network, LLC, separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company. CAR 0717-03307

Understanding Your Portfolio

General Information

Investing involves risk, including the possible loss of principal. This report is intended to provide you with a descriptive overview of your current portfolio. The report may encompass one account or multiple accounts as specified in the report. The material has been prepared or is distributed solely for information purposes and does not supersede the proper use of your Wells Fargo Advisors client statements and/or trade confirmations, which are considered to be the official and accurate records of your account activity. Any market prices are only indications of market values, are subject to change, and may not reflect the value at which the securities could be sold. Reported prices should not be considered actual bids. For these reports, in certain groupings, Corporate Bonds includes Certificates of Deposit. Additionally, the report is prepared as of trade date, rather than settlement date, and may be prepared on a different date than your statement. The information contained in this report may not reflect all holdings or transactions, their costs, or proceeds in your account.

The report may also include information you provided about assets held at other firms. Information on assets held away from Wells Fargo Advisors was provided by you and may not be covered by SIPC. We have relied solely on information from you regarding those assets. We do not verify or confirm those assets held with other firms or affiliates and you are responsible for notifying your Financial Advisor of any changes in your externally held investments including cost basis. Incomplete or inaccurate cost basis will affect your plan results because the tax assumptions are incorrect. Due to timing issues, if this report includes assets held at a Trust Company, positions and market data should be verified. Before making any decisions please validate your account information with your Financial Advisor. The financial data used to generate this report is provided to Wells Fargo Advisors by third party vendors. While this information is believed to be reliable, it has not been verified. Security ID will be ticker symbol, CUSIP number, Security Number or, if you have provided us with a market value of a generic asset classification type, we will assign a dash. This report is not complete unless all pages noted are included. For more detailed information, including current pricing, call your Financial Advisor.

To the extent that this report includes fixed income securities, you should be aware that the descriptions of fixed income securities are general in nature and do not reflect important information that you should consider, such as but not limited to, yield, call features, credit quality, and various tax implications. Before making any decisions regarding fixed income investments, contact your advisor to obtain more detailed information regarding specific fixed income securities.

Asset classification of holdings in external accounts where classification is not readily available may be assigned to a multi-asset class category or reassigned into additional asset classes by your Financial Advisor which may not be the most accurate asset class based on the holding's characteristics and risk profile. It is your responsibility to review the asset classification for external accounts and notify us of any changes.

Asset Classes for mutual funds, variable annuities and exchange-traded funds are derived from Morningstar Categories. Underlying holdings classification provided by Morningstar. ©2018 Morningstar, Inc. All Rights Reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

External Accounts Included in Your Report

As a service, we may have included your assets and/or your liabilities held at other financial institutions. We assume no responsibility for the accuracy or completeness of the information you provided either to your Financial Advisor or through any third party aggregation service regarding your assets or liabilities held at other firms. We may update the pricing of these securities; however, there may be cases when updating prices is not possible. In addition, any transactions, values or changes in your external accounts will not be reflected unless you provide updated information to your Financial Advisor. In instances where you use a third party aggregation service, we rely on you to take action when notified by the third party service that updates are needed. The accuracy and completeness of the information you provide may materially affect the results and any recommendations contained in this report.

If we have included or if you have provided us with information on accounts managed by you or an affiliate of Wells Fargo Advisors, including self-directed WellsTrade accounts at Wells Fargo Advisors, and fiduciary accounts at Wells Fargo Bank, N.A., you should understand that Wells Fargo Advisors has no authority to manage, direct or influence the accounts. With respect to such accounts, the Strategic Allocation listed in this report is for informational purposes only and should not be considered a recommendation from Wells Fargo Advisors or your Financial Advisor. The views, opinions, asset allocation models and forecasts may differ from our affiliates.

By providing you this report, neither the firm nor your Financial Advisor is acting as a fiduciary for purposes of ERISA or section 4975 of the Code with respect to any external ERISA-covered employee benefit plan or any external individual retirement account in either the planning, execution or provision of this analysis, unless separately contracted to act as a fiduciary with respect to such an account. Any asset allocation information presented in this report for external 401(k), 403(b), Government 457(b), Defined Benefit Plan, Trustee Defined Profit Sharing Plan or individual retirement accounts is for general asset allocation education and informational purposes only and should not be viewed as fiduciary investment advice.

Asset Class Descriptions

The asset class descriptions below are included solely to provide insight into how individual securities are tied to specific asset classes. We use our best efforts to correctly classify investments. However, no warranty of accuracy is made.

Equity Investments: Equity investments refer to buying stocks of United States companies. The investment return to the owner of stock (shareholder) is in the form of dividends and/or capital appreciation. The market capitalization of companies is used to group large, medium (Mid), and small companies. Shareholders share in both the upside potential and the downside risk.

Capitalization: Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar Index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 90th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

Total Portfolio Value as of 09/04/18: \$ 707,511

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

MMIX	MFS MUNICIPAL HIGH CL I	566	8.19	4,640	0.7%
MEIX	MFS VALUE I	116	40.97	4,736	0.7%
NUVBX	NUVEEN MUN TRUST CLASS I	337	9.15	3,087	0.4%
OIBYX	OPPENHMR INTL BOND Y	415	5.36	2,223	0.3%
PEGZX	PGIM JENNISON MID-CAP Z	57	41.28	2,346	0.3%
PCRIX	PIMCO COMM REAL RETURN I	242	6.35	1,536	0.2%
PRFSX	T ROWE PR T/F SHRT INTR	557	5.53	3,081	0.4%
TRBCX	T ROWE PRICE BLE CHP GRW	41	113.99	4,693	0.7%
PRINX	T ROWE PRICE MUN INCM FD	328	11.72	3,848	0.5%
PRSMX	T ROWE PRICE SUMMIT MUN	924	11.67	10,783	1.5%
EMGNX	WELLS FARGO E/MKT INSTL	121	23.87	2,892	0.4%
GETTINGER ANN M (XXXX1094)					
ABEMX	ABERDEEN EMRNG INSTL I	45	14.11	629	0.1%
WMFFX	AMER FDS WASH MUTUAL F2	43	46.50	2,007	0.3%
EIBIX	EV INCOME FD OF BOSTON I	72	5.60	403	0.1%
FTIXX	GOLDMAN FINL SQ TREAS MM	214	1.00	214	0.0%
JFLEX	JANUS FLEXIBLE BD FD I	152	10.03	1,528	0.2%
VSEIX	JPMORGAN S/C EQUITY FD I	19	64.19	1,227	0.2%
MWITX	METROPOLITAN WEST FDS I	228	10.38	2,368	0.3%
MEDIK	MFS EMRG MKTS DEBT I	88	13.70	1,210	0.2%
POSIX	PRINCIPL GLBL R/E SEC IN	73	9.73	709	0.1%
TROXX	T ROWE PR INTL OVERSEAS	59	11.00	652	0.1%
TRBCX	T ROWE PRICE BLE CHP GRW	16	113.99	1,870	0.3%
TMCPX	TOUCHSTONE MID CAP INST	37	36.20	1,355	0.2%
Cash Alternative					
Ann's Trust - Managed DSIP (XXXXX9760)					
-	Cash Alternative	7,538	1.00	18,692	2.6%
Ann's Trust - Asset Advisor (XXXXX9259)					
-	Cash Alternative	0	1.00	7,538	1.1%
Ann's Trust - Inherited (XXXXX9854)					
-	Cash Alternative	11,147	1.00	0	0.0%
Ann's Bene IRA (XXXXX9926)					
-	Cash Alternative	7	1.00	11,147	1.6%
Portfolio Summary Total					
-	Cash Alternative	7	1.00	7	0.0%
				707,511	100.0%

60636WRQ0	MO ST 5.0%05/01/23	10,000	113.07	11,307	1.6%
60636SED2	MO ST 5.0%06/01/21	10,000	107.01	10,701	1.5%
606891XG0	MO ST 3.25%04/15/27	10,000	100.84	10,084	1.4%
667538GD2	NORTHWEST MO4.0%06/01/27	10,000	103.65	10,365	1.5%
949837PC5	WELLS VAR% 020521	50,000	126.41	63,205	8.9%

Fund

Ann's Trust - Asset Advisor (XXXXX9259)

AEPEX	AMER FDS EUROPACIFIC F2	153	52.71	301,748	42.6%
ANWEX	AMER FDS NEW PERSPECT F2	195	46.46	195,632	27.7%
CSRXX	COHEN & STEERS INSTL	194	44.01	8,534	1.2%
FMKXX	FIDELITY ADV EMRG MKTS I	971	12.54	12,181	1.7%
EGTX	GLDMN SACH FNL SQ GOVT I	3,649	1.00	3,649	0.5%
HFMXX	HARTFORD MIDCAP I	278	35.13	9,761	1.4%
LAHYX	LORD ABBETT HIGH YIELD I	1,755	7.51	13,183	1.9%
ODVXX	OPPENHMR DEVELOPING MKTS Y	491	40.77	20,001	2.8%
PRMTX	T ROWE COMMUNICATIONS	93	108.78	10,102	1.4%
RPMGX	T ROWE PRICE MID CAP GRW	268	98.19	26,336	3.7%
VSOXX	VICTORY SYCAMORE SMALL I	908	51.62	46,883	6.6%
HIEMX	VIRTUS VONTOBEL EMG I	2,098	10.82	22,705	3.2%
AES3X	VOYA SMALL CO FD CL I	286	18.15	5,198	0.7%

Ann's Bene IRA (XXXXX9926)

AEPSX	AMER FDS EUROPACIFIC A	32	52.82	1,689	0.2%
AGTHX	AMER FDS GRWTH FD AMR A	84	56.04	4,716	0.7%
AIVSX	AMER FDS INVST CO AMR A	104	41.48	4,324	0.6%
SMCWX	AMER FDS SMALLCAP WLD A	33	60.71	2,023	0.3%
ANEX	NEW ECONOMY FD SBI CL A	36	49.30	1,761	0.2%

Ann's Trust - FundSource (XXXXX147)

AEPEX	AMER FDS EUROPACIFIC F2	85	52.71	4,494	0.6%
BLRYX	BROOKFIELD GLOBAL R/E Y	175	13.01	2,282	0.3%
BAFSX	BROWN ADVSR S/CAP INSTL	82	43.83	3,616	0.5%
CSRXX	COHEN & STEERS RLTY SHS	24	65.31	1,575	0.2%
DHLRX	DIAMOND HILL LARGE CAP I	203	27.49	5,577	0.8%
FTDXX	GOLDMAN FINL SQ TREAS MM	772	1.00	772	0.1%
JGYIX	JOHN HANCOCK GLB SH I	203	11.30	2,299	0.3%
SEEGX	JPMORGAN LGE CAP GRWTH I	52	46.56	2,404	0.3%
LVCYX	LORD ABBETT VALUE OPPTS I	304	22.34	6,793	1.0%
MEDIX	MFS EMRG MKTS DEBT I	274	13.70	3,754	0.5%

EMR	EMERSON ELECTRIC CO	54	76.40	4.126	0.6%
ES	EVERSOURCE ENERGY	58	62.42	3.620	0.5%
XOM	EXXON MOBIL CORP	36	80.29	2.890	0.4%
FDS	FACTSET RESEARCH SYS INC	16	232.16	3.715	0.5%
GD	GENL DYNAMICS CORP COM	29	192.61	5.586	0.8%
GIS	GENL MILLS INC	50	45.92	2.296	0.3%
HRS	HARRIS CORP DEL	33	162.37	5.358	0.8%
ITW	ILL TOOL WORKS INC	37	138.94	5.141	0.7%
IBM	INTL BUSINESS MACH CORP	18	145.68	2.622	0.4%
JNJ	JOHNSON & JOHNSON	39	133.72	5.215	0.7%
JPM	JPMORGAN CHASE & CO	30	115.15	3.455	0.5%
LOW	LOWES COMPANIES INC	67	109.93	7.365	1.0%
MCD	MCDONALDS CORP	22	161.72	3.558	0.5%
MDT	MEDTRONIC PLC	63	95.56	6.020	0.9%
MSFT	MICROSOFT CORP	99	111.71	11.059	1.6%
NEE	NEXTERA ENERGY INC	34	170.03	5.781	0.8%
NKE	NIKE INC CLASS B	37	79.60	2.945	0.4%
NSC	NORFOLK SOUTHERN CORP	32	174.36	5.580	0.8%
NVS	NOVARTIS AG	34	82.56	2.807	0.4%
PAYX	PAYCHEX INC	64	73.08	4.677	0.7%
PEP	PEPSICO INCORPORATED	30	111.00	3.330	0.5%
PSX	PHILLIPS 66	39	118.96	4.639	0.7%
PJL	POLARIS INDS INC	25	108.70	2.718	0.4%
PX	PRAXAIR INC	24	156.18	3.748	0.5%
PG	PROCTER & GAMBLE CO	31	82.70	2.564	0.4%
QCOM	QUALCOMM INC	45	69.98	3.149	0.4%
SY	SYSCO CORPORATION	68	75.35	5.124	0.7%
TGT	TARGET CORP	37	88.95	3.291	0.5%
UTX	UNITED TECHNOLOGIES CORP	33	131.90	4.353	0.6%
USB	US BANCORP NEW	67	54.24	3.634	0.5%
VFC	VFC CORPORATION	60	91.88	5.513	0.8%
WMT	WALMART INC	44	95.36	4.196	0.6%
WEC	WEC ENERGY GROUP INC	58	68.26	3.959	0.6%
Ann's Trust - Inherited (XXXX9854)					
AEE	AMEREN CORP	310	63.75	19.763	2.8%
Bond					
Ann's Trust - Inherited (XXXX9854)					
16645PEC0	CHESTERFIELD MO4.4%12/01/18	10,000	100.67	147.323	20.8%
485116QR1	KANSAS 4.5% 12/1/2022	10,000	109.72	10.067	1.4%
606296FSS	MO ST 4.0%10/01/20	20,000	103.11	10.972	1.6%
				20.622	2.9%

Portfolio Summary - Security Detail

By: Security Sub Type



● Common Stock (33.89%)
 ● Bond (20.82%)
 ● Fund (42.65%)
 ● Cash Alternative (2.64%)

Common Stock

Ann's Trust - Managed DSIP (XXXXX9760)

Common Stock	22	208.60	239,749	33.9%
MMM	22	208.60	219,986	31.1%
ABT	77	67.11	4,589	0.6%
ACN	28	169.50	5,167	0.7%
AFL	116	48.57	4,746	0.7%
APD	23	164.34	5,402	0.8%
AMGN	26	196.73	3,780	0.5%
ADI	55	98.11	5,115	0.7%
T	85	31.86	5,396	0.8%
ADP	38	145.81	2,708	0.4%
BDX	25	261.93	5,541	0.8%
BLK	8	475.78	6,548	0.9%
CVX	30	118.87	3,806	0.5%
CB	34	136.20	3,566	0.5%
CSCO	120	47.73	4,631	0.7%
CLX	30	146.12	5,728	0.8%
CL	43	65.23	4,384	0.6%
EV	39	52.32	4,384	0.6%
VTG	39	52.32	2,805	0.4%
EATON VANCE CORP NON			2,040	0.3%

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Portfolio Summary

By: Security Sub Type



- Common Stock (33.89%)
- Bond (20.82%)
- Fund (42.55%)
- Cash Alternative (2.64%)

Common Stock

Anr's Trust - Managed DSIP (XXXXX9760) 239,749 33.9%

Anr's Trust - Inherited (XXXXX9854) 219,986 31.1%

Anr's Trust - Inherited (XXXXX9854) 19,763 2.8%

Bond

Ann's Trust - Inherited (XXXXX9854) 147,323 20.8%

Ann's Trust - Inherited (XXXXX9854) 147,323 20.8%

Fund

Ann's Trust - Asset Advisor (XXXXX9259) 301,748 42.6%

Ann's Bene IRA (XXXXX9926) 195,632 27.7%

Ann's Trust - FundSource (XXXXX8147) 14,515 2.1%

Ann's Trust - FundSource (XXXXX8147) 77,430 10.9%

GETTINGER ANN M (XXXXX1094) 14,172 2.0%

Cash Alternative

Ann's Trust - Managed DSIP (XXXXX9760) 18,692 2.6%

Ann's Trust - Asset Advisor (XXXXX9259) 7,538 1.1%

Ann's Trust - Inherited (XXXXX9854) 0 0.0%

Ann's Bene IRA (XXXXX9926) 11,147 1.6%

Ann's Bene IRA (XXXXX9926) 7 0.0%

Portfolio Summary Total

707,511

100.0%

Total Portfolio Value as of 09/04/18: \$ 707,511

Accounts Included in the Report

XXXX9760	GETTINGER ANN M	Ann's Trust - Managed DSIP	Taxable	Internal	9/4/2018
XXXX9259	GETTINGER ANN M	Ann's Trust - Asset Advisor	Taxable	Internal	9/4/2018
XXXX9854	GETTINGER ANN LIV TR GETTINGER ANN M	Ann's Trust - Inherited	Taxable	Internal	9/4/2018
XXXX9926	GETTINGER ANN M	Ann's Bene IRA	Deferred	Internal	9/4/2018
XXXX8147	GETTINGER ANN M	Ann's Trust - FundSource	Taxable	Internal	9/4/2018
XXXX1094	GETTINGER ANN M		Exempt	Internal	9/4/2018

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAH/NIGOWEN

Prepared For:
1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
1	3M CO	MMM	8/22/2011 ^c	77.15 ⁿ	77.1490	2/2/2017	173.95	173.9500	96.80	125.47	LONG
6		MMM	8/22/2011 ^c	462.90 ⁿ	77.1490	3/2/2017	1,138.42	189.7400	675.52	145.93	LONG
4		MMM	8/22/2011 ^c	308.60 ⁿ	77.1490	1/19/2018	989.01	247.2580	680.41	220.48	LONG
				848.65			2,301.38		1,452.73		
3	ABBOTT LABORATORIES	ABT	7/17/2015 ^c	149.33 ⁿ	49.7761	2/2/2017	127.40	42.4650	-21.93	-14.69	LONG
20		ABT	7/17/2015 ^c	995.53 ⁿ	49.7761	3/2/2017	909.58	45.4800	-85.95	-8.63	LONG
13		ABT	7/17/2015 ^c	647.09 ⁿ	49.7761	1/19/2018	771.85	59.3745	124.76	19.28	LONG
				1,791.95			1,808.83		16.88		
4	ACCENTURE PLC IRELAND ACN	ACN	11/1/2017 ^c	569.97 ⁿ	142.4917	1/19/2018	642.59	160.6498	72.62	12.74	SHORT
2	AFLAC INC	AFL	8/22/2011 ^c	69.50 ⁿ	34.7473	2/2/2017	135.03	67.5134	65.53	94.29	LONG
14		AFL	8/22/2011 ^c	486.46 ⁿ	34.7473	3/2/2017	1,012.37	72.3133	525.91	108.11	LONG
10		AFL	8/22/2011 ^c	347.47 ⁿ	34.7473	1/19/2018	869.04	86.9060	521.57	150.11	LONG
				903.43			2,016.44		1,113.01		
1	AIR PRODUCTS & CHEMICALS	APD	8/22/2011 ^c	69.22 ⁿ	69.2180	2/2/2017	138.79	138.7901	69.57	100.51	LONG
5		APD	8/22/2011 ^c	346.09 ⁿ	69.2180	3/2/2017	706.83	141.3701	360.74	104.23	LONG
4		APD	8/22/2011 ^c	276.87 ⁿ	69.2180	1/19/2018	667.63	166.9128	390.76	141.13	LONG
				692.18			1,513.25		821.07		
7	AMGEN INC	AMGN	11/23/2015 ^c	1,137.42 ⁿ	162.4876	3/2/2017	1,244.73	177.8232	107.31	9.43	LONG
4		AMGN	11/23/2015 ^c	649.95 ⁿ	162.4876	1/19/2018	752.74	188.1910	102.79	15.82	LONG
				1,787.37			1,997.47		210.10		
16	ANALOG DEVICES INC	ADI	8/22/2011 ^c	497.91 ⁿ	31.1191	3/2/2017	1,339.23	83.7035	841.32	166.97	LONG
9		ADI	8/22/2011 ^c	280.07 ⁿ	31.1191	1/19/2018	869.90	96.6580	589.83	210.60	LONG
				777.98			2,209.13		1,431.15		
25	AT & T INC	T	8/22/2011 ^c	711.91 ⁿ	28.4765	3/2/2017	1,047.84	41.9145	335.93	47.19	LONG
14		T	8/22/2011 ^c	398.67 ⁿ	28.4765	1/19/2018	517.33	36.9528	118.66	29.76	LONG
				1,110.58			1,565.17		454.59		
2	AUTOMATIC DATA PROCESSIN	ADP	8/22/2011 ^c	81.92 ⁿ	40.9588	2/2/2017	192.23	96.1150	110.31	134.66	LONG
9		ADP	8/22/2011 ^c	368.63 ⁿ	40.9588	3/2/2017	935.21	103.9148	566.58	153.70	LONG
7		ADP	8/22/2011 ^c	286.71 ⁿ	40.9588	1/19/2018	843.91	120.5620	557.20	194.34	LONG
				737.26			1,971.35		1,234.09		
7	BECTON DICKINSON & CO BDX	BDX	8/22/2011 ^c	538.77 ⁿ	76.9668	3/2/2017	1,291.96	184.5700	753.19	139.80	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss	Term
5		BDX	8/22/2011 ^c	364.83 ⁿ	76.9668	1/19/2018	1,169.47	233.9000	784.64	203.89	LONG
				923.60			2,461.43		1,537.83		
2	BLACKROCK INC	BLK	11/2/2015 ^c	708.60 ⁿ	354.2977	3/2/2017	784.84	392.4301	76.24	10.76	LONG
2		BLK	11/2/2015 ^c	708.60 ⁿ	354.2977	1/19/2018	1,163.65	581.8410	455.05	64.22	LONG
				1,417.20			1,948.49		531.29		
1	CHEVRON CORPORATION	CVX	8/22/2011 ^c	93.56 ⁿ	93.5527	2/2/2017	111.63	111.6300	18.07	19.31	LONG
7		CVX	8/22/2011 ^c	654.85 ⁿ	93.5527	3/2/2017	797.35	113.9100	142.50	21.76	LONG
2		CVX	8/22/2011 ^c	187.10 ⁿ	93.5527	1/19/2018	261.30	130.6548	74.20	39.66	LONG
3		CVX	7/16/2012 ^c	320.85 ⁿ	106.9491	1/19/2018	391.95	130.6548	71.10	22.16	LONG
				1,256.36			1,562.23		305.87		
2	CHUBB LTD	CB	1/15/2016 ^c	222.04 ⁿ	111.0200	2/2/2017	261.03	130.5192	38.99	17.56	LONG
8		CB	1/15/2016 ^c	888.16 ⁿ	111.0200	3/2/2017	1,111.58	138.9501	223.42	25.16	LONG
5		CB	1/15/2016 ^c	555.10 ⁿ	111.0200	1/19/2018	737.41	147.4867	182.31	32.84	LONG
				1,665.30			2,110.02		444.72		
20	CISCO SYS INC	CSGO	8/30/2017 ^c	637.88 ⁿ	31.8937	1/19/2018	825.47	41.2745	187.59	29.41	SHORT
1	CLOROX COMPANY	CLX	8/22/2011 ^c	64.03 ⁿ	64.0242	2/2/2017	120.25	120.2500	56.22	87.80	LONG
8		CLX	8/22/2011 ^c	512.19 ⁿ	64.0242	3/2/2017	1,098.70	137.3401	586.51	114.51	LONG
5		CLX	8/22/2011 ^c	320.12 ⁿ	64.0242	1/19/2018	719.71	143.9456	399.59	124.83	LONG
				896.34			1,938.66		1,042.32		
4	COLGATE PALMOLIVE CO	CL	8/22/2011 ^c	170.62 ⁿ	42.6536	2/2/2017	262.95	65.7400	92.33	54.11	LONG
10		CL	8/22/2011 ^c	426.54 ⁿ	42.6536	3/2/2017	736.93	73.6950	310.39	72.77	LONG
8		CL	8/22/2011 ^c	341.23 ⁿ	42.6536	1/19/2018	619.38	77.4240	278.15	81.51	LONG
				938.39			1,619.26		680.87		
12	EATON VANCE CORP NON VTG	EV	8/22/2011 ^c	256.83 ⁿ	21.4025	3/2/2017	570.47	47.5400	313.64	122.12	LONG
6		EV	8/22/2011 ^c	128.42 ⁿ	21.4025	1/19/2018	361.68	60.2820	233.26	181.64	LONG
				385.25			932.15		546.90		
14	EMERSON ELECTRIC CO	EMR	8/22/2011 ^c	599.83 ⁿ	42.8451	3/2/2017	842.69	60.1935	242.86	40.49	LONG
9		EMR	8/22/2011 ^c	385.61 ⁿ	42.8451	1/19/2018	656.38	72.9334	270.77	70.22	LONG
				985.44			1,499.07		513.63		
4	EVERSOURCE ENERGY	ES	8/22/2011 ^c	128.87 ⁿ	32.2169	2/2/2017	220.31	55.0770	91.44	70.96	LONG
14		ES	8/22/2011 ^c	451.04 ⁿ	32.2169	3/2/2017	820.17	58.5850	369.13	81.84	LONG
10		ES	8/22/2011 ^c	322.17 ⁿ	32.2169	1/19/2018	608.43	60.8437	286.26	88.85	LONG
				902.08			1,648.91		746.83		

Closed Holdings

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized or Loss	% Gain or Loss	Term
2	EXXON MOBIL CORP	XOM	3/15/2011 ^c	161.84 ⁿ	80.9200	2/2/2017	166.34	83.1700	4.50	2.78	LONG
9		XOM	3/15/2011 ^c	728.28 ⁿ	80.9200	3/2/2017	753.02	83.6710	24.74	3.40	LONG
4		XOM	3/15/2011 ^c	323.68 ⁿ	80.9200	1/19/2018	347.44	86.8628	23.76	7.34	LONG
2		XOM	4/11/2012 ^c	165.35 ⁿ	82.6721	1/19/2018	173.73	86.8628	8.38	5.07	LONG
				1,379.15			1,440.53		61.38		
4	FACTSET RESEARCH SYS INC	FDS	8/22/2011 ^c	320.04 ⁿ	80.0099	3/2/2017	714.34	178.5900	394.30	123.20	LONG
3		FDS	8/22/2011 ^c	240.03 ⁿ	80.0099	1/19/2018	599.09	199.7000	359.06	149.59	LONG
				560.07			1,313.43		753.36		
1	GENL DYNAMICS CORP COM	GD	8/22/2011 ^c	58.29 ⁿ	58.2898	2/2/2017	180.04	180.0400	121.75	208.87	LONG
7		GD	8/22/2011 ^c	408.03 ⁿ	58.2898	3/2/2017	1,335.36	190.7700	927.33	227.27	LONG
6		GD	8/22/2011 ^c	349.74 ⁿ	58.2898	1/19/2018	1,248.95	208.1634	899.21	257.11	LONG
				816.06			2,764.35		1,948.29		
3	GENL MILLS INC	GIS	8/22/2011 ^c	108.83 ⁿ	36.2770	2/2/2017	185.53	61.8434	76.70	70.48	LONG
13		GIS	8/22/2011 ^c	471.60 ⁿ	36.2770	3/2/2017	787.07	60.5450	315.47	66.89	LONG
9		GIS	8/22/2011 ^c	326.49 ⁿ	36.2770	1/19/2018	533.83	59.3150	207.34	63.51	LONG
				906.92			1,506.43		599.51		
1	GRAINGER W W INC	GWV	8/22/2011 ^c	132.94 ⁿ	132.9385	2/2/2017	251.38	251.3901	118.44	89.09	LONG
2		GWV	8/22/2011 ^c	265.87 ⁿ	132.9385	3/2/2017	497.69	248.8500	231.82	87.19	LONG
2		GWV	8/22/2011 ^c	265.87 ⁿ	132.9385	1/8/2018	475.20	237.6061	209.33	78.73	LONG
4		GWV	7/16/2012 ^c	756.44 ⁿ	189.1100	1/8/2018	950.40	237.6061	193.96	25.64	LONG
2		GWV	10/10/2013 ^c	510.86 ⁿ	255.4280	1/8/2018	475.20	237.6061	-35.66	-6.98	LONG
1		GWV	7/12/2016 ^c	230.28 ⁿ	230.2773	1/8/2018	237.60	237.6061	7.32	3.18	LONG
				2,162.26			2,887.47		725.21		
3	HARRIS CORP DEL	HRS	8/22/2011 ^c	105.44 ⁿ	35.1459	2/2/2017	313.07	104.3592	207.63	196.92	LONG
7		HRS	8/22/2011 ^c	246.02 ⁿ	35.1459	3/2/2017	771.59	110.2306	525.57	213.63	LONG
5		HRS	8/22/2011 ^c	175.73 ⁿ	35.1459	1/19/2018	729.28	145.8600	553.55	315.00	LONG
				527.19			1,813.94		1,286.75		
1	ILL TOOL WORKS INC	ITW	8/22/2011 ^c	42.39 ⁿ	42.3829	2/2/2017	126.65	126.6501	84.26	198.77	LONG
10		ITW	8/22/2011 ^c	423.83 ⁿ	42.3829	3/2/2017	1,341.71	134.1737	917.88	216.57	LONG
6		ITW	8/22/2011 ^c	254.30 ⁿ	42.3829	1/19/2018	1,029.37	171.5650	775.07	304.79	LONG
				720.52			2,497.73		1,777.21		
1	INTL BUSINESS MACH CORP	IBM	8/22/2011 ^c	159.24 ⁿ	159.2381	2/2/2017	174.45	174.4501	15.21	9.55	LONG
4		IBM	8/22/2011 ^c	636.96 ⁿ	159.2381	3/2/2017	722.90	180.7301	85.94	13.49	LONG

Closed Holdings

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
2	IBM	IBM	8/22/2011 ^c	318.48 ⁿ	159.2381	1/19/2018	325.58	162.7930	7.10	2.23	LONG
				1,114.68			1,222.93		108.25		
1	JM SMUCKER CO	SJM	8/22/2011 ^c	68.16 ⁿ	68.1531	2/2/2017	137.35	137.3500	69.19	101.51	LONG
7		SJM	8/22/2011 ^c	477.07 ⁿ	68.1531	3/2/2017	987.33	141.0501	510.26	106.96	LONG
14		SJM	8/22/2011 ^c	954.12 ⁿ	68.1531	9/28/2017	1,471.69	105.1238	517.57	54.25	LONG
10		SJM	7/16/2012 ^c	765.38 ⁿ	76.5382	9/28/2017	1,051.21	105.1238	285.83	37.34	LONG
7		SJM	10/10/2013 ^c	740.91 ⁿ	105.8440	9/28/2017	735.86	105.1238	-5.05	-0.68	LONG
				3,005.64			4,383.44		1,377.80		
1	JOHNSON & JOHNSON	JNJ	8/22/2011 ^c	63.45 ⁿ	63.4455	2/2/2017	112.95	112.9533	49.50	78.01	LONG
10		JNJ	8/22/2011 ^c	634.45 ⁿ	63.4455	3/2/2017	1,240.07	124.0100	605.62	95.46	LONG
6		JNJ	8/22/2011 ^c	380.66 ⁿ	63.4455	1/19/2018	881.74	146.9600	501.08	131.63	LONG
				1,078.56			2,234.76		1,156.20		
5	JPMORGAN CHASE & CO	JPM	10/26/2017 ^c	509.91 ⁿ	101.9804	1/19/2018	567.20	113.4420	57.29	11.24	SHORT
3	KELLOGG COMPANY	K	3/5/2012 ^c	156.36 ⁿ	52.1203	2/2/2017	219.15	73.0492	62.79	40.16	LONG
9		K	3/5/2012 ^c	469.08 ⁿ	52.1203	3/2/2017	674.77	74.9750	205.69	43.85	LONG
23		K	3/5/2012 ^c	1,198.76 ⁿ	52.1203	9/29/2017	1,434.78	62.3833	236.02	19.69	LONG
17		K	7/16/2012 ^c	822.23 ⁿ	48.3663	9/29/2017	1,060.49	62.3833	238.26	28.98	LONG
5		K	10/10/2013 ^c	301.70 ⁿ	60.3399	9/29/2017	311.92	62.3833	10.22	3.39	LONG
				2,948.13			3,701.11		752.98		
3	LOWES COMPANIES INC	LOW	2/6/2013 ^c	115.23 ⁿ	38.4095	2/2/2017	216.28	72.0946	101.05	87.69	LONG
17		LOW	2/6/2013 ^c	652.96 ⁿ	38.4095	3/2/2017	1,367.13	80.4210	714.17	109.37	LONG
14		LOW	2/6/2013 ^c	537.73 ⁿ	38.4095	1/19/2018	1,460.88	104.3510	923.15	171.68	LONG
				1,305.92			3,044.29		1,738.37		
1	MCDONALDS CORP	MCD	8/22/2011 ^c	87.64 ⁿ	87.6387	2/2/2017	123.50	123.5001	35.86	40.92	LONG
5		MCD	8/22/2011 ^c	438.19 ⁿ	87.6387	3/2/2017	642.49	128.5001	204.30	46.62	LONG
4		MCD	8/22/2011 ^c	350.56 ⁿ	87.6387	1/19/2018	700.62	175.1604	350.06	99.86	LONG
				876.39			1,466.61		590.22		
18	MEDTRONIC PLC	MDT	1/27/2015 ^c	1,382.09 ⁿ	76.9500	3/2/2017	1,478.33	82.1310	116.24	8.39	LONG
10		MDT	1/27/2015 ^c	756.72 ⁿ	76.9500	1/19/2018	865.12	86.5140	108.40	14.09	LONG
				2,118.81			2,343.45		224.64		
26	MICROSOFT CORP	MSFT	2/13/2012 ^c	795.58 ⁿ	30.5994	3/2/2017	1,664.09	64.0050	868.51	109.17	LONG
12		MSFT	2/13/2012 ^c	367.19 ⁿ	30.5994	1/19/2018	1,078.80	89.9030	711.61	193.80	LONG
6		MSFT	4/11/2012 ^c	182.30 ⁿ	30.3831	1/19/2018	539.41	89.9030	357.11	195.89	LONG
				1,345.07			3,282.30		1,937.23		

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1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss	Term
1	NEXTERA ENERGY INC	NEE	8/22/2011 ^c	54.15 ^d	54.1453	2/2/2017	123.11	123.1100	68.96	127.35	LONG
9	NEE	NEE	8/22/2011 ^c	487.31 ⁿ	54.1453	3/2/2017	1,177.00	130.7815	689.89	141.53	LONG
5	NEE	NEE	8/22/2011 ^c	270.73 ⁿ	54.1453	1/19/2018	752.90	150.5846	482.17	178.10	LONG
				812.19			2,053.01		1,240.82		
10	NIKE INC CLASS B	NKE	1/20/2016 ^c	582.16 ⁿ	58.2159	3/2/2017	579.54	57.9545	-2.62	-0.45	LONG
7	NKE	NKE	1/20/2016 ^c	407.51 ⁿ	58.2159	1/19/2018	464.51	66.3600	57.00	13.99	LONG
				989.67			1,044.05		54.38		
8	NORFOLK SOUTHERN CORP	NSC	8/22/2011 ^c	503.95 ^d	62.9934	3/2/2017	977.58	122.2000	473.63	93.98	LONG
6	NSC	NSC	8/22/2011 ^c	377.96 ⁿ	62.9934	1/19/2018	916.14	152.6938	538.18	142.39	LONG
				881.91			1,893.72		1,011.81		
10	NOVARTIS AG	NVS	8/22/2011 ^c	556.47 ⁿ	55.6472	3/2/2017	751.32	75.1344	194.85	35.02	LONG
6	NVS	NVS	8/22/2011 ^c	333.88 ⁿ	55.6472	1/19/2018	518.44	86.4085	184.56	55.28	LONG
				890.35			1,269.76		379.41		
3	PAYCHEX INC	PAYX	8/22/2011 ^c	76.07 ⁿ	25.3539	2/2/2017	175.54	58.5133	99.47	130.76	LONG
16	PAYX	PAYX	8/22/2011 ^c	405.66 ⁿ	25.3539	3/2/2017	995.82	62.2400	590.16	145.48	LONG
12	PAYX	PAYX	8/22/2011 ^c	304.25 ⁿ	25.3539	1/19/2018	830.66	69.2234	526.41	173.02	LONG
				785.98			2,002.02		1,216.04		
1	PEPSICO INCORPORATED	PEP	3/15/2011 ^c	63.17 ⁿ	63.1700	2/2/2017	104.21	104.2101	41.04	64.97	LONG
8	PEP	PEP	3/15/2011 ^c	505.36 ⁿ	63.1700	3/2/2017	880.34	110.0450	374.98	74.20	LONG
5	PEP	PEP	3/15/2011 ^c	315.85 ⁿ	63.1700	1/19/2018	599.92	119.9856	284.07	89.94	LONG
				884.38			1,584.47		700.09		
3	PHILLIPS 66	PSX	7/16/2012 ^c	107.26 ⁿ	35.7555	3/2/2017	236.67	78.8833	129.41	120.65	LONG
7	PSX	PSX	8/3/2012 ^c	276.71 ⁿ	39.5300	3/2/2017	552.24	78.8933	275.53	99.57	LONG
6	PSX	PSX	8/3/2012 ^c	237.18 ⁿ	39.5300	1/19/2018	631.35	105.2262	394.17	168.19	LONG
				621.15			1,420.26		799.11		
8	POLARIS INDS INC	PLI	8/22/2011 ^c	375.77 ⁿ	46.9710	3/2/2017	697.10	87.1401	321.33	85.51	LONG
4	PLI	PLI	8/22/2011 ^c	187.88 ⁿ	46.9710	1/19/2018	536.03	134.0100	348.15	185.30	LONG
				563.65			1,233.13		669.48		
7	PRAXAIR INC	PX	8/22/2011 ^c	628.85 ⁿ	89.8364	3/2/2017	835.15	119.3101	206.30	32.81	LONG
4	PX	PX	8/22/2011 ^c	359.34 ⁿ	89.8364	1/19/2018	646.44	161.6123	287.10	79.90	LONG
				988.19			1,481.59		493.40		
2	PROCTER & GAMBLE CO	PG	3/15/2011 ^c	121.17 ⁿ	60.5800	2/2/2017	176.23	88.1146	55.06	45.44	LONG
8	PG	PG	3/15/2011 ^c	484.68 ⁿ	60.5800	3/2/2017	731.74	91.4700	247.06	50.97	LONG

Closed Holdings

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
5		PG	3/15/2011 ^c	302.92 ⁿ	60.5800	1/19/2018	452.03	90.4077	149.11	49.22	LONG
				908.77			1,360.00		451.23		
13	QUALCOMM INC	QCOM	9/23/2014 ^c	983.52 ⁿ	76.1852	3/2/2017	734.42	56.4950	-249.10	-25.15	LONG
8		QCOM	9/23/2014 ^c	591.56 ⁿ	76.1852	1/19/2018	544.93	68.1180	-46.63	-7.65	LONG
				1,575.08			1,279.35		-295.73		
2	SCANA CORP COM	SCG	8/22/2011 ^c	77.12 ⁿ	38.5581	2/2/2017	137.96	68.9801	60.84	78.89	LONG
5		SCG	8/22/2011 ^c	192.79 ⁿ	38.5581	3/2/2017	348.34	69.6700	155.55	80.68	LONG
12		SCG	8/22/2011 ^c	462.69 ⁿ	38.5581	8/21/2017	724.03	60.3375	261.34	56.48	LONG
6		SCG	7/16/2012 ^c	290.65 ⁿ	48.4420	8/21/2017	362.02	60.3375	71.37	24.56	LONG
7		SCG	10/10/2013 ^c	320.49 ⁿ	45.7849	8/21/2017	422.36	60.3375	101.87	31.79	LONG
				1,343.74			1,994.71		650.97		
15	SOUTHERN COMPANY/THE SO	SO	8/22/2011 ^c	599.46 ⁿ	39.9640	3/2/2017	754.68	50.3135	155.22	25.89	LONG
20		SO	8/22/2011 ^c	799.27 ⁿ	39.9640	8/17/2017	962.77	48.1400	163.50	20.46	LONG
13		SO	7/16/2012 ^c	616.79 ⁿ	47.4455	8/17/2017	625.80	48.1400	9.01	1.46	LONG
21		SO	10/10/2013 ^c	867.72 ⁿ	41.3199	8/17/2017	1,010.93	48.1400	143.21	16.50	LONG
				2,883.24			3,354.18		470.94		
5	SYSCO CORPORATION	SYV	8/22/2011 ^c	134.61 ⁿ	26.9207	2/2/2017	262.59	52.5200	127.98	95.07	LONG
17		SYV	8/22/2011 ^c	457.65 ⁿ	26.9207	3/2/2017	896.99	52.7650	439.34	96.00	LONG
12		SYV	8/22/2011 ^c	323.04 ⁿ	26.9207	1/19/2018	757.59	63.1344	434.55	134.52	LONG
				915.30			1,917.17		1,001.87		
2	TARGET CORP	TGT	8/22/2011 ^c	99.98 ⁿ	49.9891	2/2/2017	127.70	63.8500	27.72	27.73	LONG
10		TGT	8/22/2011 ^c	499.89 ⁿ	49.9891	3/2/2017	579.83	57.9839	79.94	15.99	LONG
5		TGT	8/22/2011 ^c	249.95 ⁿ	49.9891	1/19/2018	386.62	77.3262	136.67	54.68	LONG
				849.82			1,094.15		244.33		
1	UNITED TECHNOLOGIES CORP	UTX	8/22/2011 ^c	67.69 ⁿ	67.6850	2/2/2017	108.28	108.2801	40.59	59.96	LONG
9		UTX	8/22/2011 ^c	609.17 ⁿ	67.6850	3/2/2017	1,018.87	113.2101	409.70	67.26	LONG
5		UTX	8/22/2011 ^c	338.42 ⁿ	67.6850	1/19/2018	675.69	135.1423	337.27	99.66	LONG
				1,015.28			1,802.84		787.56		
18	US BANCORP NEW	USB	1/30/2017 ^c	948.28 ⁿ	52.6620	3/2/2017	1,003.00	55.7231	54.72	5.77	SHORT
12		USB	1/30/2017 ^c	632.19 ⁿ	52.6620	1/19/2018	673.59	56.1340	41.40	6.55	SHORT
				1,580.47			1,676.59		96.12		
17	VF CORPORATION	VFC	8/22/2011 ^c	448.46 ⁿ	26.3803	3/2/2017	901.91	53.0545	453.45	101.11	LONG
10		VFC	8/22/2011 ^c	263.80 ⁿ	26.3803	1/19/2018	789.36	78.9400	525.56	199.23	LONG

Closed Holdings **01/01/2017 - 09/05/2018**

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 1149-9760
 ANN M GETTINGER LIV TR
 ANN M GETTINGER TTEE
 U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
				712.26			1,691.29		979.03		
2	WAL-MART STORES INC	WMT	3/15/2011 ^c	103.73 ⁿ	51.8600	2/2/2017	133.49	66.7446	29.76	28.69	LONG
12		WMT	3/15/2011 ^c	622.38 ⁿ	51.8600	3/2/2017	846.26	70.5234	223.88	35.97	LONG
7		WMT	3/15/2011 ^c	363.05 ⁿ	51.8600	1/19/2018	728.63	104.0931	365.58	100.70	LONG
				1,089.16			1,708.38		619.22		
9	WEC ENERGY GROUP INC	WEC	6/25/2012 ^c	347.83 ⁿ	38.6475	3/2/2017	542.51	60.2800	194.68	55.97	LONG
9		WEC	6/25/2012 ^c	347.83 ⁿ	38.6475	1/19/2018	572.33	63.5934	224.50	64.54	LONG
				695.66			1,114.84		419.18		

Closed Holdings 01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
Report Summary										
				61,588.74		102,016.78			40,428.04	

Realized Gains or Losses	
Short Term	413.62
Long Term	40,014.42

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot- Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSI -Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

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Investments in securities and insurance products are:

NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.

Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliates of Wells Fargo & Company.

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

01/01/2017 - 09/05/2018

Prepared For:

1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized	% Gain or Loss
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Short

4	ACCENTURE PLC IRELAND	ACN	11/1/2017 ^e	569.97 ⁿ		142.4917	1/19/2018	642.59	160.6498	72.62	12.74
20	CISCO SYS INC	CSCO	8/30/2017 ^e	637.88 ⁿ		31.8937	1/19/2018	825.47	41.2745	187.59	29.41
5	JPMORGAN CHASE & CO	JPM	10/26/2017 ^e	509.91 ⁿ		101.9804	1/19/2018	567.20	113.4420	57.29	11.24
18	US BANCORP NEW	USB	1/30/2017 ^e	948.28 ⁿ		52.6820	3/2/2017	1,003.00	55.7231	54.72	5.77
12	US BANCORP NEW	USB	1/30/2017 ^e	632.19 ⁿ		52.6820	1/19/2018	673.59	56.1340	41.40	6.55
				3,298.23				3,711.85		413.62	

Long

2	AFLAC INC	AFL	8/22/2014 ^e	69.50 ⁿ		34.7473	2/2/2017	135.03	67.5134	65.53	94.29
14	AFLAC INC	AFL	8/22/2014 ^e	486.46 ⁿ		34.7473	3/2/2017	1,012.37	72.3133	525.91	108.11
10	AFLAC INC	AFL	8/22/2014 ^e	347.47 ⁿ		34.7473	1/19/2018	869.04	86.9060	521.57	150.11
25	AT & T INC	T	8/22/2014 ^e	711.91 ⁿ		28.4765	3/2/2017	1,047.84	41.9145	335.93	47.19
14	AT & T INC	T	8/22/2014 ^e	398.67 ⁿ		28.4765	1/19/2018	517.33	36.9528	118.66	29.76
3	ABBOTT LABORATORIES	ABT	7/17/2015 ^f	149.33 ⁿ		49.7761	2/2/2017	127.40	42.4650	-21.93	-14.69
20	ABBOTT LABORATORIES	ABT	7/17/2015 ^f	995.53 ⁿ		49.7761	3/2/2017	909.58	45.4800	-85.95	-8.63
13	ABBOTT LABORATORIES	ABT	7/17/2015 ^f	647.09 ⁿ		49.7761	1/19/2018	771.85	59.3745	124.76	19.28
1	AIR PRODUCTS & CHEMICALS	APD	8/22/2014 ^e	69.22 ⁿ		69.2180	2/2/2017	138.79	138.7901	69.57	100.51
5	AIR PRODUCTS & CHEMICALS	APD	8/22/2014 ^e	346.09 ⁿ		69.2180	3/2/2017	706.83	141.3701	360.74	104.23
4	AIR PRODUCTS & CHEMICALS	APD	8/22/2014 ^e	276.87 ⁿ		69.2180	1/19/2018	667.63	166.9128	390.76	141.13
7	AMGEN INC	AMGN	11/23/2015 ^f	1,137.42 ⁿ		162.4876	3/2/2017	1,244.73	177.8232	107.31	9.43
4	AMGEN INC	AMGN	11/23/2015 ^f	649.95 ⁿ		162.4876	1/19/2018	752.74	188.1910	102.79	15.82
16	ANALOG DEVICES INC	ADI	8/22/2014 ^e	497.91 ⁿ		31.1191	3/2/2017	1,339.23	83.7035	841.32	168.97
9	ANALOG DEVICES INC	ADI	8/22/2014 ^e	280.07 ⁿ		31.1191	1/19/2018	869.90	96.6580	589.83	210.60
2	AUTOMATIC DATA PROCESSIN	ADP	8/22/2014 ^e	81.92 ⁿ		40.9588	2/2/2017	192.23	96.1150	110.31	134.66
9	AUTOMATIC DATA PROCESSIN	ADP	8/22/2014 ^e	368.63 ⁿ		40.9588	3/2/2017	935.21	103.9148	566.58	153.70
7	AUTOMATIC DATA PROCESSIN	ADP	8/22/2014 ^e	286.71 ⁿ		40.9588	1/19/2018	843.91	120.5620	557.20	194.34
7	BECTON DICKINSON & CO	BDX	8/22/2014 ^e	538.77 ⁿ		76.9668	3/2/2017	1,291.96	184.5700	753.19	139.80
5	BECTON DICKINSON & CO	BDX	8/22/2014 ^e	384.83 ⁿ		76.9668	1/19/2018	1,169.47	233.9000	784.64	203.89
2	BLACKROCK INC	BLK	11/2/2015 ^f	708.60 ⁿ		354.2977	3/2/2017	784.84	392.4301	76.24	10.76
2	BLACKROCK INC	BLK	11/2/2015 ^f	708.60 ⁿ		354.2977	1/19/2018	1,163.65	581.8410	455.05	64.22
1	CHEVRON CORPORATION	CVX	8/22/2014 ^e	93.56 ⁿ		93.5527	2/2/2017	111.63	111.6300	18.07	19.31
7	CHEVRON CORPORATION	CVX	8/22/2014 ^e	654.85 ⁿ		93.5527	3/2/2017	797.35	113.9100	142.50	21.76
2	CHEVRON CORPORATION	CVX	8/22/2014 ^e	187.10 ⁿ		93.5527	1/19/2018	261.30	130.6548	74.20	39.66
3	CHEVRON CORPORATION	CVX	7/16/2012 ^e	320.85 ⁿ		106.9491	1/19/2018	391.95	130.6548	71.10	22.16

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss
Long - Continued											
2	CHUBB LTD	CB	1/15/2016 ^e	222.04 ⁿ		111.0200	2/2/2017	261.03	130.5192	38.99	17.56
8	CHUBB LTD	CB	1/15/2016 ^e	888.16 ⁿ		111.0200	3/2/2017	1,111.58	138.9501	223.42	25.16
5	CHUBB LTD	CB	1/15/2016 ^e	555.10 ⁿ		111.0200	1/19/2018	737.41	147.4867	182.31	32.84
1	CLOROX COMPANY	CLX	8/22/2011 ^e	64.03 ⁿ		64.0242	2/2/2017	120.25	120.2500	56.22	87.80
8	CLOROX COMPANY	CLX	8/22/2011 ^e	512.19 ⁿ		64.0242	3/2/2017	1,098.70	137.3401	586.51	114.51
5	CLOROX COMPANY	CLX	8/22/2011 ^e	320.12 ⁿ		64.0242	1/19/2018	719.71	143.9456	399.59	124.83
4	COLGATE PALMOLIVE CO	CL	8/22/2011 ^e	170.62 ⁿ		42.6536	2/2/2017	262.95	65.7400	92.33	54.11
10	COLGATE PALMOLIVE CO	CL	8/22/2011 ^e	426.54 ⁿ		42.6536	3/2/2017	736.93	73.6950	310.39	72.77
8	COLGATE PALMOLIVE CO	CL	8/22/2011 ^e	341.23 ⁿ		42.6536	1/19/2018	619.38	77.4240	278.15	81.51
12	EATON VANCE CORP NON VTG	EV	8/22/2011 ^e	256.83 ⁿ		21.4025	3/2/2017	570.47	47.5400	313.64	122.12
6	EATON VANCE CORP NON VTG	EV	8/22/2011 ^e	128.42 ⁿ		21.4025	1/19/2018	361.68	60.2820	233.26	181.64
14	EMERSON ELECTRIC CO	EMR	8/22/2011 ^e	599.83 ⁿ		42.8451	3/2/2017	842.69	60.1935	242.86	40.49
9	EMERSON ELECTRIC CO	EMR	8/22/2011 ^e	385.61 ⁿ		42.8451	1/19/2018	656.38	72.9334	270.77	70.22
4	EVERSOURCE ENERGY	ES	8/22/2011 ^e	128.87 ⁿ		32.2169	2/2/2017	220.31	55.0770	91.44	70.96
14	EVERSOURCE ENERGY	ES	8/22/2011 ^e	451.04 ⁿ		32.2169	3/2/2017	820.17	58.5850	369.13	81.84
10	EVERSOURCE ENERGY	ES	8/22/2011 ^e	322.17 ⁿ		32.2169	1/19/2018	608.43	60.8437	286.26	88.85
2	EXXON MOBIL CORP	XOM	3/15/2011 ^e	161.84 ⁿ		80.9200	2/2/2017	166.34	83.1700	4.50	2.78
9	EXXON MOBIL CORP	XOM	3/15/2011 ^e	728.28 ⁿ		80.9200	3/2/2017	753.02	83.6710	24.74	3.40
4	EXXON MOBIL CORP	XOM	3/15/2011 ^e	323.68 ⁿ		80.9200	1/19/2018	347.44	86.8628	23.76	7.34
2	EXXON MOBIL CORP	XOM	4/11/2012 ^e	165.35 ⁿ		82.6721	1/19/2018	173.73	86.8628	8.38	5.07
4	FACTSET RESEARCH SYS INC	FDS	8/22/2011 ^e	320.04 ⁿ		80.0099	3/2/2017	714.34	178.5900	394.30	123.20
3	FACTSET RESEARCH SYS INC	FDS	8/22/2011 ^e	240.03 ⁿ		80.0099	1/19/2018	599.09	199.7000	359.06	149.59
1	GENL DYNAMICS CORP COM	GD	8/22/2011 ^e	58.29 ⁿ		58.2898	2/2/2017	180.04	180.0400	121.75	208.87
7	GENL DYNAMICS CORP COM	GD	8/22/2011 ^e	408.03 ⁿ		58.2898	3/2/2017	1,335.36	190.7700	927.33	227.27
6	GENL DYNAMICS CORP COM	GD	8/22/2011 ^e	349.74 ⁿ		58.2898	1/19/2018	1,248.95	208.1634	899.21	257.11
3	GENL MILLS INC	GIS	8/22/2011 ^e	108.83 ⁿ		36.2770	2/2/2017	185.53	61.8434	76.70	70.48
13	GENL MILLS INC	GIS	8/22/2011 ^e	471.60 ⁿ		36.2770	3/2/2017	787.07	60.5450	315.47	66.89
9	GENL MILLS INC	GIS	8/22/2011 ^e	326.49 ⁿ		36.2770	1/19/2018	533.83	59.3150	207.34	63.51
1	GRAINGER W W INC	GWV	8/22/2011 ^e	132.94 ⁿ		132.9385	2/2/2017	251.38	251.3901	118.44	89.09
2	GRAINGER W W INC	GWV	8/22/2011 ^e	265.87 ⁿ		132.9385	3/2/2017	497.69	248.8500	231.82	87.19
2	GRAINGER W W INC	GWV	8/22/2011 ^e	265.87 ⁿ		132.9385	1/8/2018	475.20	237.6061	209.33	78.73
4	GRAINGER W W INC	GWV	7/16/2012 ^e	756.44 ⁿ		189.1100	1/8/2018	950.40	237.6061	193.96	25.64
2	GRAINGER W W INC	GWV	10/10/2013 ^e	510.86 ⁿ		255.4280	1/8/2018	475.20	237.6061	-35.66	-6.98
1	GRAINGER W W INC	GWV	7/12/2016 ^e	230.28 ⁿ		230.2773	1/8/2018	237.60	237.6061	7.32	3.18
3	HARRIS CORP DEL	HRS	8/22/2011 ^e	105.44 ⁿ		35.1459	2/2/2017	313.07	104.3592	207.63	196.92

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

01/01/2017 - 09/05/2018

Prepared For:

1149-9760

ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE

U/A DTD 08/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss
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Long - Continued

7	HARRIS CORP DEL	HRS	8/22/2011 ^c	246.02 ^a		35.1459	3/2/2017	771.59	110.2306	525.57	213.63
5	HARRIS CORP DEL	HRS	8/22/2011 ^c	175.73 ^a		35.1459	1/19/2018	729.28	145.8600	553.55	315.00
1	ILL TOOL WORKS INC	ITW	8/22/2011 ^c	42.39 ^a		42.3829	2/2/2017	126.65	126.6501	84.26	198.77
10	ILL TOOL WORKS INC	ITW	8/22/2011 ^c	423.83 ^a		42.3829	3/2/2017	1,341.71	134.1737	917.88	216.57
6	ILL TOOL WORKS INC	ITW	8/22/2011 ^c	254.30 ^a		42.3829	1/19/2018	1,029.37	171.5650	775.07	304.79
1	INTL BUSINESS MACH CORP	IBM	8/22/2011 ^c	159.24 ^a		159.2381	2/2/2017	174.45	174.4501	15.21	9.55
4	INTL BUSINESS MACH CORP	IBM	8/22/2011 ^c	636.96 ^a		159.2381	3/2/2017	722.90	180.7301	85.94	13.49
2	INTL BUSINESS MACH CORP	IBM	8/22/2011 ^c	318.48 ^a		159.2381	1/19/2018	325.58	162.7930	7.10	2.23
1	JM SMUCKER CO	SJM	8/22/2011 ^c	68.16 ^a		68.1531	2/2/2017	137.35	137.3500	69.19	101.51
7	JM SMUCKER CO	SJM	8/22/2011 ^c	477.07 ^a		68.1531	3/2/2017	987.33	141.0501	510.26	106.96
14	JM SMUCKER CO	SJM	8/22/2011 ^c	954.12 ^a		68.1531	9/28/2017	1,471.69	105.1238	517.57	54.25
10	JM SMUCKER CO	SJM	7/16/2012 ^c	765.38 ^a		76.5382	9/28/2017	1,051.21	105.1238	285.83	37.34
7	JM SMUCKER CO	SJM	10/10/2013 ^c	740.91 ^a		105.8440	9/28/2017	735.86	105.1238	-5.05	-0.68
1	JOHNSON & JOHNSON	JNJ	8/22/2011 ^c	63.45 ^a		63.4455	2/2/2017	112.95	112.9533	49.50	78.01
10	JOHNSON & JOHNSON	JNJ	8/22/2011 ^c	634.45 ^a		63.4455	3/2/2017	1,240.07	124.0100	605.62	95.46
6	JOHNSON & JOHNSON	JNJ	8/22/2011 ^c	380.86 ^a		63.4455	1/19/2018	881.74	146.9600	501.08	131.63
3	KELLOGG COMPANY	K	3/5/2012 ^c	156.36 ^a		52.1203	2/2/2017	219.15	73.0492	62.79	40.16
9	KELLOGG COMPANY	K	3/5/2012 ^c	469.08 ^a		52.1203	3/2/2017	674.77	74.9750	205.69	43.85
23	KELLOGG COMPANY	K	3/5/2012 ^c	1,198.76 ^a		52.1203	9/29/2017	1,434.78	62.3833	236.02	19.69
17	KELLOGG COMPANY	K	7/16/2012 ^c	822.23 ^a		48.3663	9/29/2017	1,060.49	62.3833	238.26	28.98
5	KELLOGG COMPANY	K	10/10/2013 ^c	301.70 ^a		60.3399	9/29/2017	311.92	62.3833	10.22	3.39
3	LOWES COMPANIES INC	LOW	2/6/2013 ^c	115.23 ^a		38.4095	2/2/2017	216.28	72.0946	101.05	87.69
17	LOWES COMPANIES INC	LOW	2/6/2013 ^c	652.96 ^a		38.4095	3/2/2017	1,367.13	80.4210	714.17	109.37
14	LOWES COMPANIES INC	LOW	2/6/2013 ^c	537.73 ^a		38.4095	1/19/2018	1,460.88	104.3510	923.15	171.68
1	MCDONALDS CORP	MCD	8/22/2011 ^c	87.64 ^a		87.6387	2/2/2017	123.50	123.5001	35.86	40.92
5	MCDONALDS CORP	MCD	8/22/2011 ^c	438.19 ^a		87.6387	3/2/2017	642.49	128.5001	204.30	46.62
4	MCDONALDS CORP	MCD	8/22/2011 ^c	350.56 ^a		87.6387	1/19/2018	700.62	175.1604	350.06	99.86
18	MEDTRONIC PLC	MDT	1/27/2015 ^f	1,385.10 ^a	1,382.09	76.9500	3/2/2017	1,478.33	82.1310	116.24	8.39
10	MEDTRONIC PLC	MDT	1/27/2015 ^f	769.50 ^a	756.72	76.9500	1/19/2018	865.12	86.5140	108.40	14.09
26	MICROSOFT CORP	MSFT	2/13/2012 ^c	795.58 ^a		30.5994	3/2/2017	1,664.09	64.0050	868.51	109.17
12	MICROSOFT CORP	MSFT	2/13/2012 ^c	367.19 ^a		30.5994	1/19/2018	1,078.80	89.9030	711.61	193.80
6	MICROSOFT CORP	MSFT	4/11/2012 ^c	182.30 ^a		30.3831	1/19/2018	539.41	89.9030	357.11	195.89
1	NEXTERA ENERGY INC	NEE	8/22/2011 ^c	54.15 ^a		54.1453	2/2/2017	123.11	123.1100	68.96	127.35
9	NEXTERA ENERGY INC	NEE	8/22/2011 ^c	487.31 ^a		54.1453	3/2/2017	1,177.00	130.7815	689.69	141.53
5	NEXTERA ENERGY INC	NEE	8/22/2011 ^c	270.73 ^a		54.1453	1/19/2018	752.90	150.5846	482.17	178.10

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHNI/GOWEN

Prepared For:
1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Long - Continued

10	NIKE INC CLASS B	NKE	1/20/2016 ^e	582.16 ⁿ		58.2159	3/2/2017	579.54	57.9545	-2.62	-0.45
7	NIKE INC CLASS B	NKE	1/20/2016 ^e	407.51 ⁿ		58.2159	1/19/2018	464.51	66.3600	57.00	13.99
8	NORFOLK SOUTHERN CORP	NSC	8/22/2011 ^e	503.95 ⁿ		62.9934	3/2/2017	977.58	122.2000	473.63	93.98
6	NORFOLK SOUTHERN CORP	NSC	8/22/2011 ^e	377.96 ⁿ		62.9934	1/19/2018	916.14	152.6938	538.18	142.39
10	NOVARTIS AG	NVS	8/22/2011 ^e	556.47 ⁿ		55.6472	3/2/2017	751.32	75.1344	194.85	35.02
6	NOVARTIS AG	NVS	8/22/2011 ^e	333.88 ⁿ		55.6472	1/19/2018	518.44	86.4085	184.56	55.28
3	PAYCHEX INC	PAYX	8/22/2011 ^e	76.07 ⁿ		25.3539	2/2/2017	175.54	58.5133	99.47	130.76
16	PAYCHEX INC	PAYX	8/22/2011 ^e	405.66 ⁿ		25.3539	3/2/2017	995.82	62.2400	590.16	145.48
12	PAYCHEX INC	PAYX	8/22/2011 ^e	304.25 ⁿ		25.3539	1/19/2018	830.66	69.2234	526.41	173.02
1	PEPSICO INCORPORATED	PEP	3/15/2011 ^e	63.17 ⁿ		63.1700	2/2/2017	104.21	104.2101	41.04	64.97
8	PEPSICO INCORPORATED	PEP	3/15/2011 ^e	505.36 ⁿ		63.1700	3/2/2017	880.34	110.0450	374.98	74.20
5	PEPSICO INCORPORATED	PEP	3/15/2011 ^e	315.85 ⁿ		63.1700	1/19/2018	599.92	119.9856	284.07	89.94
3	PHILLIPS 66	PSX	7/16/2012 ^e	107.26 ⁿ		35.7555	3/2/2017	236.67	78.8933	129.41	120.65
7	PHILLIPS 66	PSX	8/3/2012 ^e	276.71 ⁿ		39.5300	3/2/2017	552.24	78.8933	275.53	99.57
6	PHILLIPS 66	PSX	8/3/2012 ^e	237.18 ⁿ		39.5300	1/19/2018	631.35	105.2262	394.17	166.19
8	POLARIS INDS INC	PLI	8/22/2011 ^e	375.77 ⁿ		46.9710	3/2/2017	697.10	87.1401	321.33	85.51
4	POLARIS INDS INC	PLI	8/22/2011 ^e	187.88 ⁿ		46.9710	1/19/2018	536.03	134.0100	348.15	185.30
7	PRAXAIR INC	PX	8/22/2011 ^e	628.85 ⁿ		89.8364	3/2/2017	835.15	119.3101	206.30	32.81
4	PRAXAIR INC	PX	8/22/2011 ^e	359.34 ⁿ		89.8364	1/19/2018	646.44	161.6123	287.10	79.90
2	PROCTER & GAMBLE CO	PG	3/15/2011 ^e	121.17 ⁿ		60.5800	2/2/2017	176.23	88.1146	55.06	45.44
8	PROCTER & GAMBLE CO	PG	3/15/2011 ^e	484.68 ⁿ		60.5800	3/2/2017	731.74	91.4700	247.06	50.97
5	PROCTER & GAMBLE CO	PG	3/15/2011 ^e	302.92 ⁿ		60.5800	1/19/2018	452.03	90.4077	149.11	49.22
13	QUALCOMM INC	QCOM	9/23/2014 ^e	990.41 ⁿ	983.52	76.1852	3/2/2017	734.42	56.4950	-249.10	-25.15
8	QUALCOMM INC	QCOM	9/23/2014 ^e	609.48 ⁿ	591.56	76.1852	1/19/2018	544.93	66.1180	-46.63	-7.65
2	SCANA CORP COM	SCG	8/22/2011 ^e	77.12 ⁿ		38.5581	2/2/2017	137.96	68.9801	60.84	78.89
5	SCANA CORP COM	SCG	8/22/2011 ^e	192.79 ⁿ		38.5581	3/2/2017	348.34	69.6700	155.55	80.68
12	SCANA CORP COM	SCG	8/22/2011 ^e	462.69 ⁿ		38.5581	8/21/2017	724.03	60.3375	261.34	56.48
6	SCANA CORP COM	SCG	7/16/2012 ^e	290.65 ⁿ		48.4420	8/21/2017	362.02	60.3375	71.37	24.56
7	SCANA CORP COM	SCG	10/10/2013 ^e	320.49 ⁿ		45.7849	8/21/2017	422.36	60.3375	101.87	31.79
15	SOUTHERN COMPANY/THE	SO	8/22/2011 ^e	599.46 ⁿ		39.9640	3/2/2017	754.68	50.3135	155.22	25.89
20	SOUTHERN COMPANY/THE	SO	8/22/2011 ^e	799.27 ⁿ		39.9640	8/17/2017	962.77	48.1400	163.50	20.46
13	SOUTHERN COMPANY/THE	SO	7/16/2012 ^e	616.79 ⁿ		47.4455	8/17/2017	625.80	48.1400	9.01	1.46
21	SOUTHERN COMPANY/THE	SO	10/10/2013 ^e	867.72 ⁿ		41.3199	8/17/2017	1,010.93	48.1400	143.21	18.50
5	SYSCO CORPORATION	SY	8/22/2011 ^e	134.61 ⁿ		26.9207	2/2/2017	262.59	52.5200	127.98	95.07
17	SYSCO CORPORATION	SY	8/22/2011 ^e	457.65 ⁿ		26.9207	3/2/2017	896.99	52.7650	439.34	96.00

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long - Continued											
12	SYS CO CORPORATION	SVY	8/22/2011 ^e	323.04 ⁿ		26.9207	1/19/2018	757.59	63.1344	434.55	134.52
2	TARGET CORP	TGT	8/22/2011 ^e	99.98 ⁿ		49.9891	2/2/2017	127.70	63.8500	27.72	27.73
10	TARGET CORP	TGT	8/22/2011 ^e	499.89 ⁿ		49.9891	3/2/2017	579.83	57.9839	79.94	15.99
5	TARGET CORP	TGT	8/22/2011 ^e	249.95 ⁿ		49.9891	1/19/2018	386.62	77.3262	136.67	54.68
1	3M CO	MMM	8/22/2011 ^e	77.15 ⁿ		77.1490	2/2/2017	173.95	173.9500	96.80	125.47
6	3M CO	MMM	8/22/2011 ^e	462.90 ⁿ		77.1490	3/2/2017	1,138.42	189.7400	675.52	145.93
4	3M CO	MMM	8/22/2011 ^e	308.60 ⁿ		77.1490	1/19/2018	989.01	247.2580	680.41	220.48
1	UNITED TECHNOLOGIES CORP	UTX	8/22/2011 ^e	67.69 ⁿ		67.6850	2/2/2017	108.28	108.2801	40.59	59.96
9	UNITED TECHNOLOGIES CORP	UTX	8/22/2011 ^e	609.17 ⁿ		67.6850	3/2/2017	1,018.87	113.2101	409.70	67.26
5	UNITED TECHNOLOGIES CORP	UTX	8/22/2011 ^e	338.42 ⁿ		67.6850	1/19/2018	675.69	135.1423	337.27	99.68
17	VF CORPORATION	VFC	8/22/2011 ^e	448.46 ⁿ		26.3803	3/2/2017	901.91	53.0545	453.45	101.11
10	VF CORPORATION	VFC	8/22/2011 ^e	263.80 ⁿ		26.3803	1/19/2018	789.38	78.9400	525.58	199.23
2	WAL-MART STORES INC	WMT	3/15/2011 ^e	103.73 ⁿ		51.8600	2/2/2017	133.49	66.7446	29.76	28.69
12	WAL-MART STORES INC	WMT	3/15/2011 ^e	622.38 ⁿ		51.8600	3/2/2017	846.26	70.5234	223.88	35.97
7	WAL-MART STORES INC	WMT	3/15/2011 ^e	363.05 ⁿ		51.8600	1/19/2018	728.63	104.0931	365.58	100.70
9	WEC ENERGY GROUP INC	WEC	6/25/2012 ^e	347.83 ⁿ		38.6475	3/2/2017	542.51	60.2800	194.68	55.97
9	WEC ENERGY GROUP INC	WEC	6/25/2012 ^e	347.83 ⁿ		38.6475	1/19/2018	572.33	63.5934	224.50	64.54
				58,351.11	3,693.89			98,304.93	40,014.42		

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
1149-9760
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Report Summary			61,649.34	3,693.89			102,016.78			40,428.04	
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Realized Gains or Losses	
Short Term	413.62
Long Term	40,014.42

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.
Noncovered Tax Lot -Cost information for this tax lot is not covered by IRS reporting requirements.
Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.
CSI -Cash Standing Instructions Indicates the disposition of stock and money on cash accounts
MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

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Investments in securities and insurance products are:
NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.
Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliates of Wells Fargo & Company.

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
2863-9259
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
742.3900	DELAWARE INTL VAL EQTY I	DEQIX	8/22/2011 ^N	8,299.92 ^N	11.1800	3/2/2017	10,000.00	13.4700	1,700.08	20.48	LONG
599.2920		DEQIX	8/22/2011 ^N	6,700.08 ^N	11.1800	3/20/2017	8,270.22	13.8000	1,570.14	23.43	LONG
29.9600		DEQIX	12/23/2011 ^N	308.59 ^N	10.3000	3/20/2017	413.44	13.8000	104.85	33.98	LONG
21.2640		DEQIX	12/24/2012 ^c	249.64 ^N	11.7400	3/20/2017	293.44	13.8000	43.80	17.55	LONG
17.7860		DEQIX	12/23/2013 ^c	247.94 ^N	13.9400	3/20/2017	245.45	13.8000	-2.49	-1.00	LONG
32.9740		DEQIX	12/23/2014 ^c	426.03 ^N	12.9200	3/20/2017	455.04	13.8000	29.01	6.81	LONG
22.5540		DEQIX	12/23/2015 ^c	284.40 ^N	12.6100	3/20/2017	311.25	13.8000	26.85	9.44	LONG
33.8620		DEQIX	12/23/2016 ^c	439.87 ^N	12.9900	3/20/2017	467.31	13.8000	27.44	6.24	SHORT
				16,956.47			20,456.15		3,499.68		
507.6140	EV INCOME FD OF BOSTON I	EIBIX	6/23/2015 ^c	2,972.90 ^N	5.9100	5/11/2018	2,852.79	5.6200	-120.11	-4.00	LONG
0.5230		EIBIX	7/1/2015 ^c	3.06 ^N	5.8700	5/11/2018	2.93	5.6200	-0.13	-4.23	LONG
2.7560		EIBIX	8/3/2015 ^c	16.06 ^N	5.8300	5/11/2018	15.48	5.6200	-0.58	-3.61	LONG
2.8090		EIBIX	9/1/2015 ^c	16.04 ^N	5.7200	5/11/2018	15.78	5.6200	-0.26	-1.62	LONG
2.8110		EIBIX	10/1/2015 ^c	15.57 ^N	5.5500	5/11/2018	15.79	5.6200	0.22	1.41	LONG
2.8570		EIBIX	11/2/2015 ^c	16.17 ^N	5.6600	5/11/2018	16.05	5.6200	-0.12	-0.74	LONG
2.7740		EIBIX	12/1/2015 ^c	15.34 ^N	5.5300	5/11/2018	15.59	5.6200	0.25	1.63	LONG
2.9260		EIBIX	1/4/2016 ^c	15.75 ^N	5.4000	5/11/2018	16.44	5.6200	0.69	4.37	LONG
2.9660		EIBIX	2/1/2016 ^c	15.75 ^N	5.3300	5/11/2018	16.66	5.6200	0.91	5.76	LONG
2.7720		EIBIX	3/1/2016 ^c	14.76 ^N	5.3500	5/11/2018	15.57	5.6200	0.81	5.46	LONG
2.9270		EIBIX	4/1/2016 ^c	16.00 ^N	5.4900	5/11/2018	16.44	5.6200	0.44	2.74	LONG
2.7960		EIBIX	5/2/2016 ^c	15.51 ^N	5.5800	5/11/2018	15.71	5.6200	0.20	1.28	LONG
2.8890		EIBIX	6/1/2016 ^c	16.03 ^N	5.5800	5/11/2018	16.23	5.6200	0.20	1.24	LONG
2.8030		EIBIX	7/1/2016 ^c	15.54 ^N	5.5800	5/11/2018	15.75	5.6200	0.21	1.34	LONG
2.8940		EIBIX	8/1/2016 ^c	16.28 ^N	5.6600	5/11/2018	16.26	5.6200	-0.02	-0.12	LONG
2.7520		EIBIX	9/1/2016 ^c	15.66 ^N	5.7300	5/11/2018	15.46	5.6200	-0.20	-1.27	LONG
2.6700		EIBIX	10/3/2016 ^c	15.16 ^N	5.7300	5/11/2018	15.00	5.6200	-0.18	-1.18	LONG
2.7810		EIBIX	11/1/2016 ^c	15.85 ^N	5.7000	5/11/2018	15.62	5.6200	-0.23	-1.45	LONG
2.7120		EIBIX	12/1/2016 ^c	15.35 ^N	5.6600	5/11/2018	15.24	5.6200	-0.11	-0.72	LONG
2.8050		EIBIX	1/3/2017 ^c	15.97 ^N	5.7300	5/11/2018	15.76	5.6200	-0.21	-1.31	LONG
2.7970		EIBIX	2/1/2017 ^c	16.02 ^N	5.7600	5/11/2018	15.71	5.6200	-0.31	-1.92	LONG
2.4620		EIBIX	3/1/2017 ^c	14.18 ^N	5.8000	5/11/2018	13.83	5.6200	-0.35	-2.45	LONG
2.7470		EIBIX	4/3/2017 ^c	15.75 ^N	5.7700	5/11/2018	15.43	5.6200	-0.32	-2.02	LONG
2.6420		EIBIX	5/1/2017 ^c	15.24 ^N	5.8100	5/11/2018	14.84	5.6200	-0.40	-2.61	LONG
2.7580		EIBIX	6/1/2017 ^c	15.97 ^N	5.8300	5/11/2018	15.50	5.6200	-0.47	-2.92	SHORT

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
2863-9259
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized of Loss	% Gain of Loss	Term
2.6850		EIBX	7/3/2017 ^c	15.48 ^N	5.8100	5/11/2018	15.09	5.6200	-0.39	-2.50	SHORT
2.7720		EIBX	8/1/2017 ^c	16.03 ^N	5.8300	5/11/2018	15.57	5.6200	-0.46	-2.85	SHORT
2.7860		EIBX	9/1/2017 ^c	16.16 ^N	5.8000	5/11/2018	15.65	5.6200	-0.51	-3.16	SHORT
784.0220		EIBX	9/21/2017 ^c	4,553.10 ^N	5.8100	5/11/2018	4,406.35	5.6200	-146.75	-3.22	SHORT
3.4570		EIBX	10/2/2017 ^c	19.98 ^N	5.8200	5/11/2018	19.42	5.6200	-0.56	-2.78	SHORT
6.6230		EIBX	11/1/2017 ^c	38.48 ^N	5.8100	5/11/2018	37.22	5.6200	-1.26	-3.27	SHORT
6.4990		EIBX	12/1/2017 ^c	37.50 ^N	5.7700	5/11/2018	36.52	5.6200	-0.98	-2.61	SHORT
6.7500		EIBX	1/2/2018 ^c	38.81 ^N	5.7500	5/11/2018	37.94	5.6200	-0.87	-2.24	SHORT
6.7910		EIBX	2/1/2018 ^c	39.05 ^N	5.7500	5/11/2018	38.17	5.6200	-0.88	-2.25	SHORT
6.2540		EIBX	3/1/2018 ^c	35.52 ^N	5.6800	5/11/2018	35.15	5.6200	-0.37	-1.04	SHORT
7.0140		EIBX	4/2/2018 ^c	39.42 ^N	5.6200	5/11/2018	39.42	5.6200			Miscellaneous
6.8310		EIBX	5/1/2018 ^c	38.39 ^N	5.6200	5/11/2018	38.41	5.6200	0.02	0.05	SHORT
				8,223.85			7,950.77		-273.08		
367.7800	GLDMN SACH FNL SQ GOVT I	FGTX	3/20/2017 ^N	367.78 ^N	1.0000	7/13/2018	367.78	1.0000			Miscellaneous
220.2140	HARBOR INTERNATIONAL I HAINX	HAINX	8/22/2011 ^N	11,900.37 ^N	54.0400	6/20/2017	14,961.33	67.9400	3,060.96	25.72	LONG
11.5330		HAINX	12/20/2011 ^N	564.28 ^N	50.6600	6/20/2017	783.55	67.9400	199.27	34.11	LONG
4.6310		HAINX	12/20/2016 ^c	269.53 ^N	58.2000	6/20/2017	314.64	67.9400	45.11	16.74	SHORT
113.3350		HAINX	9/21/2017 ^c	7,927.78 ^N	69.9500	9/29/2017	7,961.78	70.2500	34.00	0.43	SHORT
				20,681.96			24,021.30		3,339.34		
2.8090	HARTFORD MIDCAP I	HFMIX	3/20/2017 ^c	77.93 ^N	27.7400	9/29/2017	84.34	30.0200	6.41	8.23	SHORT
342.7000	NFS EMRG MKTS DEBT I	MEDIX	6/23/2015 ^c	5,000.00 ^N	14.5900	9/29/2017	5,171.34	15.0900	171.34	3.43	LONG
181.5180		MEDIX	9/21/2017 ^c	2,742.73 ^N	15.1100	9/29/2017	2,739.11	15.0900	-3.62	-0.13	SHORT
				7,742.73			7,910.45		167.72		
312.0120	OAK RIDGE SM CAP GRWTH I	ORRYX	8/22/2011 ^N	8,000.00 ^N	25.6400	3/2/2017	11,038.98	35.3800	3,038.98	37.99	LONG
16.4780		ORRYX	11/29/2011 ^N	449.02 ^N	27.2500	3/2/2017	582.99	35.3800	133.97	29.84	LONG
10.0690		ORRYX	11/28/2012 ^c	294.72 ^N	29.2700	3/2/2017	356.24	35.3800	61.52	20.87	LONG
74.8500		ORRYX	3/28/2013 ^c	2,500.00 ^N	33.4000	3/2/2017	2,648.19	35.3800	148.19	5.93	LONG
17.5800		ORRYX	10/2/2013 ^c	704.07 ^N	40.0500	3/2/2017	621.98	35.3800	-82.09	-11.66	LONG
26.8160		ORRYX	12/13/2016 ^c	924.87 ^N	34.4900	3/2/2017	948.76	35.3800	23.89	2.58	SHORT
				12,872.68			16,197.14		3,324.46		
226.0110	OPPENHMR DEVELPNG MKTS Y	ODVYX	8/22/2011 ^N	6,940.80 ^N	30.7100	6/20/2017	8,540.98	37.7900	1,600.18	23.05	LONG
4.9110		ODVYX	12/10/2012 ^c	166.08 ^N	33.8200	6/20/2017	185.58	37.7900	19.50	11.74	LONG
85.8610		ODVYX	3/28/2013 ^c	3,000.00 ^N	34.9400	6/20/2017	3,244.68	37.7900	244.68	8.16	LONG
3.6590		ODVYX	12/9/2013 ^c	135.65 ^N	37.0700	6/20/2017	138.27	37.7900	2.62	1.93	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
2863-9259
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
3.3380		ODVXX	12/9/2013 ^c	123.75 ^N	37.0700	6/20/2017	126.14	37.7900	2.39	1.93	LONG
12.5250		ODVXX	12/8/2014 ^c	456.54 ^N	36.4500	6/20/2017	473.31	37.7900	16.77	3.67	LONG
4.6850		ODVXX	12/8/2014 ^c	170.78 ^N	36.4500	6/20/2017	177.04	37.7900	6.26	3.67	LONG
5.6630		ODVXX	12/3/2015 ^c	174.99 ^N	30.9000	6/20/2017	214.00	37.7900	39.01	22.29	LONG
				11,168.59			13,100.00		1,931.41		
87.5140	T ROWE PRICE MID CAP GRV	RPMGX	8/22/2011 ^N	4,518.35 ^N	51.6300	9/21/2017	7,787.02	88.9800	3,268.67	72.34	LONG
0.9570		RPMGX	8/22/2011 ^N	49.41 ^N	51.6300	9/29/2017	86.23	90.1100	36.82	74.52	LONG
				4,567.76			7,873.25		3,305.49		
249.4660	T ROWE PRICE REAL ESTATE	TRREX	3/20/2017 ^c	7,000.00 ^N	28.0600	6/20/2017	7,124.71	28.5600	124.71	1.78	SHORT
1.3470		TRREX	3/30/2017 ^c	37.42 ^N	27.7800	6/20/2017	38.48	28.5600	1.06	2.83	SHORT
279.0480		TRREX	9/21/2017 ^c	7,927.78 ^N	28.4100	9/29/2017	7,902.67	28.3200	-25.11	-0.32	SHORT
1.6860		TRREX	9/28/2017 ^c	47.44 ^N	28.1400	9/29/2017	47.75	28.3200	0.31	0.65	SHORT
				15,012.64			15,113.61		100.97		
258.7460	VICTORY SYCAMORE SMALL I	VSQIX	7/10/2012 ^c	8,241.06 ^N	31.8500	9/21/2017	12,036.85	46.5200	3,795.79	46.06	LONG
31.4750		VSQIX	7/10/2012 ^c	1,002.48 ^N	31.8500	9/29/2017	1,516.78	48.1900	514.30	51.30	LONG
				9,243.54			13,553.63		4,310.09		
948.6910	VIRTUS VONTOBEL EMG I HIEMX		8/22/2011 ^N	8,482.33 ^N	8.9200	9/21/2017	11,080.71	11.6800	2,618.38	30.94	LONG

Closed Holdings
01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 2863-9259
 ANN M GETTINGER LIV TR
 ANN M GETTINGER TTEE
 U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
Report Summary				115,378.26		137,709.13		22,330.87		

Realized Gains or Losses	
Short Term	80.72
Long Term	22,250.15

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot - Cost information for this tax lot is covered by IRS reporting requirements.

Noncovered Tax Lot - Cost information for this tax lot is not covered by IRS reporting requirements.

Wash Sale Date - The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.

CSL - Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code - The MMF Code in the report header indicates how money market account balances are treated.

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Investments in securities and insurance products are:
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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
2863-9259
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Short											
33.8620	DELAWARE INTL VAL EQTY I	DEQIX	12/23/2016 ⁶	439.87 ^u		12.9900	3/20/2017	467.31	13.8000	27.44	6.24
2.7580	EV INCOME FD OF BOSTON I	EIBIX	6/1/2017 ⁶	16.08 ^u	15.97	5.8300	5/1/2018	15.50	5.6200	-0.47	-2.92
2.6850	EV INCOME FD OF BOSTON I	EIBIX	7/3/2017 ⁶	15.60 ^u	15.48	5.8100	5/1/2018	15.09	5.6200	-0.39	-2.50
2.7720	EV INCOME FD OF BOSTON I	EIBIX	8/1/2017 ⁶	16.16 ^u	16.03	5.8300	5/1/2018	15.57	5.6200	-0.46	-2.85
2.7860	EV INCOME FD OF BOSTON I	EIBIX	9/1/2017 ⁶	16.16 ^u		5.8000	5/1/2018	15.65	5.6200	-0.51	-3.16
784.0220	EV INCOME FD OF BOSTON I	EIBIX	9/21/2017 ⁶	4,555.17 ^u	4,553.10	5.8100	5/1/2018	4,406.35	5.6200	-146.75	-3.22
3.4570	EV INCOME FD OF BOSTON I	EIBIX	10/2/2017 ⁶	20.12 ^u	19.98	5.8200	5/1/2018	19.42	5.6200	-0.56	-2.78
6.6230	EV INCOME FD OF BOSTON I	EIBIX	11/1/2017 ⁶	38.48 ^u		5.8100	5/1/2018	37.22	5.6200	-1.26	-3.27
6.4990	EV INCOME FD OF BOSTON I	EIBIX	12/1/2017 ⁶	37.50 ^u		5.7700	5/1/2018	36.52	5.6200	-0.98	-2.61
6.7500	EV INCOME FD OF BOSTON I	EIBIX	1/2/2018 ⁶	38.81 ^u		5.7500	5/1/2018	37.94	5.6200	-0.87	-2.24
6.7910	EV INCOME FD OF BOSTON I	EIBIX	2/1/2018 ⁶	39.05 ^u		5.7500	5/1/2018	38.17	5.6200	-0.88	-2.25
6.2540	EV INCOME FD OF BOSTON I	EIBIX	3/1/2018 ⁶	35.52 ^u		5.6800	5/1/2018	35.15	5.6200	-0.37	-1.04
6.8310	EV INCOME FD OF BOSTON I	EIBIX	5/1/2018 ⁶	38.39 ^u		5.6200	5/1/2018	38.41	5.6200	0.02	0.05
4.6310	HARBOR INTERNATIONAL I	HAHIX	12/20/2016 ⁶	269.53 ^u		58.2000	6/20/2017	314.64	67.9400	45.11	16.74
113.3350	HARBOR INTERNATIONAL I	HAHIX	9/21/2017 ⁶	7,927.78 ^u		69.9500	9/29/2017	7,961.78	70.2500	34.00	0.43
2.8090	HARTFORD MIDCAP I	HFMIX	3/20/2017 ⁶	77.93 ^u		27.7400	9/29/2017	84.34	30.0200	6.41	8.23
181.5180	MFS EMRG MKTS DEBT I	MEDIX	9/21/2017 ⁶	2,742.73 ^u		15.1100	9/29/2017	2,739.11	15.0900	-3.62	-0.13
26.8160	OAK RIDGE SM CAP GRWTH I	ORIXX	12/13/2016 ⁶	924.87 ^u		34.4900	3/2/2017	948.76	35.3800	23.89	2.58
249.4650	T ROWE PRICE REAL ESTATE	TRREX	3/20/2017 ⁶	7,000.00 ^u		28.0600	6/20/2017	7,124.71	28.5600	124.71	1.78
1.3470	T ROWE PRICE REAL ESTATE	TRREX	3/30/2017 ⁶	37.42 ^u		27.7800	6/20/2017	38.48	28.5600	1.06	2.83
279.0490	T ROWE PRICE REAL ESTATE	TRREX	9/21/2017 ⁶	7,927.78 ^u		28.4100	9/29/2017	7,902.67	28.3200	-25.11	-0.32
1.6860	T ROWE PRICE REAL ESTATE	TRREX	9/28/2017 ⁶	47.44 ^u		28.1400	9/29/2017	47.75	28.3200	0.31	0.65

Long											
742,3900	DELAWARE INTL VAL EQTY I	DEQIX	8/22/2011 ^u	8,299.92 ^u		11.1800	3/2/2017	10,000.00	13.4700	1,700.08	20.48
599,2920	DELAWARE INTL VAL EQTY I	DEQIX	8/22/2011 ^u	6,700.08 ^u		11.1800	3/20/2017	8,270.22	13.8000	1,570.14	23.43
29,9600	DELAWARE INTL VAL EQTY I	DEQIX	12/23/2011 ^u	308.59 ^u		10.3000	3/20/2017	413.44	13.8000	104.85	33.98
21,2640	DELAWARE INTL VAL EQTY I	DEQIX	12/24/2012 ^u	249.64 ^u		11.7400	3/20/2017	293.44	13.8000	43.80	17.55
17,7860	DELAWARE INTL VAL EQTY I	DEQIX	12/23/2013 ^u	247.94 ^u		13.9400	3/20/2017	245.45	13.8000	-2.49	-1.00
32,9740	DELAWARE INTL VAL EQTY I	DEQIX	12/23/2014 ^u	426.03 ^u		12.9200	3/20/2017	455.04	13.8000	29.01	6.81
22,5540	DELAWARE INTL VAL EQTY I	DEQIX	12/23/2015 ^u	284.40 ^u		12.6100	3/20/2017	311.25	13.8000	26.85	9.44
507,6140	EV INCOME FD OF BOSTON I	EIBIX	6/23/2015 ^u	3,000.00 ^u	2,972.90	5.9100	5/1/2018	2,852.79	5.6200	-120.11	-4.00
0,5230	EV INCOME FD OF BOSTON I	EIBIX	7/1/2015 ^u	3.07 ^u	3.06	5.8700	5/1/2018	2.93	5.6200	-0.13	-4.23

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
2863-9259
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss
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Long - Continued

2.7560	EV INCOME FD OF BOSTON I	EIBX	8/3/2015 ^F	16.07 ^M	16.06	5.8300	5/1/2018	15.48	5.6200	-0.58	-3.61
2.8090	EV INCOME FD OF BOSTON I	EIBX	9/1/2015 ^F	16.07 ^M	16.04	5.7200	5/1/2018	15.78	5.6200	-0.26	-1.62
2.8110	EV INCOME FD OF BOSTON I	EIBX	10/1/2015 ^F	15.60 ^M	15.57	5.5500	5/1/2018	15.79	5.6200	0.22	1.41
2.8570	EV INCOME FD OF BOSTON I	EIBX	11/2/2015 ^F	16.17 ^M		5.6600	5/1/2018	16.05	5.6200	-0.12	-0.74
2.7740	EV INCOME FD OF BOSTON I	EIBX	12/1/2015 ^F	15.34 ^M		5.5300	5/1/2018	15.59	5.6200	0.25	1.63
2.9260	EV INCOME FD OF BOSTON I	EIBX	1/4/2016 ^F	15.80 ^M	15.75	5.4000	5/1/2018	16.44	5.6200	0.69	4.37
2.9660	EV INCOME FD OF BOSTON I	EIBX	2/1/2016 ^F	15.81 ^M	15.75	5.3300	5/1/2018	16.66	5.6200	0.91	5.76
2.7720	EV INCOME FD OF BOSTON I	EIBX	3/1/2016 ^F	14.83 ^M	14.76	5.3500	5/1/2018	15.57	5.6200	0.81	5.48
2.9270	EV INCOME FD OF BOSTON I	EIBX	4/1/2016 ^F	16.07 ^M	16.00	5.4900	5/1/2018	16.44	5.6200	0.44	2.74
2.7960	EV INCOME FD OF BOSTON I	EIBX	5/2/2016 ^F	15.60 ^M	15.51	5.5800	5/1/2018	15.71	5.6200	0.20	1.28
2.8890	EV INCOME FD OF BOSTON I	EIBX	6/1/2016 ^F	16.12 ^M	16.03	5.5800	5/1/2018	16.23	5.6200	0.20	1.24
2.8030	EV INCOME FD OF BOSTON I	EIBX	7/1/2016 ^F	15.64 ^M	15.54	5.5800	5/1/2018	15.75	5.6200	0.21	1.34
2.8940	EV INCOME FD OF BOSTON I	EIBX	8/1/2016 ^F	16.38 ^M	16.28	5.6600	5/1/2018	16.26	5.6200	-0.02	-0.12
2.7520	EV INCOME FD OF BOSTON I	EIBX	9/1/2016 ^F	15.77 ^M	15.66	5.7300	5/1/2018	15.46	5.6200	-0.20	-1.27
2.6700	EV INCOME FD OF BOSTON I	EIBX	10/3/2016 ^F	15.30 ^M	15.18	5.7300	5/1/2018	15.00	5.6200	-0.18	-1.18
2.7810	EV INCOME FD OF BOSTON I	EIBX	11/1/2016 ^F	15.85 ^M		5.7000	5/1/2018	15.62	5.6200	-0.23	-1.45
2.7120	EV INCOME FD OF BOSTON I	EIBX	12/1/2016 ^F	15.35 ^M		5.6600	5/1/2018	15.24	5.6200	-0.11	-0.72
2.8050	EV INCOME FD OF BOSTON I	EIBX	1/3/2017 ^F	16.07 ^M	15.97	5.7300	5/1/2018	15.76	5.6200	-0.21	-1.31
2.7970	EV INCOME FD OF BOSTON I	EIBX	2/1/2017 ^F	16.11 ^M	16.02	5.7600	5/1/2018	15.71	5.6200	-0.31	-1.92
2.4620	EV INCOME FD OF BOSTON I	EIBX	3/1/2017 ^F	14.28 ^M	14.18	5.8000	5/1/2018	13.83	5.6200	-0.35	-2.45
2.7470	EV INCOME FD OF BOSTON I	EIBX	4/3/2017 ^F	15.85 ^M	15.75	5.7700	5/1/2018	15.43	5.6200	-0.32	-2.02
2.6420	EV INCOME FD OF BOSTON I	EIBX	5/1/2017 ^F	15.35 ^M	15.24	5.8100	5/1/2018	14.84	5.6200	-0.40	-2.61
220.2140	HARBOR INTERNATIONAL I	HAINX	8/22/2011 ^M	11,900.37 ^M		54.0400	6/20/2017	14,961.33	67.9400	3,060.96	25.72
11.5330	HARBOR INTERNATIONAL I	HAINX	12/20/2011 ^M	584.28 ^M		50.6600	6/20/2017	783.55	67.9400	199.27	34.11
342.7000	MFS EMRG MKTS DEBT I	MEDIX	6/23/2015 ^F	5,000.00 ^M		14.5900	9/29/2017	5,171.34	15.0900	171.34	3.43
312.0120	OAK RIDGE SM CAP GRWTH I	ORLYX	8/22/2011 ^M	8,000.00 ^M		25.6400	3/2/2017	11,038.98	35.3800	3,038.98	37.99
16.4780	OAK RIDGE SM CAP GRWTH I	ORLYX	11/29/2011 ^M	449.02 ^M		27.2500	3/2/2017	582.99	35.3800	133.97	29.84
10.0690	OAK RIDGE SM CAP GRWTH I	ORLYX	11/28/2012 ^F	294.72 ^M		29.2700	3/2/2017	356.24	35.3800	61.52	20.87
74.8500	OAK RIDGE SM CAP GRWTH I	ORLYX	3/28/2013 ^F	2,500.00 ^M		33.4000	3/2/2017	2,648.19	35.3800	148.19	5.93
17.5800	OAK RIDGE SM CAP GRWTH I	ORLYX	10/2/2013 ^F	704.07 ^M		40.0500	3/2/2017	621.98	35.3800	-82.09	-11.66
226.0110	OPPENHMR DEVELOPING MKTS Y	ODVXX	8/22/2011 ^M	6,940.80 ^M		30.7100	6/20/2017	8,540.98	37.7900	1,600.18	23.05
4.9110	OPPENHMR DEVELOPING MKTS Y	ODVXX	12/10/2012 ^F	166.08 ^M		33.8200	6/20/2017	185.58	37.7900	19.50	11.74
85.8610	OPPENHMR DEVELOPING MKTS Y	ODVXX	3/28/2013 ^F	3,000.00 ^M		34.9400	6/20/2017	3,244.68	37.7900	244.68	8.16
3.6590	OPPENHMR DEVELOPING MKTS Y	ODVXX	12/9/2013 ^F	135.65 ^M		37.0700	6/20/2017	138.27	37.7900	2.62	1.93
3.3380	OPPENHMR DEVELOPING MKTS Y	ODVXX	12/9/2013 ^F	123.75 ^M		37.0700	6/20/2017	126.14	37.7900	2.39	1.93

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
2863-9259
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long - Continued											
12.5250	OPPENHMR DEVELPNG MKTS Y	ODVXX	12/8/2014	456.54		36.4500	6/20/2017	473.31	37.7900	16.77	3.67
4.6850	OPPENHMR DEVELPNG MKTS Y	ODVXX	12/8/2014	170.78		36.4500	6/20/2017	177.04	37.7900	6.26	3.67
5.6830	OPPENHMR DEVELPNG MKTS Y	ODVXX	12/3/2015	174.99		30.9000	6/20/2017	214.00	37.7900	39.01	22.29
87.5140	T ROWE PRICE MID CAP GRW	RPMGX	8/22/2014	4,518.35		51.6300	9/21/2017	7,787.02	88.9800	3,268.67	72.34
0.9570	T ROWE PRICE MID CAP GRW	RPMGX	8/22/2014	49.44		51.6300	9/29/2017	86.23	90.1100	36.82	74.52
948.6910	VIRTUS VONTOBEL EMG I	HIEMX	8/22/2014	8,462.33		8.9200	9/21/2017	11,080.71	11.6800	2,618.38	30.94
258.7460	VICTORY SYCAMORE SMALL I	VSOIX	7/10/2012	8,241.06		31.8500	9/21/2017	12,036.85	46.5200	3,795.79	46.06
31.4750	VICTORY SYCAMORE SMALL I	VSOIX	7/10/2012	1,002.48		31.8500	9/29/2017	1,516.78	48.1900	514.30	51.30
				82,739.78	3,257.25			104,961.39	22,250.15		
Misc											
7.0140	EV INCOME FD OF BOSTON I	EIBIX	4/2/2018	39.42		5.6200	5/11/2018	39.42	5.6200		
367.7800	GILDMN SACH FNL SQ GOVT I	FGTXX	3/20/2017	367.78		1.0000	7/13/2018	367.78	1.0000		
				407.20					407.20		

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
2863-9259
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Report Summary			115,409.37	7,877.81			137,709.13			22,330.87	
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Realized Gains or Losses	
Short Term	80.72
Long Term	22,250.15

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Net Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.
Noncovered Tax Lot- Cost information for this tax lot is not covered by IRS reporting requirements.
Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.
CSI -Cash Standing Instructions indicates the disposition of stock and money on cash accounts
MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

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Closed Holdings

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
5316-8147
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
9.4770	AMER FDS EUROPACIFIC	AEPFX	7/14/2010 ^M	347.19 ^N	36.6345	7/16/2018	512.51	54.0800	165.32 47.62	LONG
11.3340	BROWN ADVSR S/CAP INSTL	BARFX	8/29/2017 ^C	400.66 ^N	35.3500	7/16/2018	473.43	41.7700	72.77 18.16	SHORT
233.9060	CREDIT SUIS COMM RET I	CRSOX	4/15/2015 ^C	1,363.69 ^N	5.8300	8/29/2017	1,141.47	4.8800	-222.22 -16.30	LONG
19.3610	CRSOX	CRSOX	8/14/2015 ^C	100.29 ^N	5.1800	8/29/2017	94.48	4.8800	-5.81 -5.79	LONG
20.8900	CRSOX	CRSOX	8/24/2016 ^C	102.57 ^N	4.9100	8/29/2017	101.95	4.8800	-0.62 -0.60	LONG
				1,566.55			1,337.90		-228.65	
24.7210	DIAMOND HILL LARGE CAP DILRX		7/1/2013 ^C	489.23 ^N	19.7900	7/16/2018	657.08	26.5800	167.85 34.31	LONG
208.7000	GOLDMAN FINL SQ TREAS MM	FTIXX	8/24/2016 ^N	208.70 ^N	1.0000	1/13/2017	208.70	1.0000		Miscellaneous
139.7500		FTIXX	8/24/2016 ^N	139.75 ^N	1.0000	4/13/2017	139.75	1.0000		Miscellaneous
77.5400		FTIXX	8/25/2016 ^N	77.54 ^N	1.0000	4/13/2017	77.54	1.0000		Miscellaneous
27.2100		FTIXX	8/25/2016 ^N	27.21 ^N	1.0000	7/14/2017	27.21	1.0000		Miscellaneous
0.0100		FTIXX	1/3/2017 ^N	0.01 ^N	1.0000	7/14/2017	0.01	1.0000		Miscellaneous
219.7000		FTIXX	8/30/2017 ^N	219.70 ^N	1.0000	10/13/2017	219.70	1.0000		Miscellaneous
0.0100		FTIXX	9/1/2017 ^N	0.01 ^N	1.0000	10/13/2017	0.01	1.0000		Miscellaneous
0.3000		FTIXX	10/2/2017 ^N	0.30 ^N	1.0000	10/13/2017	0.30	1.0000		Miscellaneous
236.8700		FTIXX	10/19/2017 ^N	236.87 ^N	1.0000	1/12/2018	236.87	1.0000		Miscellaneous
235.1100		FTIXX	10/19/2017 ^N	235.11 ^N	1.0000	4/13/2018	235.11	1.0000		Miscellaneous
236.7400		FTIXX	10/19/2017 ^N	236.74 ^N	1.0000	7/13/2018	236.74	1.0000		Miscellaneous
				1,381.94			1,381.94			
22.2660	JPMORGAN LGE CAP GRWTH I	SEEGX	7/1/2013 ^C	584.48 ^N	26.2500	7/16/2018	992.38	44.5700	407.90 69.79	LONG
18.8970	LORD ABBETT VALUE OPS I	LVOYX	4/15/2015 ^C	401.50 ^N	21.2464	7/16/2018	404.78	21.4200	3.28 0.82	LONG
6.9250	MFS VALUE I	MEIX	4/14/2015 ^C	246.60 ^N	35.6100	7/16/2018	277.08	40.0100	30.48 12.36	LONG
272.6410	NUVEEN MUN TRUST CLASS I	NUVBX	7/1/2013 ^C	2,456.50 ^N	9.0100	10/19/2017	2,535.56	9.3000	79.06 3.22	LONG
46.4400	OAK RIDGE SM CAP GRWTH I	ORRYX	7/1/2013 ^C	1,624.93 ^N	34.9900	8/29/2017	1,649.55	35.5200	24.62 1.52	LONG
2.6130		ORRYX	11/26/2013 ^C	101.63 ^N	38.9000	8/29/2017	92.81	35.5200	-8.82 -8.68	LONG
1.9510		ORRYX	8/25/2014 ^C	78.86 ^N	40.4300	8/29/2017	69.29	35.5200	-9.57 -12.14	LONG
3.6340		ORRYX	12/16/2014 ^C	136.52 ^N	37.5700	8/29/2017	129.08	35.5200	-7.44 -5.45	LONG
0.2990		ORRYX	12/16/2014 ^C	11.23 ^N	37.5700	8/29/2017	10.62	35.5200	-0.61 -5.43	LONG
0.7180		ORRYX	8/14/2015 ^C	29.75 ^N	41.4300	8/29/2017	25.50	35.5200	-4.25 -14.29	LONG
3.5970		ORRYX	12/11/2015 ^C	128.05 ^N	35.6000	8/29/2017	127.76	35.5200	-0.29 -0.23	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
5316-8147
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
23.9600		ORLYX	8/24/2016 ^c	874.79 ⁿ	36.5100	8/29/2017	851.07	35.5200	-23.72	-2.71	LONG
5.1770		ORLYX	12/13/2016 ^c	178.57 ⁿ	34.4900	8/29/2017	183.90	35.5200	5.33	2.98	SHORT
				3,164.33			3,139.58		-24.75		
8.7730	PGIM JENNISON MID-CAP Z PEGZ	TRBCX	7/1/2013 ^c	314.69 ⁿ	35.8700	7/16/2018	353.63	40.3100	38.94	12.37	LONG
2.2000	T ROWE PRICE BLE CHP GRW	TRBCX	7/14/2010 ⁿ	70.32 ⁿ	31.9600	7/14/2017	196.71	89.4300	126.39	179.74	LONG
0.1080		TRBCX	7/14/2010 ⁿ	3.46 ⁿ	31.9600	10/13/2017	10.26	95.1700	6.80	186.53	LONG
14.4720		TRBCX	7/14/2010 ⁿ	462.51 ⁿ	31.9600	7/16/2018	1,619.73	111.9200	1,157.22	250.20	LONG
				536.29			1,826.70		1,290.41		
8.8870	WELLS FARGO EMKT INSTL	EMGNX	7/1/2013 ^c	186.45 ⁿ	20.9800	7/16/2018	224.66	25.2800	38.21	20.49	LONG

Closed Holdings
01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
 A5VK MAHN/GOWEN

Prepared For:
 5316-8147
 ANN M GETTINGER LIV TR
 ANN M GETTINGER TTEE
 U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
Report Summary				12,076.41		14,117.23			2,040.82	

Realized Gains or Losses		
Short Term		78.10
Long Term		1,962.72

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
5316-8147
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Short											
11.3340	BROWN ADVSR S/CAP INSTL	BAFSX	8/29/2017	400.66		35.3500	7/16/2018	473.43	41.7700	72.77	18.16
5.1770	OAK RIDGE SM CAP GRWTH I	ORRYX	12/13/2016	178.57		34.4900	8/29/2017	183.90	35.5200	5.33	2.98
				579.23				657.33		78.10	

Long											
233.9090	CREDIT SUIS COMM RET I	CRSXX	4/15/2015	1,363.69		5.8300	8/29/2017	1,141.47	4.8800	-222.22	-16.30
19.3610	CREDIT SUIS COMM RET I	CRSXX	8/14/2015	100.29		5.1800	8/29/2017	94.48	4.8800	-5.81	-5.79
20.8900	CREDIT SUIS COMM RET I	CRSXX	8/24/2016	102.57		4.9100	8/29/2017	101.95	4.8800	-0.62	-0.60
24.7210	DIAMOND HILL LARGE CAP I	DHLRX	7/1/2013	489.23		19.7900	7/16/2018	657.06	26.5800	167.85	34.31
18.8970	LORD ABBETT VALUE OPS I	LVOYX	4/15/2015	401.50		21.2464	7/16/2018	404.78	21.4200	3.28	0.82
6.9250	MFS VALUE I	MEIXX	4/14/2015	246.60		35.6100	7/16/2018	277.08	40.0100	30.48	12.36
272.6410	NUVEEN MUN TRUST CLASS I	NUVBX	7/1/2013	2,456.50		9.0100	10/19/2017	2,535.56	9.3000	79.06	3.22
8.8870	WELLS FARGO EMKT INSTL	EMGNX	7/1/2013	186.45		20.9800	7/16/2018	224.66	25.2800	38.21	20.49
9.4770	AMER FDS EUROPACIFIC F2	AEPFX	7/1/2013	347.19		36.6345	7/16/2018	512.51	54.0800	165.32	47.62
46.4400	OAK RIDGE SM CAP GRWTH I	ORRYX	7/1/2013	1,624.93		34.9900	8/29/2017	1,649.55	35.5200	24.62	1.52
2.6130	OAK RIDGE SM CAP GRWTH I	ORRYX	11/26/2013	101.63		38.9000	8/29/2017	92.81	35.5200	-8.82	-8.68
1.9510	OAK RIDGE SM CAP GRWTH I	ORRYX	8/25/2014	78.86		40.4300	8/29/2017	69.29	35.5200	-9.57	-12.14
3.6340	OAK RIDGE SM CAP GRWTH I	ORRYX	12/16/2014	136.52		37.5700	8/29/2017	129.08	35.5200	-7.44	-5.45
0.2990	OAK RIDGE SM CAP GRWTH I	ORRYX	12/16/2014	11.23		37.5700	8/29/2017	10.62	35.5200	-0.61	-5.43
0.7180	OAK RIDGE SM CAP GRWTH I	ORRYX	8/14/2015	29.75		41.4300	8/29/2017	25.50	35.5200	-4.25	-14.29
3.5970	OAK RIDGE SM CAP GRWTH I	ORRYX	12/11/2015	128.05		35.6000	8/29/2017	127.76	35.5200	-0.29	-0.23
23.9600	OAK RIDGE SM CAP GRWTH I	ORRYX	8/24/2016	874.79		36.5100	8/29/2017	851.07	35.5200	-23.72	-2.71
22.2660	JPMORGAN LGE CAP GRWTH I	SEEGX	7/1/2013	584.48		26.2500	7/16/2018	992.38	44.5700	407.90	69.79
8.7730	PGIM JENNISON MID-CAP Z	PEGZX	7/1/2013	314.69		35.8700	7/16/2018	353.63	40.3100	38.94	12.37
2.2000	T ROWE PRICE BLE CHIP GRW	TRBCX	7/14/2010	70.32		31.9600	7/14/2017	196.71	89.4300	126.39	179.74
0.1080	T ROWE PRICE BLE CHIP GRW	TRBCX	7/14/2010	3.46		31.9600	10/13/2017	10.26	95.1700	6.80	196.53
14.4720	T ROWE PRICE BLE CHIP GRW	TRBCX	7/14/2010	462.51		31.9600	7/16/2018	1,619.73	111.9200	1,157.22	250.20
				10,115.24				12,077.96		1,962.72	

Misc											
208.7000	GOLDMAN FINL SQ TREAS MM	FTDXX	8/24/2016	208.70		1.0000	1/13/2017	208.70	1.0000		
139.7500	GOLDMAN FINL SQ TREAS MM	FTDXX	8/24/2016	139.75		1.0000	4/13/2017	139.75	1.0000		
77.5400	GOLDMAN FINL SQ TREAS MM	FTDXX	8/25/2016	77.54		1.0000	4/13/2017	77.54	1.0000		
27.2100	GOLDMAN FINL SQ TREAS MM	FTDXX	8/25/2016	27.21		1.0000	7/14/2017	27.21	1.0000		

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
5316-8147
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss
Misc - Continued										
0.0100	GOLDMAN FINL SQ TREAS MM	FT1XX	1/3/2017 ^N	0.01 ^N		1.0000	7/14/2017	0.01	1.0000	
219.7000	GOLDMAN FINL SQ TREAS MM	FT1XX	8/30/2017 ^N	219.70 ^N		1.0000	10/13/2017	219.70	1.0000	
0.0100	GOLDMAN FINL SQ TREAS MM	FT1XX	9/1/2017 ^N	0.01 ^N		1.0000	10/13/2017	0.01	1.0000	
0.3000	GOLDMAN FINL SQ TREAS MM	FT1XX	10/2/2017 ^N	0.30 ^N		1.0000	10/13/2017	0.30	1.0000	
236.8700	GOLDMAN FINL SQ TREAS MM	FT1XX	10/19/2017 ^N	236.87 ^N		1.0000	1/12/2018	236.87	1.0000	
235.1100	GOLDMAN FINL SQ TREAS MM	FT1XX	10/19/2017 ^N	235.11 ^N		1.0000	4/13/2018	235.11	1.0000	
236.7400	GOLDMAN FINL SQ TREAS MM	FT1XX	10/19/2017 ^N	236.74 ^N		1.0000	7/13/2018	236.74	1.0000	
				1,381.94				1,381.94		

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
5316-8147
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Report Summary				12,076.41	0.00		14,117.23			2040.82	
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Realized Gains or Losses	
Short Term	78.10
Long Term	1,962.72

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Net Average Cost
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Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8707-1094
ANN M GETTINGER (ROTH IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss	Term
3.3000	GOLDMAN FINL SQ TREAS	FTXX	6/22/2017 ^M	3.30 ^N	1.0000	6/27/2017	3.30	1.0000			Miscellaneous
	MM										
31.3500		FTXX	6/22/2017 ^M	31.35 ^N	1.0000	7/14/2017	31.35	1.0000			Miscellaneous
33.3800		FTXX	6/22/2017 ^M	33.38 ^N	1.0000	10/13/2017	33.38	1.0000			Miscellaneous
34.4000		FTXX	6/22/2017 ^M	34.40 ^N	1.0000	1/12/2018	34.40	1.0000			Miscellaneous
34.2000		FTXX	6/22/2017 ^M	34.20 ^N	1.0000	4/13/2018	34.20	1.0000			Miscellaneous
34.6000		FTXX	6/22/2017 ^M	34.60 ^N	1.0000	7/13/2018	34.60	1.0000			Miscellaneous
				<u>171.23</u>			<u>171.23</u>				
65.6380	JANUS FLEXIBLE BD FDI	JFLEX	6/22/2017 ^c	685.92 ^N	10.4500	1/17/2018	675.42	10.2900	-10.50	-1.53	SHORT
15.3680	PRINCIPAL GBL R/E SEC IN	POSIX	6/22/2017 ^c	142.00 ^N	9.2400	6/22/2018	148.15	9.6400	6.15	4.33	SHORT
16.6310	T ROWE PR INTL	TROXS	6/22/2017 ^c	174.13 ^N	10.4700	1/17/2018	198.07	11.9100	23.94	13.75	SHORT
	OVERSEAS										
13.7080	T ROWE PRICE REAL	TREX	6/22/2017 ^c	390.00 ^N	28.4500	6/22/2018	389.44	28.4100	-0.56	-0.14	SHORT
	ESTATE										
0.0820		TREX	6/29/2017 ^c	2.33 ^N	28.5200	6/22/2018	2.32	28.4100	-0.01	-0.43	SHORT
0.0830		TREX	9/28/2017 ^c	2.34 ^N	28.1400	6/22/2018	2.35	28.4100	0.01	0.43	SHORT
0.1400		TREX	12/14/2017 ^c	4.02 ^N	28.8000	6/22/2018	3.97	28.4100	-0.05	-1.24	SHORT
0.1250		TREX	12/14/2017 ^c	3.61 ^N	28.8000	6/22/2018	3.55	28.4100	-0.06	-1.66	SHORT
1.2140		TREX	1/17/2018 ^c	33.42 ^N	27.5200	6/22/2018	34.51	28.4100	1.09	3.26	SHORT
0.0950		TREX	3/28/2018 ^c	2.46 ^N	25.8500	6/22/2018	2.71	28.4100	0.25	10.16	SHORT
				<u>438.18</u>			<u>438.85</u>		<u>0.67</u>		
3.5480	TOUCHSTONE MID CAP	TMCPIX	6/22/2017 ^c	102.40 ^N	28.8600	1/17/2018	120.28	33.9000	17.88	17.46	SHORT
	INST										

Closed Holdings
01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 8707-1094
 ANN M GETTINGER (ROTH IRA)
 WFCF AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
Report Summary				1,713.86			1,752.00		38.14	

Realized Gains or Losses
 Short Term 38.14

Legend	
(*) Security Held Away	Cost Amount
Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8707-1094
ANN M GETTINGER (ROTH IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Short											
65.6380	JANUS FLEXIBLE BD FDI	JFLEX	6/22/2017 ^e	685.92 ^e		10.4500	1/17/2018	675.42	10.2900	-10.50	-1.53
15.3680	PRINCIPL GBL RE SEC IN	POSIX	6/22/2017 ^e	142.00 ^e		9.2400	6/22/2018	148.15	9.6400	6.15	4.33
13.7080	T ROWE PRICE REAL ESTATE	TREX	6/22/2017 ^e	390.00 ^e		28.4500	6/22/2018	389.44	28.4100	-0.56	-0.14
0.0820	T ROWE PRICE REAL ESTATE	TREX	6/29/2017 ^e	2.33 ^e		28.5200	6/22/2018	2.32	28.4100	-0.01	-0.43
0.0830	T ROWE PRICE REAL ESTATE	TREX	9/28/2017 ^e	2.34 ^e		28.1400	6/22/2018	2.35	28.4100	0.01	0.43
0.1400	T ROWE PRICE REAL ESTATE	TREX	12/14/2017 ^e	4.02 ^e		28.8000	6/22/2018	3.97	28.4100	-0.05	-1.24
0.1250	T ROWE PRICE REAL ESTATE	TREX	1/17/2018 ^e	3.61 ^e		27.5200	6/22/2018	34.51	28.4100	1.09	3.26
1.2140	T ROWE PRICE REAL ESTATE	TREX	3/28/2018 ^e	2.46 ^e		25.8500	6/22/2018	2.71	28.4100	0.25	10.16
0.0950	T ROWE PRICE REAL ESTATE	TREX	6/22/2017 ^e	174.13 ^e		10.4700	1/17/2018	198.07	11.9100	23.94	13.75
16.6310	T ROWE PR INTL OVERSEAS	TROSX	6/22/2017 ^e	102.40 ^e		28.8600	1/17/2018	120.28	33.9000	17.88	17.46
3.5480	TOUCHSTONE MID CAP INST	TMCPIX									
				1,542.63				1,580.77		38.14	
Misc											
3.3000	GOLDMAN FINL SQ TREAS MM	FTXX	6/22/2017 ^e	3.30 ^e		1.0000	6/27/2017	3.30	1.0000		
31.3500	GOLDMAN FINL SQ TREAS MM	FTXX	6/22/2017 ^e	31.35 ^e		1.0000	7/14/2017	31.35	1.0000		
33.3800	GOLDMAN FINL SQ TREAS MM	FTXX	6/22/2017 ^e	33.38 ^e		1.0000	10/13/2017	33.38	1.0000		
34.4000	GOLDMAN FINL SQ TREAS MM	FTXX	6/22/2017 ^e	34.40 ^e		1.0000	1/12/2018	34.40	1.0000		
34.2000	GOLDMAN FINL SQ TREAS MM	FTXX	6/22/2017 ^e	34.20 ^e		1.0000	4/13/2018	34.20	1.0000		
34.6000	GOLDMAN FINL SQ TREAS MM	FTXX	6/22/2017 ^e	34.60 ^e		1.0000	7/13/2018	34.60	1.0000		
				171.23				171.23			

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8707-1094
ANN M GETTINGER (ROTH IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
----------	------	----------	-----------	-------------	----------------------	-------------	------------	-----------	-------------	----------	----------------

Report Summary				1,713.86	0.00			1,752.00		38.14	
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Realized Gains or Losses
Short Term 38.14

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
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Closed Holdings 01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
2981-9854
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
0.3480 *	AMERICAN INTL GROUP WTS	026874-15-6	8/1/2011 ^M			3/3/2017				Miscellaneous
5.000	COLUMBIA MO	198050-AP-1	8/16/2011 ^M	5,000.00 ^E	111.7980	10/2/2017	5,000.00	100.0000		Miscellaneous
5.5%10/01/19										
5.000	SOUTHEAS MO3.35%04/01/24	841438-GY-4	6/19/2015 ^E	5,100.00 ^E	103.7090	4/3/2017	5,100.00	102.0000		Miscellaneous
10.000	ST LOUIS MO 3.0%03/01/20	79148P-BY-6	8/15/2011 ^M	10,000.00 ^E	101.1850	3/2/2018	10,000.00	100.0000		Miscellaneous
10.000	WIENTZVI MO3.375%04/01/20	95073B-AJ-6	8/15/2011 ^M	10,000.00 ^E	101.9340	4/2/2018	10,000.00	100.0000		Miscellaneous
Report Summary				50,100.00			50,100.00		0.00	

Legend	
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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:

2981-9854
ANN M GETTINGER LIV TR
ANN M GETTINGER TTEE
U/A DTD 06/28/2006
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss
Misc										
0.3480	AMERICAN INTL GROUP WTS	026874-15-6	8/1/2017				3/3/2017			
5.000	COLUMBIA MO 5.5%10/01/19	198050-AP-1	8/16/2017	5,594.90*	5,000.00	111.7980	10/2/2017	5,000.00	100.0000	
5.000	SOUTHEAS MO3.35%04/01/24	841438-GY-4	6/19/2015	5,192.45*	5,100.00	103.7090	4/3/2017	5,100.00	102.0000	
10.000	ST LOUIS MO 3.0%03/01/20	79148P-BY-6	8/15/2017	10,123.50*	10,000.00	101.1850	3/2/2018	10,000.00	100.0000	
10.000	WENTZV MO3.375%04/01/20	95073B-AJ-6	8/15/2017	10,198.40*	10,000.00	101.9340	4/2/2018	10,000.00	100.0000	
				31,109.25	30,100.00			30,100.00		
Report Summary				31,109.25	30,100.00			30,100.00		

Legend

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Closed Holdings 01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
2981-9926

ANN M GETTINGER BENE IRA
ALAINE M GETTINGER DECD
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
11.7290	AMER FDS INVT CO AMR	AVSX	7/23/2007 ^N	457.20 ^N	38.9800	1/22/2018	493.00	42.6300	35.80	7.83 LONG
8.0190	AMER FDS SMALLCAP	SMCWX	7/23/2007 ^N	407.53 ^N	50.8200	3/2/2017	388.00	49.2600	-19.53	-4.79 LONG
	WLD A									
Report Summary				864.73			881.00		16.27	

Realized Gains or Losses
Long Term 16.27

Legend	
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Long											
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8.0190	AMER FDS SMALLCAP WLD A	SMCWX	7/23/2007 ^M	407.53 ^M		50.8200	3/2/2017	388.00	49.2600	-19.53	-4.79
				864.73				881.00		16.27	
Report Summary				864.73	0.00			881.00		16.27	

Realized Gains or Losses

Long Term 16.27

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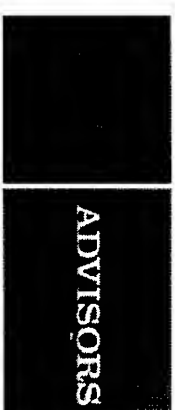
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September 5, 2018

Portfolio Report

Prepared for:
Ann Gettinger

Prepared by:
Kyle J. Gowen, MBA
Financial Advisor
Mahn Wealth Management Group of Wells Fargo Advisors

1311 Veterans Blvd
PO Box 727
Festus, MO 63028

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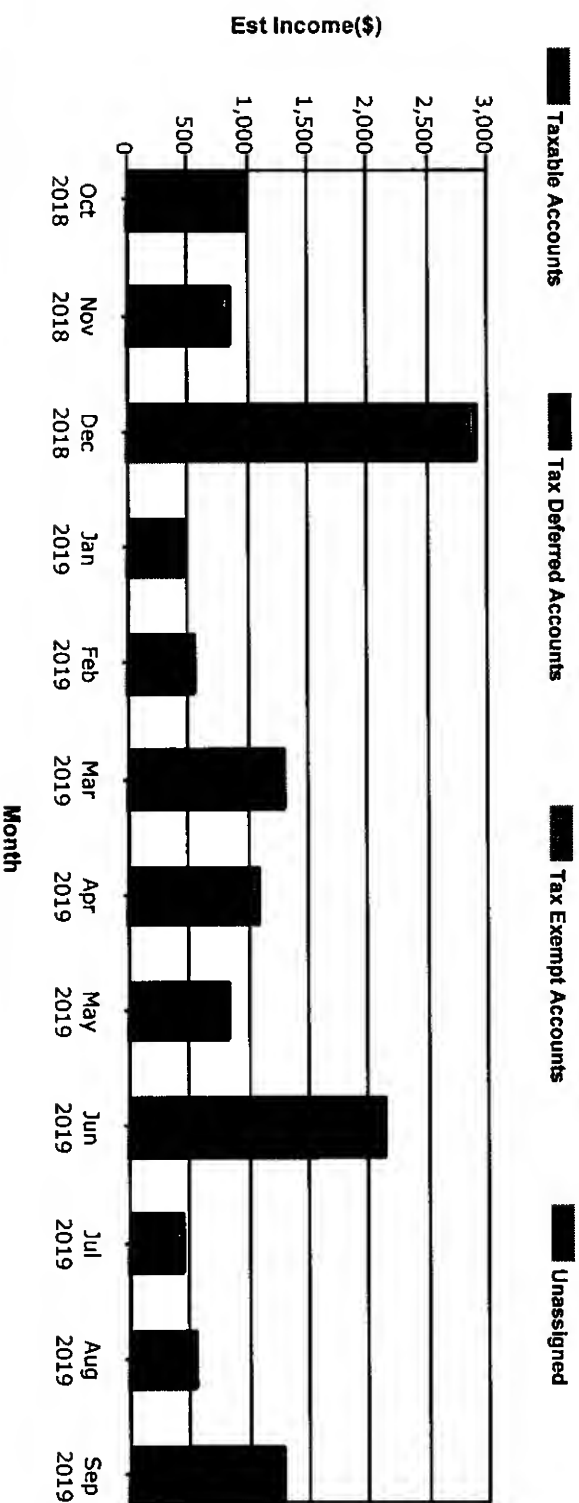
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Accounts Included In the Report	3
Portfolio Estimated Income	4
Understanding Your Portfolio	7

Accounts Included in the Report

XXXX9760	GETTINGER ANN M	Ann's Trust - Managed DSP	Taxable	Internal	9/4/2018
XXXX9259	GETTINGER ANN M	Ann's Trust - Asset Advisor	Taxable	Internal	9/4/2018
XXXX9854	GETTINGER ANN LIV TR GETTINGER ANN M	Ann's Trust - Inherited	Taxable	Internal	9/4/2018
XXXX9926	GETTINGER ANN M	Ann's Bene IRA	Deferred	Internal	9/4/2018
XXXX8147	GETTINGER ANN M	Ann's Trust - FundSource	Taxable	Internal	9/4/2018
XXXX1094	GETTINGER ANN M		Exempt	Internal	9/4/2018

Portfolio Estimated Income

By: Account Tax Status,Tax Type,Security Sub Type
Based on current income rates



Taxable Accounts		92	993	832	2,791	442	529	1,244	1,077	816	2,087	430	529	1,244	13,015
Dividend	Common Stock	50	228	347	880	228	310	880	228	347	880	228	310	880	5,744
	Common Stock	50	228	347	880	228	310	880	228	347	880	228	310	880	5,744
Taxable	Common Stock	30	143	175	957	154	160	304	227	160	472	143	160	304	3,359
	Common Stock	1							85						85
	Market Linked	1													0
	Equity Fund	21		16	788	12		135			303			135	1,389
	Bond Fund	4	141	141	141	141	141	141	141	141	141	141	141	141	1,696
Commodity Fund	Commodity Fund	1			27			27			27			27	107

Money Market Fund	2	1	18	1	1	18	1	1	18	1	1	18	1	82
Non-Federal	12	622	310	955	60	60	60	622	310	735	60	60	60	3,912
Municipal Bond	7	563	250	895	60	60	60	563	250	675	60	60	60	3,195
Bond Fund	5	60	60	60	60	60	60	60	60	60	60	60	60	717
Tax Deferred Accounts	5			48		18		24			18			107
Taxable	5			48		18		24			18			107
Equity Fund	5			48		18		24			18			107
Tax Exempt Accounts	12	16	16	60	16	16	30	16	16	30	16	16	30	279
Taxable	12	16	16	60	16	16	30	16	16	30	16	16	30	279
Equity Fund	7			44		14		14		14		14		87
Bond Fund	4	16	16	16	16	16	16	16	16	16	16	16	16	188
Money Market Fund	1	0	0	0	0	0	0	0	0	0	0	0	0	4
Unassigned	4	2	2	2	2	2	2	2	2	2	2	2	2	28
Not Available	4	2	2	2	2	2	2	2	2	2	2	2	2	28
Cash Alternative	4	2	2	2	2	2	2	2	2	2	2	2	2	28
Portfolio Estimated Income Total	113	1,011	850	2,901	461	547	1,295	1,096	835	2,143	449	548	1,294	13,429

Total Portfolio Value as of 09/04/18: \$ 707,511

This report is not a substitute for official tax reporting. This report separates the holdings, first based on the taxability at the account level. The secondary aggregation is Tax Type which is used to aggregate securities based on their assumed taxability of income (interest and dividends) at the time of this report. Classifications are made by data sources we deem reliable; however, we do not guarantee the accuracy of these classifications. Most securities are subject to capital gains taxes and some may be considered in calculations for Alternative Minimum Tax (AMT) that are not so designated here. This report does not attempt to portray phantom income (income accreted or accrued but not paid) on discount or zero coupon securities. You should consult with your tax advisor regarding the taxability of your holdings. The following tax type classifications may be included in this report: AMT - subject to Alternative Minimum Tax; Dividend - taxable as dividend income; Federal - income taxable by federal government (state and local income taxes may not apply); Non-Federal - income non-taxable by federal government but may be subject to state and local taxes; Taxable - income taxable by federal, state and local taxes; and Not Available - taxability undetermined.

Estimated Income Annualized (EIA) takes your current income, based on the current dividends or interest paid by your securities and multiplies it to create an annualized hypothetical figure. It does not reflect actual or future performance and should not be relied upon when making financial decisions. All annualizations are based upon current positions using a simple mathematical calculation and assumes all figures remain constant for a year; any subsequent position changes will affect these calculations. Calculations for certain types of securities could include a return of principal or capital gain, in which case the figures would be overstated. Past performance is not a guarantee of future results. Dividend payments are made solely at the discretion of the issuer and are subject to be changed or eliminated.

at any time. Estimated Income is an estimate and the actual income might be lower or higher than the estimated amount. Estimated Income reflects only the income generated by an investment. It does not reflect changes in price, which may fluctuate.

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Understanding Your Portfolio

General Information

Ann Gettinger

Investing involves risk, including the possible loss of principal. This report is intended to provide you with a descriptive overview of your current portfolio. The report may encompass one account or multiple accounts as specified in the report. The material has been prepared or is distributed solely for information purposes and does not supersede the proper use of your Wells Fargo Advisors client statements and/or trade confirmations, which are considered to be the official and accurate records of your account activity. Any market prices are only indications of market values, are subject to change, and may not reflect the value at which the securities could be sold. Reported prices should not be considered actual bids. For these reports, in certain groupings, Corporate Bonds Includes Certificates of Deposit. Additionally, the report is prepared as of trade date, rather than settlement date, and may be prepared on a different date than your statement. The information contained in this report may not reflect all holdings or transactions, their costs, or proceeds in your account.

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To the extent that this report includes fixed income securities, you should be aware that the descriptions of fixed income securities are general in nature and do not reflect important information that you should consider, such as but not limited to, yield, call features, credit quality, and various tax implications. Before making any decisions regarding fixed income investments, contact your advisor to obtain more detailed information regarding specific fixed income securities.

Asset classification of holdings in external accounts where classification is not readily available may be assigned to a multi-asset class category or reassigned into additional asset classes by your Financial Advisor which may not be the most accurate asset class based on the holdings' characteristics and risk profile. It is your responsibility to review the asset classification for external accounts and notify us of any changes.

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09/05/2018

External Accounts Included in Your Report

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Fixed Income Analysis

Municipal bonds: Under present federal income tax law, the interest income received from investing in tax-exempt municipal bonds is generally free from federal income taxes. While the interest income may be tax-free, capital gains, if any, will be subject to taxes. Income for some investors may be subject to the federal Alternative Minimum Tax (AMT).

Asset Class Descriptions

The asset class descriptions below are included solely to provide insight into how individual securities are tied to specific asset classes. We use our best efforts to correctly classify investments. However, no warranty of accuracy is made.

Equity Investments: Equity investments refer to buying stocks of United States companies. The investment return to the owner of stock (shareholder) is in the form of dividends and/or capital appreciation. The market capitalization of companies is used to group large, medium (Mid), and small companies. Shareholders share in both the upside potential and the downside risk.

Capitalization: Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar

US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar Index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 80th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.

Fixed Income Securities (Bonds): Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds) or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency, sovereign, supranational, and local authority debt), and corporate bonds.

Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bond's price. Lower rated securities are speculative and involve greater risk of default.

Term: Short-term bonds have effective maturities of five years or less, intermediate bonds have effective maturities between five and ten years, and long-term bonds have maturities of ten years or longer.

Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).

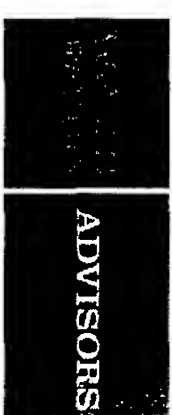
Real Assets: Real Assets includes Public Real Estate, Private Real Estate and Commodities. Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage REITs will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.

Multi-Class: This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes.

Cash Alternatives: Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

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September 5, 2018

Ellis/Ellis 401k Current WFA Portfolio Holdings

Prepared for:
Kathy Ellis

Prepared by:
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Festus, MO 63028

Investment and Insurance Products:

► NOT FDIC-insured ► NO Bank Guarantee ► MAY Lose Value

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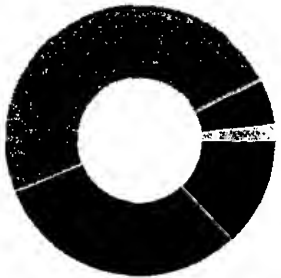
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Accounts Included in the Report

Account Number	Account Name	Account Type	Account Status	Account Balance
XXXX9642	ELLIS KATHRYN G	Taxable	Internal	9/4/2018
XXXX2136	ELLIS KATHRYN 401K	Deferred	Internal	9/4/2018
XXXX4833	ELLIS KATHRYN G	Deferred	Internal	9/4/2018
XXXX1842	ELLIS KATHRYN G	Deferred	Internal	9/4/2018
XXXX5983	ELLIS KATHRYN G	Deferred	Internal	9/4/2018
XXXX0743	ELLIS KATHRYN G	Deferred	Internal	9/4/2018

Portfolio Summary

By: Security Sub Type



- Common Stock (13.16%)
- Bond (30.59%)
- Fund (48.92%)
- Annuity (5.47%)
- Cash Alternative (1.86%)

Common Stock

ELLIS KATHRYN G (XXXX4833)

148,269	13.2%
148,269	13.2%

Bond

ELLIS KATHRYN 401K (XXXX2136)

ELLIS KATHRYN G (XXXX1842)

ELLIS KATHRYN G (XXXX5983)

344,711	30.6%
61,841	5.5%
75,049	6.7%
207,821	18.4%

Fund

ELLIS KATHRYN 401K (XXXX2136)

ELLIS KATHRYN G (XXXX0743)

551,336	48.9%
381,734	33.9%
169,602	15.0%

Annuity

ELLIS KATHRYN G (XXXX9642)

61,632	5.5%
61,632	5.5%

Cash Alternative

ELLIS KATHRYN G (XXXX9642)

ELLIS KATHRYN 401K (XXXX2136)

ELLIS KATHRYN G (XXXX4833)

ELLIS KATHRYN G (XXXX5983)

ELLIS KATHRYN G (XXXX0743)

20,989	1.9%
0	0.0%
10,626	0.9%
5,233	0.5%
4,830	0.4%
301	0.0%

Portfolio Summary Total

1,126,938	100.0%
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Total Portfolio Value as of 09/04/18: \$ 1,126,938

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Portfolio Summary - Security Detail

By: Security Sub Type



- Common Stock (13.16%)
- Bond (30.59%)
- Fund (48.92%)
- Annuity (5.47%)
- Cash Alternative (1.86%)

Common Stock							
ELLIS KATHRYN G (XXXX4833)							
MMM	3M CO	15	208.60	148,269	13.2%	148,269	13.2%
ABT	ABBOTT LABORATORIES	52	67.11	3,129	0.3%	3,129	0.3%
ACN	ACCENTURE PLC IRELAND	19	169.50	3,490	0.3%	3,490	0.3%
AFL	AFLAC INC	78	46.57	3,221	0.3%	3,221	0.3%
APD	AIR PRODUCTS & CHEMICALS	15	164.34	3,632	0.3%	3,632	0.3%
AMGN	AMGEN INC	17	196.73	2,465	0.2%	2,465	0.2%
ADI	ANALOG DEVICES INC	37	98.11	3,344	0.3%	3,344	0.3%
T	AT & T INC	58	31.86	3,630	0.3%	3,630	0.3%
ADP	AUTOMATIC DATA PROCESSIN	26	145.81	1,848	0.2%	1,848	0.2%
BDX	BECTON DICKINSON & CO	16	261.93	3,791	0.3%	3,791	0.3%
BLK	BLACKROCK INC	5	475.78	4,191	0.4%	4,191	0.4%
CVX	CHEVRON CORPORATION	20	118.87	2,379	0.2%	2,379	0.2%
CB	CHUBB LTD	23	136.20	2,377	0.2%	2,377	0.2%
CSCO	CISCO SYS INC	82	47.73	3,133	0.3%	3,133	0.3%
CLX	CLOROX COMPANY	20	146.12	3,914	0.3%	3,914	0.3%
CL	COLGATE PALMOLIVE CO	29	65.23	2,922	0.3%	2,922	0.3%
EV	EATON VANCE CORP NON	26	52.32	1,892	0.2%	1,892	0.2%
VTG	VTG	26	52.32	1,360	0.1%	1,360	0.1%

EMR	EMERSON ELECTRIC CO	36	76.40	2,750	0.2%
ES	EVERSOURCE ENERGY	39	62.42	2,434	0.2%
XOM	EXXON MOBIL CORP	25	80.29	2,007	0.2%
FDS	FACTSET RESEARCH SYS INC	11	232.16	2,554	0.2%
GD	GENL DYNAMICS CORP COM	20	192.61	3,852	0.3%
GIS	GENL MILLS INC	35	45.92	1,607	0.1%
HRS	HARRIS CORP DEL	22	162.37	3,572	0.3%
ITW	ILL TOOL WORKS INC	25	138.94	3,474	0.3%
IBM	INTL BUSINESS MACH CORP	12	145.68	1,748	0.2%
JNJ	JOHNSON & JOHNSON	26	133.72	3,477	0.3%
JPM	JPMORGAN CHASE & CO	20	115.15	2,303	0.2%
LOW	LOWES COMPANIES INC	47	109.93	5,167	0.5%
MCD	MCDONALDS CORP	15	161.72	2,426	0.2%
MDT	MEDTRONIC PLC	43	95.56	4,109	0.4%
MSFT	MICROSOFT CORP	66	111.71	7,373	0.7%
NEE	NEXTERA ENERGY INC	23	170.03	3,911	0.3%
NKE	NIKE INC CLASS B	26	79.60	2,070	0.2%
NSC	NORFOLK SOUTHERN CORP	22	174.36	3,836	0.3%
NVS	NOVARTIS AG	23	82.56	1,899	0.2%
PAYX	PAYCHEX INC	43	73.08	3,142	0.3%
PEP	PEPSICO INCORPORATED	21	111.00	2,331	0.2%
PSX	PHILLIPS 66	26	118.96	3,093	0.3%
PJL	POLARIS INDS INC	17	108.70	1,848	0.2%
PX	PRAXAIR INC	16	156.18	2,499	0.2%
PG	PROCTER & GAMBLE CO	21	82.70	1,737	0.2%
QCOM	QUALCOMM INC	30	69.98	2,099	0.2%
SY	SYSCO CORPORATION	46	75.35	3,466	0.3%
TGT	TARGET CORP	24	88.95	2,135	0.2%
UTX	UNITED TECHNOLOGIES CORP	22	131.90	2,902	0.3%
USB	US BANCORP NEW	45	54.24	2,441	0.2%
VFC	VF CORPORATION	41	91.88	3,767	0.3%
WMT	WALMART INC	30	95.36	2,861	0.3%
WEC	WEC ENERGY GROUP INC	39	68.26	2,662	0.2%

Bond

ELLIS KATHRYN 401K (XXXX2136)					
00206RAR3	AT&T INC 5.8% 02/15/19	10,000	101.32	344,711	30.6%
485106GR3	KANSAS MO 4.13%03/01/22	25,000	102.81	61,841	5.5%
485106GU6	KANSAS MO 4.625%03/01/25	25,000	104.03		
ELLIS KATHRYN G (XXXX1842)					
140420V31	CAPITAL ONE 1.75% 020320	30,000	98.86	29,657	2.6%

Portfolio Reports

Kathy Ellis

38143AQWB	GOLDMAN 2.25% 042519	19,000	100.09	19,017	1.7%
594978AC8	MICROSOFT 4.2% 060119	5,000	101.31	5,065	0.4%
94986TM46	WELLS FARGO 0% 09/02/22	20,000	106.55	21,310	1.9%
ELL S KATHRYN G (XXXX5983)				207,821	18.4%
023735AN6	AMAZON.COM 3.8% 120524	3,000	102.51	3,075	0.3%
025876BL2	AMERICAN EX VAR% 122949	4,000	100.38	4,015	0.4%
035242AP1	ANHEUSER- 3.65% 020126	4,000	97.45	3,898	0.3%
037833DG2	APPLE INC 3.75% 111347	3,000	94.24	2,827	0.3%
00206RDD1	AT&T INC 3.95% 011525	5,000	98.41	4,921	0.4%
00206RCC4	AT&T INC S 2.3% 031119	2,000	99.80	1,996	0.2%
06057GFX2	BANK OF 3.5% 041926	4,000	96.93	3,877	0.3%
064058AB6	BANK OF NEW 4.5% PERPTL	3,000	96.53	2,896	0.3%
06406HBM0	BANK OF NEW 5.45% 051519	3,000	101.94	3,058	0.3%
05565QDB1	BP CAP1 3.119% 050426	2,000	95.91	1,918	0.2%
12189LAM3	BURLINGTN 3% 031523	4,000	98.67	3,947	0.4%
151020AS3	CELGENE 3.876% 081525	2,000	98.35	1,967	0.2%
171340AK8	CHURCH & 2.45% 080122	3,000	96.37	2,891	0.3%
125509BS7	CIGNA CORP 4% 0215122	2,000	101.21	2,024	0.2%
172967KU4	CITIGROUPINC4.125%072528	4,000	96.76	3,871	0.3%
20030NBY6	COMCAST CO 3.3% 020127	4,000	95.75	3,830	0.3%
216871AE3	COOPER 3.876% 121520	2,000	101.25	2,025	0.2%
256746AF5	DOLLAR TREE 3.7% 051523	4,000	99.35	3,974	0.4%
278062AC8	EATON COR 2.75% 110222	2,000	97.50	1,950	0.2%
278865A17	ECOLAB INC 2% 011419	2,000	99.80	1,996	0.2%
29379VBA0	ENTERPRIS 4.85% 031544	2,000	101.46	2,029	0.2%
3134GBTX0	FHLMC 1.75% 062920	4,000	98.38	3,935	0.3%
313560J20	FNMA 1.375% 022621	11,000	96.75	10,642	0.9%
313560ZR7	FNMA 2.625% 090624	11,000	98.37	10,821	1.0%
38148LAE6	GOLDMAN S 3.75% 052225	4,000	98.43	3,937	0.3%
24422ESF7	JOHN DEER 1.95% 121318	2,000	99.91	1,998	0.2%
46625HJ10	JPMORGAN 3.375% 050123	4,000	98.33	3,933	0.3%
48127FAA1	JPMORGAN CHS 5% PERPTL	4,000	100.75	4,030	0.4%
571903AS2	MARRIOTT 3.125% 061526	2,000	93.69	1,874	0.2%
571748AV4	MARSH & MC 3.5% 060324	3,000	99.30	2,979	0.3%
58507LAC3	MEDTRONIC 3.35% 040127	2,000	98.04	1,961	0.2%
594978CA0	MICROSOFT 4.25% 020647	3,000	105.21	3,156	0.3%
59562VAM9	MIDAMERICAN 6.125%040136	2,000	120.40	2,408	0.2%
55336VAC3	MPLX LP 3.375% 031523	4,000	98.30	3,932	0.3%
635405AM5	NATL CITY 6.875%051519	2,000	102.73	2,055	0.2%
681979BA3	OMNICOM G 3.65% 110124	2,000	97.42	1,948	0.2%
68389XBE4	ORACLE C 3.9% 051535	4,000	97.60	3,904	0.3%
854502AB7	STANLEY 2.451% 111718	2,000	99.97	1,999	0.2%
854502AE1	STANLEY B 1.622%111718	2,000	99.82	1,996	0.2%

09/05/2018

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883556BR2	THERMO FI 2.95% 091926	2,000	93.05	1,861	0.2%
872540AQ2	TJX COS INC 2.25% 091526	2,000	90.93	1,819	0.2%
902973AZ9	US BANCORP 5.3% 021547	2,000	100.25	2,005	0.2%
912810QY7	UST BDS 2.75% 111542	18,000	94.74	17,053	1.5%
912810RA8	UST INFL 0.625% 021543	5,000	93.05	5,099	0.5%
912828V64	UST NTS VAR% 013119	2,000	100.08	2,002	0.2%
912828U99	UST NTS 1.25% 123118	13,000	99.70	12,961	1.2%
912828T26	UST NTS 1.375% 093023	14,000	93.38	13,073	1.2%
9128283C2	UST NTS 2% 103122	2,000	97.00	1,940	0.2%
912828RC6	UST NTS 2.125% 081521	13,000	98.30	12,780	1.1%
92343VDD3	VERIZON COM 2.625% 081526	4,000	90.35	3,614	0.3%
92826CAF9	VISA INC S 4.3% 121445	3,000	104.05	3,122	0.3%

Fund

ELLIS KATHRYN 401K (XXXXX2136)

551,336 48.9%
381,734 33.9%

DEMIX	DELAWARE EMERGING MKTS	1,870	18.07	33,797	3.0%
DOMOX	DOMINI FUND IMPACT INSTL	2,366	8.37	19,806	1.8%
FMKIX	FIDELITY ADV EMRG MKTS I	4,265	12.54	53,480	4.7%
FRAX	FRANKLIN INCOME ADV	33,636	2.30	77,363	6.9%
PID	INVESCO INTL DIVID ETF	515	15.70	8,086	0.7%
PPF	ISHS U.S. PREFRD STK ETF	200	37.50	7,500	0.7%
LAHYX	LORD ABBETT HIGH YIELD I	3,341	7.51	25,091	2.2%
PIMIX	PIMCO INCOME FDI	2,475	11.88	29,401	2.6%
PMDIX	PRINCIPAL SMID DIV INSTL	953	17.85	17,014	1.5%
POSIX	PRINCIPAL GLBL R/E SEC IN	3,227	9.73	31,403	2.8%
RSYEX	VICTORY RS SMALL CAP Y	113	101.35	11,417	1.0%
VSOIX	VICTORY SYCAMORE SMALL I	857	51.62	44,242	3.9%
WACPX	WESTERN ASSET CORE BD-I	914	11.23	10,265	0.9%
DEM	WTREE EMG MKTS HIGH ETF	300	42.90	12,870	1.1%
ELLIS KATHRYN G (XXXXX0743)				169,602	15.0%

FMKIX	FIDELITY ADV EMRG MKTS I	545	12.54	6,836	0.6%
HFMIX	HARTFORD MIDCAP I	629	35.13	22,097	2.0%
PRORX	PIMCO INTL BD FD INSTL	951	10.75	10,227	0.9%
POSIX	PRINCIPAL GLBL R/E SEC IN	1,408	9.73	13,704	1.2%
TRBCX	T ROWE PRICE BLE CHP GRW	487	113.99	55,499	4.9%
TSIX	THORNBURG INVT STR INC I	3,400	11.63	39,545	3.5%
RSYEX	VICTORY RS SMALL CAP Y	108	101.35	10,960	1.0%
VSOIX	VICTORY SYCAMORE SMALL I	208	51.62	10,735	1.0%

Annuity

61,632 5.5%

ELLIS KATHRYN G (XXXX9642)		61,632	5.5%
374	BRIGHTHOUSE LIFE INS CO BRIGHTHOUSE FDS TR CL B	1,662	25,237 2.2%
375	BRIGHTHOUSE LIFE INS CO BRIGHTHOUSE FDS TR CL B	1,140	15,026 1.3%
372	BRIGHTHOUSE LIFE INS CO BRIGHTHOUSE FDS TR CL B	443	5,103 0.5%
AFBC	LINCOLN NATL LIFE INS CO AMERICAN PROT B/C INC P2	180	2,437 0.2%
AFPG	LINCOLN NATL LIFE INS CO AMERICAN PROTECTED GRO P2	217	3,281 0.3%
AFGI	LINCOLN NATL LIFE INS CO AMERICAN PROTECT GROW P2	113	1,632 0.1%
AFPI	LINCOLN NATL LIFE INS CO AMERICAN PROTECT INTL P2	223	2,402 0.2%
LAPF	LINCOLN NATL LIFE INS CO LINCOLN AMERN PRESVATN	511	4,886 0.4%
RMAL	LINCOLN NATL LIFE INS CO LINCOLN VAR INS ANNUITY	125	1,629 0.1%
Cash Alternative			
ELLIS KATHRYN G (XXXX9642)		20,989	1.9%
-	Cash Alternative	0	0.0%
ELLIS KATHRYN 401K (XXXX2136)		10,626	0.9%
-	Cash Alternative	10,626	0.9%
ELLIS KATHRYN G (XXXX4833)		5,233	0.5%
-	Cash Alternative	5,233	0.5%
ELLIS KATHRYN G (XXXX5983)		4,830	0.4%
-	Cash Alternative	4,830	0.4%
ELLIS KATHRYN G (XXXX0743)		301	0.0%
-	Cash Alternative	301	0.0%

Portfolio Summary Total

1,126,938 100.0%

Total Portfolio Value as of 09/04/18: \$ 1,126,938

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Understanding Your Portfolio

General Information

Investing involves risk, including the possible loss of principal. This report is intended to provide you with a descriptive overview of your current portfolio. The report may encompass one account or multiple accounts as specified in the report. The material has been prepared or is distributed solely for information purposes and does not supersede the proper use of your Wells Fargo Advisors client statements and/or trade confirmations, which are considered to be the official and accurate records of your account activity. Any market prices are only indications of market values, are subject to change, and may not reflect the value at which the securities could be sold. Reported prices should not be considered actual bids. For these reports, in certain groupings, Corporate Bonds Includes Certificates of Deposit. Additionally, the report is prepared as of trade date, rather than settlement date, and may be prepared on a different date than your statement. The information contained in this report may not reflect all holdings or transactions, their costs, or proceeds in your account.

The report may also include information you provided about assets held at other firms. Information on assets held away from Wells Fargo Advisors was provided by you and may not be covered by SIPC. We have relied solely on information from you regarding those assets. We do not verify or confirm those assets held with other firms or affiliates and you are responsible for notifying your Financial Advisor of any changes in your externally held investments including cost basis. Incomplete or inaccurate cost basis will affect your plan results because the tax assumptions are incorrect. Due to timing issues, if this report includes assets held at a Trust Company, positions and market data should be verified. Before making any decisions please validate your account information with your Financial Advisor. The financial data used to generate this report is provided to Wells Fargo Advisors by third party vendors. While this information is believed to be reliable, it has not been verified. Security ID will be ticker symbol, CUSIP number, Security Number or, if you have provided us with a market value of a generic asset classification type, we will assign a dash. This report is not complete unless all pages noted are included. For more detailed information, including current pricing, call your Financial Advisor.

To the extent that this report includes fixed income securities, you should be aware that the descriptions of fixed income securities are general in nature and do not reflect important information that you should consider, such as but not limited to, yield, call features, credit quality, and various tax implications. Before making any decisions regarding fixed income investments, contact your advisor to obtain more detailed information regarding specific fixed income securities.

Asset classification of holdings in external accounts where classification is not readily available may be assigned to a multi-asset class category or reassigned into additional asset classes by your Financial Advisor which may not be the most accurate asset class based on the holding's characteristics and risk profile. It is your responsibility to review the asset classification for external accounts and notify us of any changes.

Asset Classes for mutual funds, variable annuities and exchange-traded funds are derived from Morningstar Categories. Underlying holdings classification provided by Morningstar. ©2018 Morningstar, Inc. All Rights Reserved. The information contained herein: (1) is proprietary to Morningstar and/or its content providers; (2) may not be copied or distributed; and (3) is not warranted to be accurate, complete or timely. Neither Morningstar nor its content providers are responsible for any damages or losses arising from any use of this information.

External Accounts Included in Your Report

As a service, we may have included your assets and/or your liabilities held at other financial institutions. We assume no responsibility for the accuracy or completeness of the information you provided either to your Financial Advisor or through any third party aggregation service regarding your assets or liabilities held at other firms. We may update the pricing of these securities; however, there may be cases when updating prices is not possible. In addition, any transactions, values or changes in your external accounts will not be reflected unless you provide updated information to your Financial Advisor. In instances where you use a third party aggregation service, we rely on you to take action when notified by the third party service that updates are needed. The accuracy and completeness of the information you provide may materially affect the results and any recommendations contained in this report.

If we have included or if you have provided us with information on accounts managed by you or an affiliate of Wells Fargo Advisors, including self-directed WealthTrade accounts at Wells Fargo Advisors, and fiduciary accounts at Wells Fargo Bank, N.A., you should understand that Wells Fargo Advisors has no authority to manage, direct or influence the accounts. With respect to such accounts, the Strategic Allocation listed in this report is for informational purposes only and should not be considered a recommendation from Wells Fargo Advisors or your Financial Advisor. The views, opinions, asset allocation models and forecasts may differ from our affiliates.

By providing you this report, neither the firm nor your Financial Advisor is acting as a fiduciary for purposes of ERISA or section 4975 of the Code with respect to any external ERISA-covered employee benefit plan or any external individual retirement account in either the planning, execution or provision of this analysis, unless separately contracted to act as a fiduciary with respect to such an account. Any asset allocation information presented in this report for external 401(k), 403(b), Government 457(b), Defined Benefit Plan, Trustee Defined Profit Sharing Plan or individual retirement accounts is for general asset allocation education and informational purposes only and should not be viewed as fiduciary investment advice.

Asset Class Descriptions

The asset class descriptions below are included solely to provide insight into how individual securities are tied to specific asset classes. We use our best efforts to correctly classify investments. However, no warranty of accuracy is made.

Equity Investments: Equity investments refer to buying stocks of United States companies.

The investment return to the owner of stock (shareholder) is in the form of dividends and/or capital appreciation. The market capitalization of companies is used to group large, medium (Mid), and small companies. Shareholders share in both the upside potential and the downside risk.

Capitalization: Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar Index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 90th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.

Fixed Income Securities (Bonds): Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds) or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed Income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency, sovereign, supranational, and local authority debt), and corporate bonds.

Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bond's price. Lower rated securities are speculative and involve greater risk of default.

Term: Short-term bonds have effective maturities of five years or less, intermediate bonds have effective maturities between five and ten years; and long-term bonds have maturities of ten years or longer.

Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).

Real Assets: Real Assets includes Public Real Estate, Private Real Estate and Commodities. Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage FLETS will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.

Multi-Class: This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes.

Cash Alternatives: Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

Wells Fargo Advisors is a trade name used by Wells Fargo Clearing Services, LLC and Wells Fargo Advisors Financial Network, LLC, separate registered broker-dealers and non-bank affiliates of Wells Fargo & Company. CAR 0717-03307

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
26.9120	DODGE & COX INTL STCK	DODFX	3/19/2015 ^c	1,179.28 ^N	43.8200	6/22/2018	1,171.75	43.5400	-7.53	-0.64	LONG
10.1430	FD	DODFX	12/23/2015 ^c	366.17 ^N	36.1000	6/22/2018	441.62	43.5400	75.45	20.61	LONG
0.8270		DODFX	12/22/2016 ^c	31.53 ^N	38.1200	6/22/2018	36.01	43.5400	4.48	14.21	LONG
0.5290		DODFX	12/22/2016 ^c	20.16 ^N	38.1200	6/22/2018	23.03	43.5400	2.87	14.24	LONG
0.7490		DODFX	12/21/2017 ^c	34.26 ^N	45.7700	6/22/2018	32.62	43.5400	-1.64	-4.79	SHORT
				1,631.40			1,705.03		73.63		
4,145.0780	EV INCOME FD OF BOSTON I	EIBIX	5/5/2017 ^c	23,960.48 ^N	5.7900	6/22/2018	23,253.88	5.6100	-706.60	-2.94	LONG
14.8400		EIBIX	6/1/2017 ^c	86.37 ^N	5.8300	6/22/2018	83.25	5.6100	-3.12	-3.61	LONG
19.5110		EIBIX	7/3/2017 ^c	113.21 ^N	5.8100	6/22/2018	109.45	5.6100	-3.76	-3.32	SHORT
20.1900		EIBIX	8/1/2017 ^c	117.59 ^N	5.8300	6/22/2018	113.26	5.6100	-4.33	-3.68	SHORT
20.3710		EIBIX	9/1/2017 ^c	118.07 ^N	5.8000	6/22/2018	114.28	5.6100	-3.79	-3.21	SHORT
19.7510		EIBIX	10/2/2017 ^c	114.88 ^N	5.8200	6/22/2018	110.80	5.6100	-4.08	-3.55	SHORT
20.5390		EIBIX	11/1/2017 ^c	119.33 ^N	5.8100	6/22/2018	115.22	5.6100	-4.11	-3.44	SHORT
20.1210		EIBIX	12/1/2017 ^c	116.10 ^N	5.7700	6/22/2018	112.88	5.6100	-3.22	-2.77	SHORT
20.9630		EIBIX	1/2/2018 ^c	120.54 ^N	5.7500	6/22/2018	117.60	5.6100	-2.94	-2.44	SHORT
21.0700		EIBIX	2/1/2018 ^c	121.15 ^N	5.7500	6/22/2018	118.20	5.6100	-2.95	-2.43	SHORT
19.3640		EIBIX	3/1/2018 ^c	109.99 ^N	5.6800	6/22/2018	108.64	5.6100	-1.35	-1.23	SHORT
21.7420		EIBIX	4/2/2018 ^c	122.19 ^N	5.6200	6/22/2018	121.98	5.6100	-0.21	-0.17	SHORT
21.1420		EIBIX	5/1/2018 ^c	118.82 ^N	5.6200	6/22/2018	118.61	5.6100	-0.21	-0.18	SHORT
22.0790		EIBIX	6/1/2018 ^c	123.42 ^N	5.5900	6/22/2018	123.88	5.6100	0.46	0.37	SHORT
				25,462.14			24,721.93		-740.21		
1,211.5560	HARBOR FD HIGH YLD BD I	HVFAF	9/20/2011 ^N	13,000.00 ^N	10.7300	5/5/2017	12,321.52	10.1700	-678.48	-5.22	LONG
19.3550		HVFAF	10/3/2011 ^N	200.90 ^N	10.3800	5/5/2017	196.84	10.1700	-4.06	-2.02	LONG
25.3290		HVFAF	12/20/2011 ^N	265.19 ^N	10.4700	5/5/2017	257.59	10.1700	-7.60	-2.87	LONG
14.5850		HVFAF	12/20/2011 ^N	152.71 ^N	10.4700	5/5/2017	148.32	10.1700	-4.39	-2.87	LONG
15.8470		HVFAF	3/30/2012 ^c	172.26 ^N	10.8700	5/5/2017	161.16	10.1700	-11.10	-6.44	LONG
17.6630		HVFAF	6/29/2012 ^c	191.47 ^N	10.8400	5/5/2017	179.63	10.1700	-11.84	-6.18	LONG
16.6600		HVFAF	9/28/2012 ^c	184.93 ^N	11.1000	5/5/2017	169.43	10.1700	-15.50	-8.38	LONG
26.7840		HVFAF	12/18/2012 ^c	297.30 ^N	11.1000	5/5/2017	272.39	10.1700	-24.91	-8.38	LONG
2.8630		HVFAF	12/18/2012 ^c	31.78 ^N	11.1000	5/5/2017	29.11	10.1700	-2.67	-8.40	LONG
14.8010		HVFAF	4/1/2013 ^c	165.47 ^N	11.1800	5/5/2017	150.52	10.1700	-14.95	-9.03	LONG
18.8280		HVFAF	6/28/2013 ^c	203.34 ^N	10.8000	5/5/2017	191.48	10.1700	-11.86	-5.83	LONG
18.2060		HVFAF	9/27/2013 ^c	199.36 ^N	10.9500	5/5/2017	185.15	10.1700	-14.21	-7.13	LONG
32.6960		HVFAF	12/18/2013 ^c	352.16 ^N	10.7700	5/5/2017	332.54	10.1700	-19.62	-5.57	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
27.3650		HYFAX	12/18/2013 ^c	294.72 ⁿ	10.7700	5/5/2017	278.30	10.1700	-16.42	-5.57	LONG
2.0970		HYFAX	12/18/2013 ^c	22.58 ⁿ	10.7700	5/5/2017	21.32	10.1700	-1.26	-5.58	LONG
16.5650		HYFAX	3/28/2014 ^c	182.05 ⁿ	10.9900	5/5/2017	168.46	10.1700	-13.59	-7.46	LONG
20.3580		HYFAX	6/27/2014 ^c	225.57 ⁿ	11.0800	5/5/2017	207.04	10.1700	-18.53	-8.21	LONG
18.6000		HYFAX	9/26/2014 ^c	199.95 ⁿ	10.7500	5/5/2017	189.16	10.1700	-10.79	-5.40	LONG
25.3830		HYFAX	12/19/2014 ^c	257.64 ⁿ	10.1500	5/5/2017	258.14	10.1700	0.50	0.19	LONG
21.0050		HYFAX	12/19/2014 ^c	213.20 ⁿ	10.1500	5/5/2017	213.62	10.1700	0.42	0.20	LONG
7.6850		HYFAX	12/19/2014 ^c	78.00 ⁿ	10.1500	5/5/2017	78.15	10.1700	0.15	0.19	LONG
20.6290		HYFAX	3/31/2015 ^c	215.78 ⁿ	10.4600	5/5/2017	209.79	10.1700	-5.99	-2.78	LONG
20.5590		HYFAX	6/30/2015 ^c	212.58 ⁿ	10.3400	5/5/2017	209.08	10.1700	-3.50	-1.65	LONG
20.9530		HYFAX	9/25/2015 ^c	206.60 ⁿ	9.8600	5/5/2017	213.09	10.1700	6.49	3.14	LONG
27.5400		HYFAX	12/18/2015 ^c	257.50 ⁿ	9.3500	5/5/2017	280.08	10.1700	22.58	8.77	LONG
19.5550		HYFAX	3/31/2016 ^c	185.58 ⁿ	9.4900	5/5/2017	198.87	10.1700	13.29	7.16	LONG
525.2100		HYFAX	4/4/2016 ^c	5,000.00 ⁿ	9.5200	5/5/2017	5,341.46	10.1700	341.46	6.83	LONG
29.0670		HYFAX	6/30/2016 ^c	280.50 ⁿ	9.6500	5/5/2017	295.61	10.1700	15.11	5.39	SHORT
28.5520		HYFAX	9/30/2016 ^c	284.66 ⁿ	9.9700	5/5/2017	290.38	10.1700	5.72	2.01	SHORT
36.7850		HYFAX	12/20/2016 ^c	366.01 ⁿ	9.9500	5/5/2017	374.11	10.1700	8.10	2.21	SHORT
30.2990		HYFAX	3/31/2017 ^c	305.11 ⁿ	10.0700	5/5/2017	308.15	10.1700	3.04	1.00	SHORT
				24,204.90			23,730.49		-474.41		
570.5590	HARBOR SML CAP VALU INST	HASGX	5/16/2013 ^c	15,000.00 ⁿ	26.2900	6/22/2018	21,515.77	37.7100	6,515.77	43.44	LONG
142.8060		HASGX	9/19/2013 ^c	4,000.00 ⁿ	28.0100	6/22/2018	5,385.21	37.7100	1,385.21	34.63	LONG
116.5530		HASGX	12/18/2013 ^c	2,878.87 ⁿ	24.7000	6/22/2018	4,395.22	37.7100	1,516.35	52.67	LONG
10.8490		HASGX	12/18/2013 ^c	267.96 ⁿ	24.7000	6/22/2018	409.11	37.7100	141.15	52.68	LONG
1.4010		HASGX	12/18/2013 ^c	34.61 ⁿ	24.7000	6/22/2018	52.83	37.7100	18.22	52.64	LONG
28.0140		HASGX	12/19/2014 ^c	739.30 ⁿ	26.3900	6/22/2018	1,056.41	37.7100	317.11	42.89	LONG
1.8840		HASGX	12/19/2014 ^c	49.71 ⁿ	26.3900	6/22/2018	71.04	37.7100	21.33	42.91	LONG
1.0700		HASGX	12/19/2014 ^c	28.25 ⁿ	26.3900	6/22/2018	40.35	37.7100	12.10	42.83	LONG
27.7060		HASGX	12/18/2015 ^c	687.11 ⁿ	24.8000	6/22/2018	1,044.80	37.7100	357.69	52.06	LONG
3.6890		HASGX	12/18/2015 ^c	91.49 ⁿ	24.8000	6/22/2018	139.11	37.7100	47.62	52.05	LONG
3.2930		HASGX	12/18/2015 ^c	81.66 ⁿ	24.8000	6/22/2018	124.18	37.7100	42.52	52.07	LONG
3.2650		HASGX	12/20/2016 ^c	100.31 ⁿ	30.7200	6/22/2018	123.12	37.7100	22.81	22.74	LONG
17.2220		HASGX	12/19/2017 ^c	626.36 ⁿ	36.3700	6/22/2018	649.45	37.7100	23.09	3.69	SHORT
0.7670		HASGX	12/19/2017 ^c	27.90 ⁿ	36.3700	6/22/2018	28.93	37.7100	1.03	3.69	SHORT
				24,613.53			35,035.53		10,422.00		
188.0280	MFS EMRG MKTS DEBT I	MEDIX	9/7/2012 ^c	3,017.84 ⁿ	16.0500	6/22/2018	2,632.39	14.0000	-385.45	-12.77	LONG
4.3310		MEDIX	10/1/2012 ^c	69.65 ⁿ	16.0800	6/22/2018	60.63	14.0000	-9.02	-12.95	LONG

Closed Holdings 01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
4.7830		MEDIX	11/1/2012 ^c	77.24 ⁿ	16.1500	6/22/2018	66.96	14.0000	-10.28	-13.31	LONG
4.7590		MEDIX	12/3/2012 ^c	77.66 ⁿ	16.3200	6/22/2018	66.62	14.0000	-11.04	-14.22	LONG
3.9920		MEDIX	12/12/2012 ^c	65.23 ⁿ	16.3400	6/22/2018	55.88	14.0000	-9.35	-14.33	LONG
3.9440		MEDIX	12/12/2012 ^c	64.44 ⁿ	16.3400	6/22/2018	55.21	14.0000	-9.23	-14.32	LONG
6.0940		MEDIX	1/2/2013 ^c	99.51 ⁿ	16.3300	6/22/2018	85.31	14.0000	-14.20	-14.27	LONG
4.8840		MEDIX	2/1/2013 ^c	78.78 ⁿ	16.1300	6/22/2018	68.37	14.0000	-10.41	-13.21	LONG
4.9340		MEDIX	3/1/2013 ^c	79.19 ⁿ	16.0500	6/22/2018	69.07	14.0000	-10.12	-12.78	LONG
942.8030		MEDIX	3/22/2013 ^c	15,000.00 ⁿ	15.9100	6/22/2018	13,199.27	14.0000	-1,800.73	-12.00	LONG
5.7710		MEDIX	4/1/2013 ^c	91.65 ⁿ	15.8800	6/22/2018	80.79	14.0000	-10.86	-11.85	LONG
8.5340		MEDIX	5/1/2013 ^c	138.00 ⁿ	16.1700	6/22/2018	119.47	14.0000	-18.53	-13.43	LONG
8.7660		MEDIX	6/3/2013 ^c	136.66 ⁿ	15.5900	6/22/2018	122.72	14.0000	-13.94	-10.20	LONG
9.2850		MEDIX	7/1/2013 ^c	137.05 ⁿ	14.7600	6/22/2018	129.99	14.0000	-7.06	-5.15	LONG
9.1140		MEDIX	8/1/2013 ^c	134.80 ⁿ	14.7900	6/22/2018	127.59	14.0000	-7.21	-5.35	LONG
8.9720		MEDIX	9/3/2013 ^c	131.08 ⁿ	14.3300	6/22/2018	128.05	14.0000	-3.03	-2.31	LONG
8.8280		MEDIX	10/1/2013 ^c	131.35 ⁿ	14.6400	6/22/2018	125.60	14.0000	-5.75	-4.38	LONG
9.0980		MEDIX	11/1/2013 ^c	132.06 ⁿ	14.9600	6/22/2018	123.59	14.0000	-8.47	-6.41	LONG
10.4770		MEDIX	12/2/2013 ^c	132.56 ⁿ	14.5700	6/22/2018	127.37	14.0000	-5.19	-3.92	LONG
0.0120		MEDIX	12/11/2013 ^c	152.13 ⁿ	14.5200	6/22/2018	146.67	14.0000	-5.46	-3.59	LONG
9.1880		MEDIX	12/11/2013 ^c	0.18 ⁿ	14.5200	6/22/2018	0.16	14.0000	-0.02	-11.11	LONG
9.1650		MEDIX	1/2/2014 ^c	133.41 ⁿ	14.5200	6/22/2018	128.63	14.0000	-4.78	-3.58	LONG
8.7170		MEDIX	2/3/2014 ^c	131.80 ⁿ	14.3300	6/22/2018	128.76	14.0000	-3.04	-2.31	LONG
5.0210		MEDIX	3/3/2014 ^c	134.64 ⁿ	14.6900	6/22/2018	128.31	14.0000	-6.33	-4.70	LONG
5.0320		MEDIX	4/1/2014 ^c	135.16 ⁿ	14.7700	6/22/2018	128.11	14.0000	-7.05	-5.22	LONG
5.0820		MEDIX	5/1/2014 ^c	129.88 ⁿ	14.9000	6/22/2018	122.04	14.0000	-7.84	-6.04	LONG
5.1030		MEDIX	6/2/2014 ^c	76.82 ⁿ	15.3000	6/22/2018	70.29	14.0000	-6.53	-8.50	LONG
5.0820		MEDIX	7/1/2014 ^c	77.14 ⁿ	15.3300	6/22/2018	70.44	14.0000	-6.70	-8.69	LONG
5.1300		MEDIX	8/1/2014 ^c	77.50 ⁿ	15.2500	6/22/2018	71.14	14.0000	-6.36	-8.21	LONG
4.970		MEDIX	9/2/2014 ^c	77.81 ⁿ	15.3100	6/22/2018	71.14	14.0000	-6.67	-8.57	LONG
5.1520		MEDIX	10/1/2014 ^c	76.80 ⁿ	14.9700	6/22/2018	71.82	14.0000	-4.98	-6.48	LONG
5.1490		MEDIX	11/3/2014 ^c	77.15 ⁿ	15.1200	6/22/2018	71.44	14.0000	-5.71	-7.40	LONG
5.0880		MEDIX	12/1/2014 ^c	74.70 ⁿ	14.9500	6/22/2018	69.96	14.0000	-4.74	-6.35	LONG
5.2500		MEDIX	1/2/2015 ^c	74.76 ⁿ	14.5100	6/22/2018	72.13	14.0000	-2.63	-3.52	LONG
		MEDIX	2/2/2015 ^c	75.06 ⁿ	14.4800	6/22/2018	72.57	14.0000	-2.49	-3.32	LONG
		MEDIX	3/3/2015 ^c	69.99 ⁿ	14.6800	6/22/2018	66.75	14.0000	-3.24	-4.63	LONG
		MEDIX	4/1/2015 ^c	75.64 ⁿ	14.6900	6/22/2018	72.08	14.0000	-3.56	-4.71	LONG
		MEDIX	5/1/2015 ^c	75.91 ⁿ	14.9200	6/22/2018	71.23	14.0000	-4.68	-6.17	LONG
		MEDIX	6/1/2015 ^c	77.75 ⁿ	14.8100	6/22/2018	73.50	14.0000	-4.25	-5.47	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
5.4610		MEDIX	7/1/2015°	79.24 ^N	14.5100	6/22/2018	76.45	14.0000	-2.79 -3.52	LONG
5.5210		MEDIX	8/3/2015°	79.62 ^N	14.4200	6/22/2018	77.29	14.0000	-2.33 -2.93	LONG
5.5550		MEDIX	9/1/2015°	78.43 ^N	14.1200	6/22/2018	77.77	14.0000	-0.66 -0.84	LONG
5.5770		MEDIX	10/1/2015°	77.35 ^N	13.8700	6/22/2018	78.08	14.0000	0.73 0.94	LONG
5.2800		MEDIX	11/2/2015°	74.71 ^N	14.1500	6/22/2018	73.92	14.0000	-0.79 -1.06	LONG
5.3390		MEDIX	12/1/2015°	75.06 ^N	14.0600	6/22/2018	74.74	14.0000	-0.32 -0.43	LONG
5.4710		MEDIX	1/4/2016°	75.33 ^N	13.7700	6/22/2018	76.59	14.0000	1.26 1.67	LONG
5.5410		MEDIX	2/1/2016°	75.64 ^N	13.6500	6/22/2018	77.57	14.0000	1.93 2.55	LONG
5.4880		MEDIX	3/1/2016°	75.73 ^N	13.8000	6/22/2018	76.83	14.0000	1.10 1.45	LONG
5.3630		MEDIX	4/1/2016°	76.21 ^N	14.2100	6/22/2018	75.08	14.0000	-1.13 -1.48	LONG
5.5060		MEDIX	5/2/2016°	79.45 ^N	14.4300	6/22/2018	77.08	14.0000	-2.37 -2.98	LONG
5.7510		MEDIX	6/1/2016°	82.52 ^N	14.3500	6/22/2018	80.51	14.0000	-2.01 -2.44	LONG
5.8240		MEDIX	7/1/2016°	85.84 ^N	14.7400	6/22/2018	81.54	14.0000	-4.30 -5.01	LONG
5.9550		MEDIX	8/1/2016°	89.03 ^N	14.9500	6/22/2018	83.37	14.0000	-5.66 -6.36	LONG
6.0730		MEDIX	9/1/2016°	91.76 ^N	15.1100	6/22/2018	85.02	14.0000	-6.74 -7.35	LONG
6.0590		MEDIX	10/3/2016°	91.49 ^N	15.1000	6/22/2018	84.83	14.0000	-6.66 -7.28	LONG
5.8630		MEDIX	11/1/2016°	87.42 ^N	14.9100	6/22/2018	82.08	14.0000	-5.34 -6.11	LONG
6.1480		MEDIX	12/1/2016°	87.85 ^N	14.2800	6/22/2018	86.07	14.0000	-1.78 -2.03	LONG
5.6090		MEDIX	1/3/2017°	80.60 ^N	14.3700	6/22/2018	78.53	14.0000	-2.07 -2.57	LONG
5.3770		MEDIX	2/1/2017°	78.07 ^N	14.5200	6/22/2018	75.28	14.0000	-2.79 -3.57	LONG
5.3220		MEDIX	3/1/2017°	78.39 ^N	14.7300	6/22/2018	74.51	14.0000	-3.88 -4.95	LONG
5.3420		MEDIX	4/3/2017°	78.74 ^N	14.7400	6/22/2018	74.79	14.0000	-3.95 -5.02	LONG
5.2880		MEDIX	5/1/2017°	78.90 ^N	14.9200	6/22/2018	74.04	14.0000	-4.86 -6.16	LONG
5.4940		MEDIX	6/1/2017°	82.19 ^N	14.9600	6/22/2018	76.92	14.0000	-5.27 -6.41	LONG
5.7490		MEDIX	7/3/2017°	85.54 ^N	14.8800	6/22/2018	80.49	14.0000	-5.05 -5.90	SHORT
5.7430		MEDIX	8/1/2017°	85.92 ^N	14.9600	6/22/2018	80.41	14.0000	-5.51 -6.41	SHORT
5.7980		MEDIX	9/1/2017°	87.73 ^N	15.1300	6/22/2018	81.18	14.0000	-6.55 -7.47	SHORT
6.0410		MEDIX	10/2/2017°	91.16 ^N	15.0900	6/22/2018	84.58	14.0000	-6.58 -7.22	SHORT
5.8800		MEDIX	11/1/2017°	88.61 ^N	15.0700	6/22/2018	82.33	14.0000	-6.28 -7.09	SHORT
5.9120		MEDIX	12/1/2017°	88.80 ^N	15.0200	6/22/2018	82.78	14.0000	-6.02 -6.78	SHORT
5.8210		MEDIX	1/2/2018°	87.67 ^N	15.0600	6/22/2018	81.50	14.0000	-6.17 -7.04	SHORT
5.8470		MEDIX	2/1/2018°	87.99 ^N	15.0500	6/22/2018	81.87	14.0000	-6.12 -6.96	SHORT
5.8960		MEDIX	3/1/2018°	86.79 ^N	14.7200	6/22/2018	82.55	14.0000	-4.24 -4.89	SHORT
5.8190		MEDIX	4/2/2018°	85.30 ^N	14.6600	6/22/2018	81.48	14.0000	-3.82 -4.48	SHORT
5.9380		MEDIX	5/1/2018°	85.75 ^N	14.4400	6/22/2018	83.14	14.0000	-2.61 -3.04	SHORT
6.1630		MEDIX	6/1/2018°	87.68 ^N	14.1800	6/22/2018	86.59	14.0000	-1.09 -1.24	SHORT
				24,587.49			22,019.84		-2,567.65	

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 4391-2136
 KATHRYN G ELLIS LCSW
 KATHRYN G ELLIS TTEE
 FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
635.1970	NUVEEN REAL ESTATE I	FARCX	9/20/2011 ^M	11,486.14 ^N	18.2200	2/2/2018	12,221.19	19.2400	735.05	6.35	LONG
4.1820		FARCX	10/3/2011 ^M	69.37 ^N	16.7200	2/2/2018	80.46	19.2400	11.09	15.86	LONG
4.8540		FARCX	12/23/2011 ^M	92.02 ^N	19.0900	2/2/2018	93.39	19.2400	1.37	1.48	LONG
5.4590		FARCX	12/30/2011 ^M	103.21 ^N	19.0400	2/2/2018	105.03	19.2400	1.82	1.75	LONG
6.2300		FARCX	4/2/2012 ^C	129.12 ^N	20.8600	2/2/2018	119.86	19.2400	-9.26	-7.13	LONG
5.1070		FARCX	7/2/2012 ^C	109.37 ^N	21.5500	2/2/2018	98.25	19.2400	-11.12	-10.10	LONG
1.6820		FARCX	9/21/2012 ^C	36.60 ^N	21.8900	2/2/2018	32.36	19.2400	-4.24	-11.52	LONG
4.8760		FARCX	10/1/2012 ^C	103.95 ^N	21.4500	2/2/2018	93.81	19.2400	-10.14	-9.69	LONG
14.4430		FARCX	12/17/2012 ^C	297.59 ^N	20.7400	2/2/2018	277.88	19.2400	-19.71	-6.58	LONG
11.9600		FARCX	12/17/2012 ^C	246.43 ^N	20.7400	2/2/2018	230.11	19.2400	-16.32	-6.58	LONG
4.1240		FARCX	12/31/2012 ^C	86.17 ^N	21.0400	2/2/2018	79.34	19.2400	-6.83	-7.87	LONG
4.9810		FARCX	4/1/2013 ^C	111.91 ^N	22.5800	2/2/2018	95.83	19.2400	-16.08	-14.30	LONG
5.1860		FARCX	7/1/2013 ^C	114.20 ^N	22.0900	2/2/2018	99.77	19.2400	-14.43	-12.60	LONG
2.9720		FARCX	9/17/2013 ^C	63.63 ^N	21.4800	2/2/2018	57.18	19.2400	-6.45	-10.10	LONG
1.8840		FARCX	9/17/2013 ^C	40.27 ^N	21.4800	2/2/2018	36.24	19.2400	-4.03	-9.96	LONG
6.3160		FARCX	10/1/2013 ^C	134.09 ^N	21.2600	2/2/2018	121.52	19.2400	-12.57	-9.36	LONG
40.4050		FARCX	12/16/2013 ^C	785.46 ^N	19.4700	2/2/2018	777.39	19.2400	-8.07	-1.03	LONG
15.2470		FARCX	12/16/2013 ^C	296.30 ^N	19.4700	2/2/2018	293.35	19.2400	-2.95	-0.99	LONG
8.3400		FARCX	12/31/2013 ^C	165.14 ^N	19.8000	2/2/2018	160.46	19.2400	-4.68	-2.83	LONG
5.9920		FARCX	4/1/2014 ^C	129.67 ^N	21.6400	2/2/2018	115.28	19.2400	-14.39	-11.10	LONG
6.4180		FARCX	7/1/2014 ^C	148.13 ^N	23.0800	2/2/2018	123.48	19.2400	-24.65	-16.64	LONG
6.1120		FARCX	10/1/2014 ^C	136.23 ^N	22.2900	2/2/2018	117.59	19.2400	-18.64	-13.68	LONG
31.0930		FARCX	12/16/2014 ^C	734.11 ^N	23.6100	2/2/2018	598.23	19.2400	-135.88	-18.51	LONG
13.7090		FARCX	12/16/2014 ^C	323.66 ^N	23.6100	2/2/2018	263.76	19.2400	-59.90	-18.51	LONG
9.6990		FARCX	12/31/2014 ^C	237.42 ^N	24.4800	2/2/2018	186.61	19.2400	-50.81	-21.40	LONG
5.7700		FARCX	4/1/2015 ^C	144.07 ^N	24.9700	2/2/2018	111.01	19.2400	-33.06	-22.95	LONG
8.2240		FARCX	7/1/2015 ^C	183.23 ^N	22.2800	2/2/2018	156.23	19.2400	-25.00	-13.64	LONG
9.7590		FARCX	9/17/2015 ^C	217.62 ^N	22.3000	2/2/2018	187.76	19.2400	-29.86	-13.72	LONG
5.6560		FARCX	10/1/2015 ^C	126.75 ^N	22.4100	2/2/2018	108.82	19.2400	-17.93	-14.15	LONG
37.8070		FARCX	12/16/2015 ^C	855.58 ^N	22.6300	2/2/2018	727.41	19.2400	-126.17	-14.98	LONG
7.4450		FARCX	12/31/2015 ^C	172.36 ^N	23.1500	2/2/2018	143.24	19.2400	-29.12	-16.89	LONG
6.7510		FARCX	4/1/2016 ^C	161.27 ^N	23.8900	2/2/2018	129.89	19.2400	-31.38	-19.46	LONG
209.4680		FARCX	4/4/2016 ^C	5,000.00 ^N	23.8700	2/2/2018	4,030.19	19.2400	-969.81	-19.40	LONG
7.7730		FARCX	7/1/2016 ^C	196.43 ^N	25.2700	2/2/2018	149.55	19.2400	-46.88	-23.87	LONG
14.7920		FARCX	9/16/2016 ^C	356.35 ^N	24.0900	2/2/2018	284.60	19.2400	-71.75	-20.13	LONG
10.0350		FARCX	10/3/2016 ^C	244.75 ^N	24.3900	2/2/2018	193.07	19.2400	-51.68	-21.12	LONG
48.9480		FARCX	12/16/2016 ^C	1,056.29 ^N	21.5800	2/2/2018	941.77	19.2400	-114.52	-10.84	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized or Loss	% Gain or Loss	Term
25.2890		FARCX	12/16/2016 ^c	545.73 ^N	21.5800	2/2/2018	486.56	19.2400	-59.17	-10.84	LONG
11.8690		FARCX	12/30/2016 ^c	259.11 ^N	21.8300	2/2/2018	228.36	19.2400	-30.75	-11.87	LONG
7.3700		FARCX	4/3/2017 ^c	162.43 ^N	22.0400	2/2/2018	141.80	19.2400	-20.63	-12.70	SHORT
8.1500		FARCX	7/3/2017 ^c	182.48 ^N	22.3900	2/2/2018	156.80	19.2400	-25.68	-14.07	SHORT
7.8610		FARCX	10/2/2017 ^c	175.70 ^N	22.3500	2/2/2018	151.24	19.2400	-24.46	-13.92	SHORT
102.9020		FARCX	12/18/2017 ^c	2,149.62 ^N	20.8900	2/2/2018	1,979.87	19.2400	-169.75	-7.90	SHORT
30.6900		FARCX	12/18/2017 ^c	641.11 ^N	20.8900	2/2/2018	590.49	19.2400	-50.62	-7.90	SHORT
12.9080		FARCX	12/29/2017 ^c	265.39 ^N	20.5600	2/2/2018	248.36	19.2400	-17.03	-6.42	SHORT
				29,276.46			27,627.39		-1,649.07		
419.4270	OPPENHMR INTL GROWTH OIGY	OIGY	2/26/2016 ^c	14,206.00 ^N	33.8700	2/2/2018	18,870.01	44.9900	4,664.01	32.83	LONG
5.7030		OIGY	12/15/2016 ^c	194.94 ^N	34.1800	2/2/2018	256.58	44.9900	61.64	31.62	LONG
3.7780		OIGY	12/14/2017 ^c	162.66 ^N	43.0500	2/2/2018	169.98	44.9900	7.32	4.50	SHORT
				14,563.60			19,296.57		4,732.97		
992.0630	VIRTUS OPPORTUNITIE CL HIEMX	HIEMX	6/23/2015 ^c	10,000.00 ^N	10.0800	5/5/2017	10,565.47	10.6500	565.47	5.65	LONG
9.3310		HIEMX	12/23/2015 ^c	83.33 ^N	8.9300	5/5/2017	99.37	10.6500	16.04	19.25	LONG
982.3180		HIEMX	9/19/2016 ^c	10,000.00 ^N	10.1800	5/5/2017	10,461.69	10.6500	461.69	4.62	SHORT
8.9160		HIEMX	12/22/2016 ^c	79.35 ^N	8.9000	5/5/2017	94.96	10.6500	15.61	19.67	SHORT
				20,162.68			21,221.49		1,058.81		
580.0460	VOYA SMALL CO FD CL I	AESGX	2/2/2018 ^c	10,000.00 ^N	17.2400	6/22/2018	10,417.63	17.9600	417.63	4.18	SHORT

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:

4391-2136
KATHRYN G ELLIS LOSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain Of Loss
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Short

0.7490	DODGE & COX INTL STCK FD	DODFX	12/21/2017	34.26		45.7700	6/22/2018	32.62	43.5400	-1.64	-4.79
19.5110	EV INCOME FD OF BOSTON I	EIBIX	7/3/2017	113.36	113.21	5.8100	6/22/2018	109.45	5.6100	-3.76	-3.32
20.1900	EV INCOME FD OF BOSTON I	EIBIX	8/1/2017	117.71	117.59	5.8300	6/22/2018	113.26	5.6100	-4.33	-3.68
20.3710	EV INCOME FD OF BOSTON I	EIBIX	9/1/2017	118.15	118.07	5.8000	6/22/2018	114.28	5.6100	-3.79	-3.21
19.7510	EV INCOME FD OF BOSTON I	EIBIX	10/2/2017	114.95	114.88	5.8200	6/22/2018	110.80	5.6100	-4.08	-3.55
20.5390	EV INCOME FD OF BOSTON I	EIBIX	11/1/2017	119.33		5.8100	6/22/2018	115.22	5.6100	-4.11	-3.44
20.1210	EV INCOME FD OF BOSTON I	EIBIX	12/1/2017	116.10		5.7700	6/22/2018	112.88	5.6100	-3.22	-2.77
20.9630	EV INCOME FD OF BOSTON I	EIBIX	1/2/2018	120.54		5.7500	6/22/2018	117.60	5.6100	-2.94	-2.44
21.0700	EV INCOME FD OF BOSTON I	EIBIX	2/1/2018	121.15		5.7500	6/22/2018	118.20	5.6100	-2.95	-2.43
19.3640	EV INCOME FD OF BOSTON I	EIBIX	3/1/2018	109.99		5.6800	6/22/2018	108.64	5.6100	-1.35	-1.23
21.7420	EV INCOME FD OF BOSTON I	EIBIX	4/2/2018	122.19		5.6200	6/22/2018	121.98	5.6100	-0.21	-0.17
21.1420	EV INCOME FD OF BOSTON I	EIBIX	5/1/2018	118.82		5.6200	6/22/2018	118.61	5.6100	-0.21	-0.18
22.0790	EV INCOME FD OF BOSTON I	EIBIX	6/1/2018	123.42		5.5900	6/22/2018	123.88	5.6100	0.46	0.37
17.2220	HARBOR SML CAP VALU INST	HASCX	12/19/2017	626.36		36.3700	6/22/2018	649.45	37.7100	23.09	3.89
0.7670	HARBOR SML CAP VALU INST	HASCX	12/19/2017	27.90		36.3700	6/22/2018	28.93	37.7100	1.03	3.69
29.0670	HARBOR FD HIGH YLD BD I	HYFAX	6/30/2016	280.50		9.6500	5/5/2017	295.61	10.1700	15.11	5.39
28.5520	HARBOR FD HIGH YLD BD I	HYFAX	9/30/2016	284.68		9.9700	5/5/2017	290.38	10.1700	5.72	2.01
36.7850	HARBOR FD HIGH YLD BD I	HYFAX	12/20/2016	366.01		9.9500	5/5/2017	374.11	10.1700	8.10	2.21
30.2990	HARBOR FD HIGH YLD BD I	HYFAX	3/31/2017	305.11		10.0700	5/5/2017	308.15	10.1700	3.04	1.00
5.7490	MFS EMRG MKTS DEBT I	MEDIX	7/3/2017	85.54		14.8800	6/22/2018	80.49	14.0000	-5.05	-5.90
5.7430	MFS EMRG MKTS DEBT I	MEDIX	8/1/2017	85.92		14.9600	6/22/2018	80.41	14.0000	-5.51	-6.41
5.7980	MFS EMRG MKTS DEBT I	MEDIX	9/1/2017	87.73		15.1300	6/22/2018	81.18	14.0000	-6.55	-7.47
6.0410	MFS EMRG MKTS DEBT I	MEDIX	10/2/2017	91.16		15.0900	6/22/2018	84.58	14.0000	-6.58	-7.22
5.8800	MFS EMRG MKTS DEBT I	MEDIX	11/1/2017	88.61		15.0700	6/22/2018	82.33	14.0000	-6.28	-7.09
5.9120	MFS EMRG MKTS DEBT I	MEDIX	12/1/2017	88.80		15.0200	6/22/2018	82.78	14.0000	-6.02	-6.78
5.8210	MFS EMRG MKTS DEBT I	MEDIX	1/2/2018	87.67		15.0600	6/22/2018	81.50	14.0000	-6.17	-7.04
5.8470	MFS EMRG MKTS DEBT I	MEDIX	2/1/2018	87.99		15.0500	6/22/2018	81.87	14.0000	-6.12	-6.96
5.8960	MFS EMRG MKTS DEBT I	MEDIX	3/1/2018	86.79		14.7200	6/22/2018	82.55	14.0000	-4.24	-4.89
5.8190	MFS EMRG MKTS DEBT I	MEDIX	4/2/2018	85.30		14.6600	6/22/2018	81.48	14.0000	-3.82	-4.48
5.9380	MFS EMRG MKTS DEBT I	MEDIX	5/1/2018	85.75		14.4400	6/22/2018	83.14	14.0000	-2.61	-3.04
6.1830	MFS EMRG MKTS DEBT I	MEDIX	6/1/2018	87.68		14.1800	6/22/2018	86.59	14.0000	-1.09	-1.24
7.3700	NUVEEN REAL ESTATE I	FARCX	4/3/2017	162.43		22.0400	2/2/2018	141.80	19.2400	-20.63	-12.70
8.1500	NUVEEN REAL ESTATE I	FARCX	7/3/2017	182.48		22.3900	2/2/2018	156.80	19.2400	-25.68	-14.07

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss
Short - Continued											
7.8610	NUVEEN REAL ESTATE I	FARCX	10/2/2017	175.70*		22.3500	2/2/2018	151.24	19.2400	-24.46	-13.92
102.9020	NUVEEN REAL ESTATE I	FARCX	12/18/2017	2,149.62*		20.8900	2/2/2018	1,979.87	19.2400	-169.75	-7.90
30.6900	NUVEEN REAL ESTATE I	FARCX	12/18/2017	641.11*		20.8900	2/2/2018	590.49	19.2400	-50.62	-7.90
12.9080	NUVEEN REAL ESTATE I	FARCX	12/29/2017	265.39*		20.5600	2/2/2018	248.36	19.2400	-17.03	-6.42
3.7780	OPENHMR INTL GROWTH Y	OIGYX	12/14/2017	162.66*		43.0500	2/2/2018	169.98	44.9900	7.32	4.50
982.3180	VIRTUS OPPORTUNITIE CL I	HIEMX	9/19/2016	10,000.00*		10.1800	5/5/2017	10,461.69	10.6500	461.69	4.62
8.9160	VIRTUS OPPORTUNITIE CL I	HIEMX	12/22/2016	79.35*		8.9000	5/5/2017	94.96	10.6500	15.61	19.67
580.0460	VOYA SMALL CO FD CL I	AESGX	2/2/2018	10,000.00*		17.2400	6/22/2018	10,417.63	17.9600	417.63	4.18
				28,208.19	463.75			28,765.77			
								28,765.77			

Long											
26.9120	DODGE & COX INTL STCK FD	DODFX	3/19/2015	1,179.28*		43.8200	6/22/2018	1,171.75	43.5400	-7.53	-0.64
10.1430	DODGE & COX INTL STCK FD	DODFX	12/23/2015	366.17*		36.1000	6/22/2018	441.62	43.5400	75.45	20.61
0.8270	DODGE & COX INTL STCK FD	DODFX	12/22/2016	31.53*		38.1200	6/22/2018	36.01	43.5400	4.48	14.21
0.5280	DODGE & COX INTL STCK FD	DODFX	12/22/2016	20.16*		38.1200	6/22/2018	23.03	43.5400	2.87	14.24
4,145.0780	EV INCOME FD OF BOSTON I	EIBIX	5/5/2017	24,000.00*	23,960.48	5.7900	6/22/2018	23,253.88	5.6100	-706.60	-2.94
14.8400	EV INCOME FD OF BOSTON I	EIBIX	6/1/2017	86.52*	86.37	5.8300	6/22/2018	83.25	5.6100	-3.12	-3.61
570.5590	HARBOR SML CAP VALU INST	HASCX	5/16/2013	15,000.00*		26.2900	6/22/2018	21,515.77	37.7100	6,515.77	43.44
142.8060	HARBOR SML CAP VALU INST	HASCX	9/19/2013	4,000.00*		28.0100	6/22/2018	5,385.21	37.7100	1,385.21	34.63
116.5530	HARBOR SML CAP VALU INST	HASCX	12/18/2013	2,878.87*		24.7000	6/22/2018	4,395.22	37.7100	1,516.35	52.67
10.8490	HARBOR SML CAP VALU INST	HASCX	12/18/2013	267.96*		24.7000	6/22/2018	409.11	37.7100	141.15	52.68
1.4010	HARBOR SML CAP VALU INST	HASCX	12/18/2013	34.61*		24.7000	6/22/2018	52.83	37.7100	18.22	52.64
28.0140	HARBOR SML CAP VALU INST	HASCX	12/19/2014	739.30*		26.3900	6/22/2018	1,056.41	37.7100	317.11	42.89
1.8840	HARBOR SML CAP VALU INST	HASCX	12/19/2014	49.71*		26.3900	6/22/2018	71.04	37.7100	21.33	42.91
1.0700	HARBOR SML CAP VALU INST	HASCX	12/19/2014	28.25*		26.3900	6/22/2018	40.35	37.7100	12.10	42.83
27.7060	HARBOR SML CAP VALU INST	HASCX	12/18/2015	687.11*		24.8000	6/22/2018	1,044.80	37.7100	357.69	52.06
3.6890	HARBOR SML CAP VALU INST	HASCX	12/18/2015	91.46*		24.8000	6/22/2018	139.11	37.7100	47.62	52.05
3.2930	HARBOR SML CAP VALU INST	HASCX	12/18/2015	81.66*		24.8000	6/22/2018	124.18	37.7100	42.52	52.07
3.2650	HARBOR SML CAP VALU INST	HASCX	12/20/2016	100.31*		30.7200	6/22/2018	123.12	37.7100	22.81	22.74
1,211.5560	HARBOR FD HIGH YLD BD I	HYFAX	9/20/2017	13,000.00*		10.7300	5/5/2017	12,321.52	10.1700	-678.48	-5.22
19.3560	HARBOR FD HIGH YLD BD I	HYFAX	10/3/2017	200.90*		10.3800	5/5/2017	196.84	10.1700	-4.06	-2.02
25.3280	HARBOR FD HIGH YLD BD I	HYFAX	12/20/2017	265.19*		10.4700	5/5/2017	257.59	10.1700	-7.60	-2.87
14.5850	HARBOR FD HIGH YLD BD I	HYFAX	12/20/2017	152.71*		10.4700	5/5/2017	148.32	10.1700	-4.39	-2.87
15.8470	HARBOR FD HIGH YLD BD I	HYFAX	3/30/2017	172.26*		10.8700	5/5/2017	161.16	10.1700	-11.10	-6.44
17.6630	HARBOR FD HIGH YLD BD I	HYFAX	6/29/2017	191.47*		10.8400	5/5/2017	179.63	10.1700	-11.84	-6.18

Schedule of Realized Gains and Losses
01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHIN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss
Long - Continued											
16.6600	HARBOR FD HIGH YLD BD I	HYFAX	9/28/2012 ^e	184.93 ^h		11.1000	5/5/2017	169.43	10.1700	-15.50	-8.38
26.7840	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2012 ^e	297.30 ^h		11.1000	5/5/2017	272.39	10.1700	-24.91	-8.38
2.8630	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2012 ^e	31.78 ^h		11.1000	5/5/2017	29.11	10.1700	-2.67	-8.40
14.8010	HARBOR FD HIGH YLD BD I	HYFAX	4/1/2013 ^e	165.47 ^h		11.1800	5/5/2017	150.52	10.1700	-14.95	-9.03
18.8280	HARBOR FD HIGH YLD BD I	HYFAX	6/28/2013 ^e	203.34 ^h		10.8000	5/5/2017	191.48	10.1700	-11.86	-5.83
18.2060	HARBOR FD HIGH YLD BD I	HYFAX	9/27/2013 ^e	199.36 ^h		10.9500	5/5/2017	185.15	10.1700	-14.21	-7.13
32.6980	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2013 ^e	352.16 ^h		10.7700	5/5/2017	332.54	10.1700	-19.62	-5.57
27.3650	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2013 ^e	294.72 ^h		10.7700	5/5/2017	278.30	10.1700	-16.42	-5.57
2.0970	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2013 ^e	22.58 ^h		10.7700	5/5/2017	21.32	10.1700	-1.26	-5.58
16.5650	HARBOR FD HIGH YLD BD I	HYFAX	3/28/2014 ^e	182.05 ^h		10.9900	5/5/2017	168.46	10.1700	-13.59	-7.46
20.3580	HARBOR FD HIGH YLD BD I	HYFAX	6/27/2014 ^e	225.57 ^h		11.0800	5/5/2017	207.04	10.1700	-18.53	-8.21
18.6000	HARBOR FD HIGH YLD BD I	HYFAX	9/26/2014 ^e	199.95 ^h		10.7500	5/5/2017	189.16	10.1700	-10.79	-5.40
25.3830	HARBOR FD HIGH YLD BD I	HYFAX	12/19/2014 ^e	257.64 ^h		10.1500	5/5/2017	258.14	10.1700	0.50	0.19
21.0050	HARBOR FD HIGH YLD BD I	HYFAX	12/19/2014 ^e	213.20 ^h		10.1500	5/5/2017	213.62	10.1700	0.42	0.20
7.6850	HARBOR FD HIGH YLD BD I	HYFAX	12/19/2014 ^e	78.00 ^h		10.1500	5/5/2017	78.15	10.1700	0.15	0.19
20.6290	HARBOR FD HIGH YLD BD I	HYFAX	3/31/2015 ^e	215.78 ^h		10.4600	5/5/2017	209.79	10.1700	-5.99	-2.78
20.5590	HARBOR FD HIGH YLD BD I	HYFAX	6/30/2015 ^e	212.58 ^h		10.3400	5/5/2017	209.08	10.1700	-3.50	-1.65
20.9530	HARBOR FD HIGH YLD BD I	HYFAX	9/25/2015 ^e	206.60 ^h		9.8600	5/5/2017	213.09	10.1700	6.49	3.14
27.5400	HARBOR FD HIGH YLD BD I	HYFAX	12/18/2015 ^e	257.50 ^h		9.3500	5/5/2017	280.08	10.1700	22.58	8.77
19.5550	HARBOR FD HIGH YLD BD I	HYFAX	3/31/2016 ^e	185.58 ^h		9.4900	5/5/2017	198.87	10.1700	13.29	7.16
525.2100	HARBOR FD HIGH YLD BD I	HYFAX	4/4/2016 ^e	5,000.00 ^h		9.5200	5/5/2017	5,341.46	10.1700	341.46	6.83
188.0280	MFS EMRG MKTS DEBT I	MEDIX	9/7/2012 ^e	3,017.84 ^h		16.0500	6/22/2018	2,632.39	14.0000	-385.45	-12.77
4.3310	MFS EMRG MKTS DEBT I	MEDIX	10/1/2012 ^e	69.65 ^h		16.0800	6/22/2018	60.63	14.0000	-9.02	-12.95
4.7830	MFS EMRG MKTS DEBT I	MEDIX	11/1/2012 ^e	77.24 ^h		16.1500	6/22/2018	66.96	14.0000	-10.28	-13.31
4.7590	MFS EMRG MKTS DEBT I	MEDIX	12/3/2012 ^e	77.66 ^h		16.3200	6/22/2018	66.62	14.0000	-11.04	-14.22
3.9920	MFS EMRG MKTS DEBT I	MEDIX	12/12/2012 ^e	65.23 ^h		16.3400	6/22/2018	55.88	14.0000	-9.35	-14.33
3.9440	MFS EMRG MKTS DEBT I	MEDIX	12/12/2012 ^e	64.44 ^h		16.3400	6/22/2018	55.21	14.0000	-9.23	-14.32
6.0940	MFS EMRG MKTS DEBT I	MEDIX	1/2/2013 ^e	99.51 ^h		16.3300	6/22/2018	85.31	14.0000	-14.20	-14.27
4.8840	MFS EMRG MKTS DEBT I	MEDIX	2/1/2013 ^e	78.78 ^h		16.1300	6/22/2018	68.37	14.0000	-10.41	-13.21
4.9340	MFS EMRG MKTS DEBT I	MEDIX	3/1/2013 ^e	79.19 ^h		16.0500	6/22/2018	69.07	14.0000	-10.12	-12.78
942.8030	MFS EMRG MKTS DEBT I	MEDIX	3/22/2013 ^e	15,000.00 ^h		15.9100	6/22/2018	13,199.27	14.0000	-1,800.73	-12.00
5.7710	MFS EMRG MKTS DEBT I	MEDIX	4/1/2013 ^e	91.65 ^h		15.8800	6/22/2018	80.79	14.0000	-10.86	-11.85
8.5340	MFS EMRG MKTS DEBT I	MEDIX	5/1/2013 ^e	138.00 ^h		16.1700	6/22/2018	119.47	14.0000	-18.53	-13.43
8.7660	MFS EMRG MKTS DEBT I	MEDIX	6/3/2013 ^e	136.66 ^h		15.5900	6/22/2018	122.72	14.0000	-13.94	-10.20
9.2850	MFS EMRG MKTS DEBT I	MEDIX	7/1/2013 ^e	137.05 ^h		14.7600	6/22/2018	129.99	14.0000	-7.06	-5.15

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain of Loss
Long - Continued										
9.1140	MFS EMRG MKTS DEBT I	MEDIX	8/1/2013 ^e	134.80 ^e		14.7900	6/22/2018	127.59	14.0000	-7.21 -5.35
9.1470	MFS EMRG MKTS DEBT I	MEDIX	9/3/2013 ^e	131.08 ^e		14.3300	6/22/2018	128.05	14.0000	-3.03 -2.31
8.9720	MFS EMRG MKTS DEBT I	MEDIX	10/1/2013 ^e	131.35 ^e		14.6400	6/22/2018	125.60	14.0000	-5.75 -4.38
8.8280	MFS EMRG MKTS DEBT I	MEDIX	11/1/2013 ^e	132.06 ^e		14.9600	6/22/2018	123.59	14.0000	-8.47 -6.41
9.0980	MFS EMRG MKTS DEBT I	MEDIX	12/2/2013 ^e	132.56 ^e		14.5700	6/22/2018	127.37	14.0000	-5.19 -3.92
10.4770	MFS EMRG MKTS DEBT I	MEDIX	12/11/2013 ^e	152.13 ^e		14.5200	6/22/2018	146.67	14.0000	-5.46 -3.59
0.0120	MFS EMRG MKTS DEBT I	MEDIX	12/11/2013 ^e	0.18 ^e		14.5200	6/22/2018	0.16	14.0000	-0.02 -11.11
9.1880	MFS EMRG MKTS DEBT I	MEDIX	1/2/2014 ^e	133.41 ^e		14.5200	6/22/2018	128.63	14.0000	-4.78 -3.58
9.1970	MFS EMRG MKTS DEBT I	MEDIX	2/3/2014 ^e	131.80 ^e		14.3300	6/22/2018	128.76	14.0000	-3.04 -2.31
9.1650	MFS EMRG MKTS DEBT I	MEDIX	3/3/2014 ^e	134.64 ^e		14.6900	6/22/2018	128.31	14.0000	-6.33 -4.70
9.1510	MFS EMRG MKTS DEBT I	MEDIX	4/1/2014 ^e	135.16 ^e		14.7700	6/22/2018	128.11	14.0000	-7.05 -5.22
8.7170	MFS EMRG MKTS DEBT I	MEDIX	5/1/2014 ^e	129.88 ^e		14.9000	6/22/2018	122.04	14.0000	-7.84 -6.04
5.0210	MFS EMRG MKTS DEBT I	MEDIX	6/2/2014 ^e	76.82 ^e		15.3000	6/22/2018	70.29	14.0000	-6.53 -8.50
5.0320	MFS EMRG MKTS DEBT I	MEDIX	7/1/2014 ^e	77.14 ^e		15.3300	6/22/2018	70.44	14.0000	-6.70 -8.69
5.0820	MFS EMRG MKTS DEBT I	MEDIX	8/1/2014 ^e	77.50 ^e		15.2500	6/22/2018	71.14	14.0000	-6.36 -8.21
5.0820	MFS EMRG MKTS DEBT I	MEDIX	9/2/2014 ^e	77.81 ^e		15.3100	6/22/2018	71.14	14.0000	-6.67 -8.57
5.1300	MFS EMRG MKTS DEBT I	MEDIX	10/1/2014 ^e	76.80 ^e		14.9700	6/22/2018	71.82	14.0000	-4.98 -6.48
5.1030	MFS EMRG MKTS DEBT I	MEDIX	11/3/2014 ^e	77.15 ^e		15.1200	6/22/2018	71.44	14.0000	-5.71 -7.40
4.9970	MFS EMRG MKTS DEBT I	MEDIX	12/1/2014 ^e	74.70 ^e		14.9500	6/22/2018	69.96	14.0000	-4.74 -6.35
5.1520	MFS EMRG MKTS DEBT I	MEDIX	1/2/2015 ^e	74.76 ^e		14.5100	6/22/2018	72.13	14.0000	-2.63 -3.52
5.1840	MFS EMRG MKTS DEBT I	MEDIX	2/2/2015 ^e	75.06 ^e		14.4800	6/22/2018	72.57	14.0000	-2.49 -3.32
4.7680	MFS EMRG MKTS DEBT I	MEDIX	3/3/2015 ^e	69.99 ^e		14.6800	6/22/2018	66.75	14.0000	-3.24 -4.63
5.1490	MFS EMRG MKTS DEBT I	MEDIX	4/1/2015 ^e	75.64 ^e		14.6900	6/22/2018	72.08	14.0000	-3.56 -4.71
5.0880	MFS EMRG MKTS DEBT I	MEDIX	5/1/2015 ^e	75.91 ^e		14.9200	6/22/2018	71.23	14.0000	-4.68 -6.17
5.2500	MFS EMRG MKTS DEBT I	MEDIX	6/1/2015 ^e	77.75 ^e		14.8100	6/22/2018	73.50	14.0000	-4.25 -5.47
5.4610	MFS EMRG MKTS DEBT I	MEDIX	7/1/2015 ^e	79.24 ^e		14.5100	6/22/2018	76.45	14.0000	-2.79 -3.52
5.5210	MFS EMRG MKTS DEBT I	MEDIX	8/3/2015 ^e	79.62 ^e		14.4200	6/22/2018	77.29	14.0000	-2.33 -2.93
5.5550	MFS EMRG MKTS DEBT I	MEDIX	9/1/2015 ^e	78.43 ^e		14.1200	6/22/2018	77.77	14.0000	-0.66 -0.84
5.5770	MFS EMRG MKTS DEBT I	MEDIX	10/1/2015 ^e	77.35 ^e		13.8700	6/22/2018	78.06	14.0000	0.73 0.94
5.2800	MFS EMRG MKTS DEBT I	MEDIX	11/2/2015 ^e	74.71 ^e		14.1500	6/22/2018	73.92	14.0000	-0.79 -1.06
5.3390	MFS EMRG MKTS DEBT I	MEDIX	12/1/2015 ^e	75.06 ^e		14.0600	6/22/2018	74.74	14.0000	-0.32 -0.43
5.4710	MFS EMRG MKTS DEBT I	MEDIX	1/4/2016 ^e	75.33 ^e		13.7700	6/22/2018	76.59	14.0000	1.26 1.67
5.5410	MFS EMRG MKTS DEBT I	MEDIX	2/1/2016 ^e	75.64 ^e		13.6500	6/22/2018	77.57	14.0000	1.93 2.55
5.4880	MFS EMRG MKTS DEBT I	MEDIX	3/1/2016 ^e	75.73 ^e		13.8000	6/22/2018	76.83	14.0000	1.10 1.45
5.3630	MFS EMRG MKTS DEBT I	MEDIX	4/1/2016 ^e	76.21 ^e		14.2100	6/22/2018	75.08	14.0000	-1.13 -1.48

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long - Continued											
5.5080	MFS EMRG MKTS DEBT I	MEDIX	5/2/2016 ²	79.45 ²		14.4300	6/22/2018	77.08	14.0000	-2.37	-2.98
5.7510	MFS EMRG MKTS DEBT I	MEDIX	6/1/2016 ²	82.52 ²		14.3500	6/22/2018	80.51	14.0000	-2.01	-2.44
5.8240	MFS EMRG MKTS DEBT I	MEDIX	7/1/2016 ²	85.84 ²		14.7400	6/22/2018	81.54	14.0000	-4.30	-5.01
5.9550	MFS EMRG MKTS DEBT I	MEDIX	8/1/2016 ²	89.03 ²		14.9500	6/22/2018	83.37	14.0000	-5.66	-6.36
6.0730	MFS EMRG MKTS DEBT I	MEDIX	9/1/2016 ²	91.76 ²		15.1100	6/22/2018	85.02	14.0000	-6.74	-7.35
6.0590	MFS EMRG MKTS DEBT I	MEDIX	10/3/2016 ²	91.49 ²		15.1000	6/22/2018	84.83	14.0000	-6.66	-7.28
5.8630	MFS EMRG MKTS DEBT I	MEDIX	11/1/2016 ²	87.42 ²		14.9100	6/22/2018	82.08	14.0000	-5.34	-6.11
6.1480	MFS EMRG MKTS DEBT I	MEDIX	12/1/2016 ²	87.85 ²		14.2900	6/22/2018	86.07	14.0000	-1.78	-2.03
5.6090	MFS EMRG MKTS DEBT I	MEDIX	1/3/2017 ²	80.60 ²		14.3700	6/22/2018	78.53	14.0000	-2.07	-2.57
5.3770	MFS EMRG MKTS DEBT I	MEDIX	2/1/2017 ²	78.07 ²		14.5200	6/22/2018	75.28	14.0000	-2.79	-3.57
5.3220	MFS EMRG MKTS DEBT I	MEDIX	3/1/2017 ²	78.39 ²		14.7300	6/22/2018	74.51	14.0000	-3.88	-4.95
5.3420	MFS EMRG MKTS DEBT I	MEDIX	4/3/2017 ²	78.74 ²		14.7400	6/22/2018	74.79	14.0000	-3.95	-5.02
5.2880	MFS EMRG MKTS DEBT I	MEDIX	5/1/2017 ²	78.90 ²		14.9200	6/22/2018	74.04	14.0000	-4.86	-6.16
5.4940	MFS EMRG MKTS DEBT I	MEDIX	6/1/2017 ²	82.19 ²		14.9600	6/22/2018	76.92	14.0000	-5.27	-6.41
635.1970	NUVEEN REAL ESTATE I	FARCX	9/20/2014 ^{1*}	11,573.28 ^{1*}	11,486.14	18.2200	2/2/2018	12,221.19	19.2400	735.05	6.35
4.1820	NUVEEN REAL ESTATE I	FARCX	10/3/2014 ^{1*}	69.93 ^{1*}	69.37	16.7200	2/2/2018	80.46	19.2400	11.09	15.86
4.8540	NUVEEN REAL ESTATE I	FARCX	12/23/2014 ^{1*}	92.67 ^{1*}	92.02	19.0900	2/2/2018	93.39	19.2400	1.37	1.48
5.4590	NUVEEN REAL ESTATE I	FARCX	12/30/2014 ^{1*}	103.94 ^{1*}	103.21	19.0400	2/2/2018	105.03	19.2400	1.82	1.75
6.2300	NUVEEN REAL ESTATE I	FARCX	4/2/2012 ²	129.95 ²	129.12	20.8600	2/2/2018	119.86	19.2400	-9.26	-7.13
5.1070	NUVEEN REAL ESTATE I	FARCX	7/2/2012 ²	110.06 ²	109.37	21.5500	2/2/2018	98.25	19.2400	-11.12	-10.10
1.6820	NUVEEN REAL ESTATE I	FARCX	9/21/2012 ²	36.81 ²	36.60	21.8900	2/2/2018	32.36	19.2400	-4.24	-11.52
4.8760	NUVEEN REAL ESTATE I	FARCX	10/1/2012 ²	104.60 ²	103.95	21.4500	2/2/2018	93.81	19.2400	-10.14	-9.69
14.4430	NUVEEN REAL ESTATE I	FARCX	12/17/2012 ²	299.55 ²	297.59	20.7400	2/2/2018	277.88	19.2400	-19.71	-6.58
11.9600	NUVEEN REAL ESTATE I	FARCX	12/17/2012 ²	248.06 ²	246.43	20.7400	2/2/2018	230.11	19.2400	-16.32	-6.58
4.1240	NUVEEN REAL ESTATE I	FARCX	12/31/2012 ²	86.77 ²	86.17	21.0400	2/2/2018	79.34	19.2400	-6.83	-7.87
4.9810	NUVEEN REAL ESTATE I	FARCX	4/1/2013 ²	112.47 ²	111.91	22.5800	2/2/2018	95.83	19.2400	-16.08	-14.30
5.1860	NUVEEN REAL ESTATE I	FARCX	7/1/2013 ²	114.55 ²	114.20	22.0900	2/2/2018	99.77	19.2400	-14.43	-12.60
2.9720	NUVEEN REAL ESTATE I	FARCX	9/17/2013 ²	63.83 ²	63.63	21.4800	2/2/2018	57.18	19.2400	-6.45	-10.10
1.8840	NUVEEN REAL ESTATE I	FARCX	9/17/2013 ²	40.46 ²	40.27	21.4800	2/2/2018	36.24	19.2400	-4.03	-9.96
6.3160	NUVEEN REAL ESTATE I	FARCX	10/1/2013 ²	134.28 ²	134.09	21.2600	2/2/2018	121.52	19.2400	-12.57	-9.36
40.4050	NUVEEN REAL ESTATE I	FARCX	12/16/2013 ²	786.68 ²	785.46	19.4700	2/2/2018	777.39	19.2400	-8.07	-1.03
15.2470	NUVEEN REAL ESTATE I	FARCX	12/16/2013 ²	296.85 ²	296.30	19.4700	2/2/2018	293.35	19.2400	-2.95	-0.99
8.3400	NUVEEN REAL ESTATE I	FARCX	12/31/2013 ²	165.14 ²		19.8000	2/2/2018	160.46	19.2400	-4.68	-2.83
5.9920	NUVEEN REAL ESTATE I	FARCX	4/1/2014 ²	129.67 ²		21.6400	2/2/2018	115.28	19.2400	-14.39	-11.10
6.4180	NUVEEN REAL ESTATE I	FARCX	7/1/2014 ²	148.13 ²		23.0800	2/2/2018	123.48	19.2400	-24.65	-16.64

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long - Continued											
6.1120	NUVEEN REAL ESTATE I	FARCX	10/1/2014	136.23		22.2900	2/2/2018	117.59	19.2400	-18.64	-13.68
31.0930	NUVEEN REAL ESTATE I	FARCX	12/16/2014	734.11		23.6100	2/2/2018	598.23	19.2400	-135.88	-18.51
13.7090	NUVEEN REAL ESTATE I	FARCX	12/16/2014	323.66		23.6100	2/2/2018	263.76	19.2400	-59.90	-18.51
9.6990	NUVEEN REAL ESTATE I	FARCX	12/31/2014	237.42		24.4800	2/2/2018	186.61	19.2400	-50.81	-21.40
5.7700	NUVEEN REAL ESTATE I	FARCX	4/1/2015	144.07		24.9700	2/2/2018	111.01	19.2400	-33.06	-22.95
8.2240	NUVEEN REAL ESTATE I	FARCX	7/1/2015	183.23		22.2800	2/2/2018	158.23	19.2400	-25.00	-13.64
9.7590	NUVEEN REAL ESTATE I	FARCX	9/17/2015	217.62		22.3000	2/2/2018	187.76	19.2400	-29.86	-13.72
5.6560	NUVEEN REAL ESTATE I	FARCX	10/1/2015	126.75		22.4100	2/2/2018	108.82	19.2400	-17.93	-14.15
37.8070	NUVEEN REAL ESTATE I	FARCX	12/16/2015	855.58		22.6300	2/2/2018	727.41	19.2400	-128.17	-14.98
7.4450	NUVEEN REAL ESTATE I	FARCX	12/31/2015	172.36		23.1500	2/2/2018	143.24	19.2400	-29.12	-16.89
6.7510	NUVEEN REAL ESTATE I	FARCX	4/1/2016	161.27		23.8900	2/2/2018	129.89	19.2400	-31.38	-19.46
209.4680	NUVEEN REAL ESTATE I	FARCX	4/4/2016	5,000.00		23.8700	2/2/2018	4,030.19	19.2400	-969.81	-19.40
7.7730	NUVEEN REAL ESTATE I	FARCX	7/1/2016	196.43		25.2700	2/2/2018	149.55	19.2400	-46.88	-23.87
14.7920	NUVEEN REAL ESTATE I	FARCX	9/16/2016	356.35		24.0900	2/2/2018	284.60	19.2400	-71.75	-20.13
10.0350	NUVEEN REAL ESTATE I	FARCX	10/3/2016	244.75		24.3900	2/2/2018	193.07	19.2400	-51.68	-21.12
48.9480	NUVEEN REAL ESTATE I	FARCX	12/16/2016	1,056.29		21.5800	2/2/2018	941.77	19.2400	-114.52	-10.84
25.2890	NUVEEN REAL ESTATE I	FARCX	12/16/2016	545.73		21.5800	2/2/2018	486.56	19.2400	-59.17	-10.84
11.8690	NUVEEN REAL ESTATE I	FARCX	12/30/2016	259.11		21.8300	2/2/2018	228.36	19.2400	-30.75	-11.87
419.4270	OPPENHMR INTL GROWTH Y	OIGYX	2/26/2016	14,206.00		33.8700	2/2/2018	18,870.01	44.9900	4,664.01	32.83
5.7030	OPPENHMR INTL GROWTH Y	OIGYX	12/15/2016	194.94		34.1800	2/2/2018	256.58	44.9900	61.64	31.62
992.0630	VIRTUS OPPORTUNITIE CL I	HIEMX	6/23/2015	10,000.00		10.0800	5/5/2017	10,565.47	10.6500	565.47	5.65
9.3310	VIRTUS OPPORTUNITIE CL I	HIEMX	12/23/2015	83.33		8.9300	5/5/2017	99.37	10.6500	16.04	19.25
				146,433.01	36,352.68			157,010.13		10,715.70	

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHIN/GOWEN

Prepared For:
4391-2136
KATHRYN G ELLIS LCSW
KATHRYN G ELLIS TTEE
FBO KATHRYN ELLIS

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Report Summary			174,641.20	38,916.43	185,775.90	11,273.70					
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Realized Gains or Losses		
Short Term	558.00	
Long Term	10,715.70	

Legend	
(*) Security Held Aways	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Net Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

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Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4948-4833
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized or Loss	% Gain or Loss	Term
1	AFLAC INC	AFL	8/9/2013 ^c	61.56 ⁿ	61.5506	11/2/2017	84.40	84.4010	22.84	37.10	LONG
1	AIR PRODUCTS & CHEMICALS	APD	8/9/2013 ^c	97.52 ⁿ	97.5197	11/2/2017	159.19	159.1900	61.67	63.24	LONG
1	ANALOG DEVICES INC	ADI	8/9/2013 ^c	48.97 ⁿ	48.9629	11/2/2017	90.89	90.8930	41.92	85.60	LONG
1	BECTON DICKINSON & CO	BDX	8/9/2013 ^c	100.16 ⁿ	100.1527	11/2/2017	224.30	224.3078	124.14	123.94	LONG
1	BLACKROCK INC	BLK	10/29/2015 ^c	348.94 ⁿ	348.9345	11/2/2017	474.35	474.3618	125.41	35.94	LONG
1	CHEVRON CORPORATION	CVX	8/9/2013 ^c	122.37 ⁿ	122.3699	11/2/2017	115.26	115.2621	-7.11	-5.81	LONG
1	CLOROX COMPANY	CLX	8/9/2013 ^c	85.95 ⁿ	85.9473	11/2/2017	129.50	129.4954	43.55	50.67	LONG
1	COLGATE PALMOLIVE CO	CL	8/9/2013 ^c	60.75 ⁿ	60.7474	11/2/2017	71.03	71.0338	10.28	16.92	LONG
1	EMERSON ELECTRIC CO	EMR	8/9/2013 ^c	61.94 ⁿ	61.9400	11/2/2017	63.72	63.7238	1.78	2.87	LONG
1	EVERSOURCE ENERGY	ES	8/9/2013 ^c	43.84 ⁿ	43.8343	11/2/2017	63.15	63.1467	19.31	44.05	LONG
5	GRAINGER W W INC	GWV	8/9/2013 ^c	1,301.34 ⁿ	260.2679	11/8/2018	1,188.00	237.6061	-113.34	-8.71	LONG
18	JM SMUCKER CO	SJM	8/9/2013 ^c	2,040.83 ⁿ	113.3797	10/2/2017	1,886.08	104.7847	-154.75	-7.58	LONG
27	KELLOGG COMPANY	K	8/9/2013 ^c	1,772.14 ⁿ	65.6350	9/29/2017	1,684.31	62.3833	-87.83	-4.96	LONG
2	MICROSOFT CORP	MSFT	8/9/2013 ^c	65.38 ⁿ	32.6872	11/2/2017	168.15	84.0750	102.77	157.19	LONG
1	PAYCHEX INC	PAYX	8/9/2013 ^c	40.48 ⁿ	40.4773	11/2/2017	64.52	64.5201	24.04	59.39	LONG
1	PHILLIPS 66	PSX	8/9/2013 ^c	59.05 ⁿ	59.0500	11/2/2017	93.27	93.2720	34.22	57.95	LONG
1	PROCTER & GAMBLE CO	PG	8/9/2013 ^c	81.64 ⁿ	81.6400	11/2/2017	86.61	86.6101	4.97	6.09	LONG
1	QUALCOMM INC	QCOM	9/23/2014 ^c	76.19 ⁿ	76.1852	11/2/2017	54.96	54.9605	-21.23	-27.86	LONG
15	SCANA CORP COM	SCG	8/9/2013 ^c	771.60 ⁿ	51.4399	8/17/2017	920.28	61.3530	148.68	19.27	LONG
32	SOUTHERN COMPANY/THE SO	SYO	8/9/2013 ^c	1,404.07 ⁿ	43.8773	8/18/2017	1,542.56	48.2061	138.49	9.86	LONG
1	SYSCO CORPORATION	SY	8/9/2013 ^c	35.03 ⁿ	35.0263	11/2/2017	55.67	55.9650	20.64	59.49	LONG
1	TARGET CORP	TGT	8/9/2013 ^c	70.96 ⁿ	70.9665	11/2/2017	59.75	59.7538	-11.21	-15.80	LONG
1	US BANCORP NEW	USB	1/31/2017 ^c	52.61 ⁿ	52.6023	11/2/2017	54.93	54.9335	2.32	4.41	SHORT

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 4948-4833
 KATHRYN G ELLIS (IRA)
 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
Report Summary				8,803.32		9,335.08			531.76	

Realized Gains or Losses	
Short Term	2.32
Long Term	529.44

Legend	
(*) Security Held Away	Cost Amount
Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
N - Noncovered Tax Lot	N - Not Average Cost
M - Net Row Contains Both Covered and Noncovered Tax Lots	M - Net Row Contains Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4948-4833
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Short											
1	US BANCORP NEW	USB	1/31/2017	52.61		52.6023	11/2/2017	54.93	54.9335	2.32	4.41
				52.61		54.93				2.32	
Long											
1	AFLAC INC	AFL	8/9/2013	61.56		61.5506	11/2/2017	84.40	84.4010	22.84	37.10
1	AIR PRODUCTS & CHEMICALS	APD	8/9/2013	97.52		97.5197	11/2/2017	159.19	159.1900	61.67	63.24
1	ANALOG DEVICES INC	ADI	8/9/2013	48.97		48.9629	11/2/2017	90.89	90.8930	41.92	85.60
1	BECTON DICKINSON & CO	BDX	8/9/2013	100.16		100.1527	11/2/2017	224.30	224.3078	124.14	123.94
1	BLACKROCK INC	BLK	10/29/2015	348.94		348.9345	11/2/2017	474.35	474.3618	125.41	35.94
1	CHEVRON CORPORATION	CVX	8/9/2013	122.37		122.3699	11/2/2017	115.26	115.2621	-7.11	-5.81
1	CIOROX COMPANY	CLX	8/9/2013	85.95		85.9473	11/2/2017	129.50	129.4954	43.55	50.67
1	COLGATE PALMOLIVE CO	CL	8/9/2013	60.75		60.7474	11/2/2017	71.03	71.0338	10.28	16.92
1	EMERSON ELECTRIC CO	EMR	8/9/2013	61.94		61.9400	11/2/2017	63.72	63.7238	1.78	2.87
1	EVERSOURCE ENERGY	ES	8/9/2013	43.84		43.8343	11/2/2017	63.15	63.1467	19.31	44.05
5	GRAINGER W W INC	GW	8/9/2013	1,301.34		260.2679	11/2/2017	1,188.00	237.6061	-113.34	-8.71
18	JM SMUCKER CO	SJM	8/9/2013	2,040.83		113.3797	10/2/2017	1,886.08	104.7847	-154.75	-7.58
27	KELLOGG COMPANY	K	8/9/2013	1,772.14		65.6350	9/29/2017	1,684.31	62.3833	-87.83	-4.96
2	MICROSOFT CORP	MSFT	8/9/2013	65.36		32.6872	11/2/2017	168.15	84.0750	102.77	157.19
1	PAYCHEX INC	PAYX	8/9/2013	40.46		40.4773	11/2/2017	64.52	64.5201	24.04	59.39
1	PHILLIPS 66	PSX	8/9/2013	59.05		59.0500	11/2/2017	93.27	93.2720	34.22	57.95
1	PROCTER & GAMBLE CO	PG	8/9/2013	81.64		81.6400	11/2/2017	86.61	86.6101	4.97	6.09
1	QUALCOMM INC	QCOM	9/23/2014	76.19		76.1852	11/2/2017	54.96	54.9605	-21.23	-27.86
15	SCANA CORP COM	SCG	8/9/2013	771.60		51.4389	8/17/2017	920.28	61.3530	148.68	19.27
32	SOUTHERN COMPANY/THE	SO	8/9/2013	1,404.07		43.8773	8/18/2017	1,542.56	48.2061	138.49	9.86
1	SYSO CORPORATION	SY	8/9/2013	35.03		35.0263	11/2/2017	55.87	55.8650	20.84	59.49
1	TARGET CORP	TGT	8/9/2013	70.96		70.9565	11/2/2017	59.75	59.7538	-11.21	-15.80
				8,750.71				9,280.15		529.44	

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
4948-4833
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized	% Gain or Loss
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Report Summary			8,803.32	0.00	9,335.08	531.76					
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Realized Gains or Losses	
Short Term	2.32
Long Term	529.44

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
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Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8004-5983
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized of Loss	% Gain	Term
2,000	8INTEL CORP 4.8% 100141	45899A-RC-2	9/29/2014 ^c	2,105.78 ⁿ	105.6630	12/8/2017	2,430.80	121.5400	325.02	15.38	LONG
2,000	ACE INA H 3.15% 031525	00440E-AS-6	6/15/2015 ^c	1,938.60 ⁿ	97.9300	2/10/2017	2,003.80	100.1900	45.20	2.31	LONG
2,000	AMERICAN 4.5% 071644	026874-DA-2	2/10/2015 ^c	2,133.01 ⁿ	106.9310	4/4/2017	1,915.90	95.7950	-217.11	-10.15	LONG
2,000	AMERICAN E 2.6% 091420	0258MO-DX-4	10/13/2015 ^c	2,010.41 ⁿ	100.9930	2/23/2018	1,981.76	99.0880	-28.65	-1.42	LONG
1,000	AMGEN IN 2.125% 051517	031162-BQ-2	12/10/2013 ^m	1,022.25 ⁿ	102.2250	5/15/2017	1,000.00	100.0000	-22.25	-2.18	LONG
1,000		031162-BQ-2	5/20/2014 ^c	1,000.00 ⁿ	102.6740	5/15/2017	1,000.00	100.0000			Miscellaneous
				<u>2,022.25</u>			<u>2,000.00</u>		<u>-22.25</u>		
4,000	APPLE INC VAR% 050318	037833-AG-5	6/11/2015 ⁿ	4,000.00 ⁿ	100.3570	5/3/2018	4,000.00	100.0000			Miscellaneous
2,000	BANK OF 2.375% 012519	06367V-HL-2	10/23/2013 ^m	2,027.56 ⁿ	101.3780	5/11/2017	2,017.34	100.8670	-10.22	-0.50	LONG
2,000		06367V-HL-2	10/25/2013 ^m	2,008.47 ⁿ	101.3080	5/11/2017	2,017.34	100.8670	8.87	0.44	LONG
				<u>4,036.03</u>			<u>4,034.68</u>		<u>-1.35</u>		
2,000	BANK OF AMER 5% 012144	0605TG-FC-8	9/30/2014 ^c	2,116.13 ⁿ	106.1440	12/13/2017	2,413.42	120.6710	297.29	14.00	LONG
4,000	CAPITAL 4.75% 071521	14040H-AV-1	9/17/2013 ^m	4,186.12 ⁿ	104.6530	7/10/2017	4,312.00	107.8000	125.88	3.01	LONG
2,000	CATERPILLAR 3.8% 052721	149123-BV-2	9/17/2013 ^m	2,064.20 ⁿ	103.2100	3/16/2017	2,108.38	105.4190	44.18	2.14	LONG
2,000	COMCAST C 5.15% 030120	20030N-BA-8	8/20/2013 ^m	2,241.54 ⁿ	112.0770	5/8/2017	2,174.02	108.7010	-67.52	-3.01	LONG
2,000	COSTCO WHOLE	22160K-AC-9	8/2/2016 ^c	2,000.00 ⁿ	102.8730	3/15/2017	2,000.00	100.0000			Miscellaneous
4,000	CVS HEALTH 2.125% 060121	126650-CT-5	5/17/2016 ^c	3,992.04 ⁿ	99.8010	8/18/2017	3,973.48	99.3370	-18.56	-0.46	LONG
4,000	CVS HEALTH 2.875% 060126	126650-CU-2	5/19/2017 ^c	3,877.44 ⁿ	96.9360	1/18/2018	3,769.72	94.2430	-107.72	-2.78	SHORT
2,000	DOMINION 4.45% 031521	25746U-BL-2	9/17/2013 ^m	2,122.54 ⁿ	106.1270	1/29/2018	2,094.16	104.7080	-28.38	-1.34	LONG
2,000	FIFTH THIRD 4.5% 6/1/18	316773-AD-2	6/27/2017 ^c	2,000.00 ⁿ	102.6070	6/1/2018	2,000.00	100.0000			Miscellaneous
12,000	FNMA 1.5% 113020	313560-F7-3	10/28/2015 ^c	11,975.16 ⁿ	99.7930	5/23/2017	11,940.12	99.5010	-35.04	-0.29	LONG
2,000	FNMA 2.125% 042426	313560-K3-6	11/29/2016 ^c	1,915.44 ⁿ	95.7720	4/13/2018	1,879.94	93.9970	-35.50	-1.85	LONG
2,000		313560-K3-6	2/28/2017 ^c	1,913.78 ⁿ	95.6890	4/24/2018	1,854.60	92.7300	-59.18	-3.09	LONG
2,000		313560-K3-6	8/8/2017 ^c	1,960.56 ⁿ	98.0280	4/24/2018	1,854.60	92.7300	-105.96	-5.40	SHORT
6,000		313560-K3-6	1/18/2018 ^c	5,738.58 ⁿ	95.6430	4/24/2018	5,563.80	92.7300	-174.78	-3.05	SHORT
				<u>11,528.36</u>			<u>11,152.94</u>		<u>-375.42</u>		
1,000	GENERAL EL 3.1% 010923	36962G-6S-8	8/21/2013 ^m	946.46 ⁿ	91.2430	11/30/2017	1,016.09	101.6090	69.63	7.63	LONG
3,000		36962G-6S-8	8/21/2013 ^m	2,842.49 ⁿ	91.2430	1/16/2018	3,023.16	100.7720	180.67	6.60	LONG
				<u>3,788.95</u>			<u>4,039.25</u>		<u>250.30</u>		
2,000	GILEAD SCIENC	375558-BM-4	9/22/2016 ^c	2,022.66 ⁿ	101.1810	3/14/2017	1,854.48	92.7240	-168.18	-8.31	SHORT
2,000	MARRIOTT 2.3% 011522	571903-AR-4	8/4/2016 ^c	2,018.28 ⁿ	101.1520	10/3/2017	1,980.30	99.0150	-37.98	-1.88	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8004-5983
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss	Term
2,000	MERRILL LY 6.4% 08/28/17	58018Y-J6-9	9/18/2013 ^M	2,280.16 ^N	114.0080	8/28/2017	2,000.00	100.0000	-280.16	-12.29	LONG
2,000	METLIFE 6.817% 08/15/18	59156R-AR-9	4/25/2018 ^C	2,000.00 ^N	101.3360	8/15/2018	2,000.00	100.0000			Miscellaneous
2,000	METLIFE INC VAR%12/15/66	59156R-AP-3	8/21/2013 ^M	2,022.72 ^N	101.3000	8/29/2017	2,308.22	115.4110	285.50	14.09	LONG
2,000	MICROSOF 4.45% 110345	594918-BL-7	1/15/2016 ^C	2,064.49 ^N	103.2930	4/20/2017	2,150.46	107.5230	85.97	4.16	LONG
2,000	MIDAMERICAN 5.75%	59562V-AT-4	4/28/2017 ^C	2,000.00 ^N	103.9030	4/2/2018	2,000.00	100.0000			Miscellaneous
10,000	MO ST 4.92%05/01/24	60636W-PG-4	8/8/2012 ^M	11,884.50 ^N	118.8450	7/27/2017	11,187.80	111.8780	-696.70	-5.86	LONG
2,000	MORGAN ST 3.75% 02/25/23	61748B-DJ-2	9/4/2013 ^M	1,930.57 ^N	94.4410	1/26/2018	2,051.82	102.5910	121.25	6.42	LONG
2,000	PACIFICOR 5.65% 07/15/18	695114-CH-9	5/8/2018 ^C	2,000.00 ^N	100.6100	7/16/2018	2,000.00	100.0000			Miscellaneous
2,000	SEMPRA EN 2.875% 100122	816851-AT-6	9/17/2013 ^M	1,835.71 ^N	91.1220	8/2/2017	2,026.16	101.3080	190.45	10.45	LONG
1,000	UST NTS VAR% 01/31/19	912828-V6-4	4/18/2017 ^C	1,000.88 ^N	100.1310	11/17/2017	1,001.68	100.1680	0.80	0.08	SHORT
7,000	UST NTS VAR% 10/31/17	912828-M2-3	11/10/2015 ^M	7,000.87 ^N	100.0460	4/17/2017	7,006.93	100.1000	6.06	0.09	LONG
1,000	UST NTS 0.875% 11/15/17	912828-G2-0	12/3/2014 ^C	997.38 ^N	99.7383	1/19/2017	1,000.59	100.0590	3.21	0.32	LONG
2,000		912828-G2-0	12/3/2014 ^C	1,994.76 ^N	99.7383	2/28/2017	2,001.32	100.0660	6.56	0.33	LONG
				2,992.14			3,001.91		9.77		
4,000	UST NTS 1.125% 12/31/19	912828-UF-5	8/16/2013 ^M	3,910.08 ^N	94.5352	6/22/2017	3,971.72	99.2930	61.64	1.63	LONG
1,000		912828-UF-5	8/16/2013 ^M	984.50 ^N	94.5352	4/9/2018	980.66	98.0664	-3.84	-0.41	LONG
2,000		912828-UF-5	8/16/2013 ^M	1,969.05 ^N	94.5352	4/10/2018	1,960.86	98.0430	-8.19	-0.43	LONG
13,000		912828-UF-5	8/16/2013 ^M	12,800.71 ^N	94.5352	4/16/2018	12,733.90	97.9531	-66.81	-0.54	LONG
				19,664.34			19,647.14		-17.20		
4,000	UST NTS 1.375% 09/30/18	912828-RH-5	11/6/2013 ^M	4,012.97 ^N	100.3242	6/21/2017	4,003.76	100.0940	-9.21	-0.23	LONG
4,000		912828-RH-5	11/6/2013 ^M	4,012.96 ^N	100.3242	6/22/2017	4,004.08	100.1020	-8.88	-0.22	LONG
1,000		912828-RH-5	11/12/2013 ^M	998.67 ^N	99.8672	6/22/2017	1,001.02	100.1020	2.35	0.24	LONG
				9,024.60			9,008.86		-15.74		
5,000	WA ST 6.414%10/01/34	940093-Z5-9	9/15/2011 ^M		7/27/2017		5,362.70	107.2540			Miscellaneous

Closed Holdings **01/01/2017 - 09/05/2018**

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 8004-5983
 KATHRYN G ELLIS (IRA)
 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
Report Summary				141,900.48			146,832.89		-330.29	

Realized Gains or Losses	
Short Term	-555.84
Long Term	225.55

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.
 Noncovered Tax Lot- Cost information for this tax lot is not covered by IRS reporting requirements.
 Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.
 CSI -Cash Standing Instructions Indicates the disposition of stock and money on cash accounts
 MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:
 NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.
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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8004-5983
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Short

4.000	CVS HEALTH 2.875% 060126	126650-CU-2	5/19/2017	3,877.44		96.9360	1/18/2018	3,769.72	94.2430	-107.72	-2.78
1.000	UST NTS VAR% 013119	912828-V6-4	4/18/2017	1,001.31	1,000.88	100.1310	11/17/2017	1,001.68	100.1680	0.80	0.08
2.000	FNMA 2.125% 042426	3135G0-K3-6	8/8/2017	1,960.56		98.0280	4/24/2018	1,854.60	92.7300	-105.96	-5.40
6.000	FNMA 2.125% 042426	3135G0-K3-6	1/18/2018	5,738.58	5,738.58	95.6430	4/24/2018	5,563.80	92.7300	-174.78	-3.05
2.000	GILEAD SCIENC 2.95%30127	375558-BM-4	9/22/2016	2,023.62	2,022.66	101.1810	3/14/2017	1,854.48	92.7240	-168.18	-8.31
				14,601.51	8,762.12			14,044.28			
										-555.84	

Long

2.000	BANK OF AMER 5% 012144	06051G-FC-8	9/30/2014	2,122.88	2,116.13	106.1440	12/13/2017	2,413.42	120.6710	297.29	14.00
2.000	AMERICAN 4.5% 071644	026874-DA-2	2/10/2015	2,138.62	2,133.01	106.9310	4/4/2017	1,915.90	95.7950	-217.11	-10.15
2.000	AMERICAN E 2.6% 091420	0258M0-DX-4	10/13/2015	2,019.86	2,010.41	100.9930	2/23/2018	1,981.76	99.0880	-28.65	-1.42
1.000	GENERAL EL 3.1% 010923	36962G-6S-8	8/21/2013	912.43	946.46	91.2430	11/30/2017	1,016.09	101.6090	69.63	7.63
3.000	GENERAL EL 3.1% 010923	36962G-6S-8	8/21/2013	2,737.29	2,842.49	91.2430	1/16/2018	3,023.16	100.7720	180.67	6.60
1.000	AMGEN IN 2.125% 051517	031162-BQ-2	12/10/2013	1,022.25		102.2250	5/15/2017	1,000.00	100.0000	-22.25	-2.18
2.000	ACE INA H 3.15% 031525	00440E-AS-6	6/15/2015	1,958.60		97.9300	2/10/2017	2,003.80	100.1900	45.20	2.31
4.000	CAPITAL 4.75% 071521	14040H-AV-1	9/17/2013	4,186.12		104.6530	7/10/2017	4,312.00	107.8000	125.88	3.01
2.000	CATERPILLAR 3.9% 052721	149123-BV-2	9/17/2013	2,064.20		103.2100	3/16/2017	2,108.38	105.4190	44.18	2.14
2.000	COMCAST C 5.15% 030120	20030N-BA-8	8/20/2013	2,241.54		112.0770	5/8/2017	2,174.02	108.7010	-67.52	-3.01
4.000	CVS HEALTH 2.125% 060121	126650-CT-5	5/17/2016	3,992.04		99.8010	8/18/2017	3,973.48	99.3370	-18.56	-0.46
7.000	UST NTS VAR% 103117	912828-M2-3	11/10/2015	7,003.22	7,000.87	100.0460	4/17/2017	7,006.93	100.1000	6.06	0.09
12.000	FNMA 1.5% 113020	3135G0-F7-3	10/28/2015	11,975.16		99.7930	5/23/2017	11,940.12	99.5010	-35.04	-0.29
2.000	DOMINION 4.45% 031521	25746U-BL-2	9/17/2013	2,122.54		106.1270	1/29/2018	2,094.16	104.7080	-28.38	-1.34
1.000	UST NTS 0.875% 111517	912828-G2-0	12/3/2014	997.38		99.7383	1/19/2017	1,000.59	100.0590	3.21	0.32
2.000	UST NTS 0.875% 111517	912828-G2-0	12/3/2014	1,994.76		99.7383	2/28/2017	2,001.32	100.0660	6.56	0.33
2.000	BANK OF 2.375% 012519	06367V-HL-2	10/23/2013	2,027.56		101.3780	5/11/2017	2,017.34	100.8670	-10.22	-0.50
2.000	BANK OF 2.375% 012519	06367V-HL-2	10/25/2013	2,026.16	2,008.47	101.3080	5/11/2017	2,017.34	100.8670	8.87	0.44
4.000	UST NTS 1.125% 123119	912828-UF-5	8/16/2013	3,781.41	3,910.08	94.5352	6/22/2017	3,971.72	99.2930	61.64	1.63
1.000	UST NTS 1.125% 123119	912828-UF-5	8/16/2013	945.36	984.50	94.5352	4/9/2018	980.66	98.0664	-3.84	-0.41
2.000	UST NTS 1.125% 123119	912828-UF-5	8/16/2013	1,890.71	1,969.05	94.5352	4/10/2018	1,960.86	98.0430	-8.19	-0.43
13.000	UST NTS 1.125% 123119	912828-UF-5	8/16/2013	12,289.55	12,800.71	94.5352	4/16/2018	12,733.90	97.9531	-66.61	-0.54
4.000	UST NTS 1.375% 093018	912828-RH-5	11/6/2013	4,012.97		100.3242	6/21/2017	4,003.76	100.0940	-9.21	-0.23
4.000	UST NTS 1.375% 093018	912828-RH-5	11/6/2013	4,012.96		100.3242	6/22/2017	4,004.08	100.1020	-2.88	-0.22
1.000	UST NTS 1.375% 093018	912828-RH-5	11/12/2013	998.67		99.8672	6/22/2017	1,001.02	100.1020	2.35	0.24
2.000	FNMA 2.125% 042426	3135G0-K3-6	11/29/2016	1,915.44	1,915.44	95.7720	4/13/2018	1,879.94	93.9970	-35.50	-1.85
2.000	FNMA 2.125% 042426	3135G0-K3-6	2/28/2017	1,913.78	1,913.78	95.6890	4/24/2018	1,854.60	92.7300	-59.18	-3.09

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8004-5983
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss
Long - Continued											
2,000	8INTEL CORP 4.8% 100141	45899A-RC-2	9/29/2014 ^e	2,113.26 ^e	2,105.78	105.6630	12/8/2017	2,430.80	121.5400	325.02	15.38
2,000	MARRIOTT 2.3% 011522	571903-AR-4	8/4/2016 ^e	2,023.04 ^e	2,018.28	101.1520	10/3/2017	1,980.30	99.0150	-37.98	-1.88
2,000	MERRILL LY 6.4% 08/28/17	59018Y-J6-9	9/18/2013 ^e	2,280.16 ^e		114.0080	8/28/2017	2,000.00	100.0000	-280.16	-12.29
2,000	METLIFE INC VAR%12/15/66	59156R-AP-3	8/21/2013 ^e	2,026.00 ^e	2,022.72	101.3000	8/29/2017	2,308.22	115.4110	285.50	14.09
2,000	MICROSOFT 4.45% 110345	594918-BL-7	1/15/2016 ^e	2,065.86 ^e	2,064.49	103.2930	4/20/2017	2,150.46	107.5230	85.97	4.16
10,000	MO ST 4.92%05/01/24	60636W-PG-4	8/8/2012 ^e	11,884.50 ^e		118.8450	7/27/2017	11,187.80	111.8780	-696.70	-5.86
2,000	MORGAN ST 3.75% 022523	61746B-DL-2	9/4/2013 ^e	1,888.82 ^e	1,930.57	94.4410	1/26/2018	2,051.82	102.5910	121.25	6.42
2,000	SEMPRA EN 2.875% 100122	816851-AT-6	9/17/2013 ^e	1,822.44 ^e	1,835.71	91.1220	8/2/2017	2,026.16	101.3080	190.45	10.45
				111,407.54	54,528.95			112,525.91		225.55	
Misc											
1,000	AMGEN IN 2.125% 051517	031162-BQ-2	5/20/2014 ^e	1,026.74 ^e	1,000.00	102.6740	5/15/2017	1,000.00	100.0000		
4,000	APPLE INC VAR% 050318	037833-AG-5	6/11/2015 ^e	4,014.28 ^e	4,000.00	100.3570	5/3/2018	4,000.00	100.0000		
2,000	COSTCO WHOLE 5.5%3/15/17	22160K-AC-9	8/2/2016 ^e	2,057.46 ^e	2,000.00	102.8730	3/15/2017	2,000.00	100.0000		
2,000	FIFTH THIRD 4.5% 6/1/18	316773-AD-2	6/27/2017 ^e	2,052.14 ^e	2,000.00	102.6070	6/1/2018	2,000.00	100.0000		
2,000	METLIFE 6.817% 08/15/18	59156R-AR-9	4/25/2018 ^e	2,026.72 ^e	2,000.00	101.3360	8/15/2018	2,000.00	100.0000		
2,000	MIDAMERICAN 5.75% 040118	59562V-AT-4	4/28/2017 ^e	2,078.06 ^e	2,000.00	103.9030	4/2/2018	2,000.00	100.0000		
2,000	PACIFICOR 5.65% 07/15/18	695114-CH-9	5/8/2018 ^e	2,012.20 ^e	2,000.00	100.6100	7/16/2018	2,000.00	100.0000		
5,000	WA ST 6.414%10/01/34	940093-Z5-9	9/15/2011 ^e				7/27/2017	5,362.70	107.2540		
				15,267.60	15,000.00			20,362.70			

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 8004-5983
 KATHRYN G ELLIS (IRA)
 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Report Summary			141,276.65	78,291.07				146,932.89		-30.29	
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Realized Gains or Losses	
Short Term	-555.84
Long Term	225.55

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.
 Noncovered Tax Lot -Cost information for this tax lot is not covered by IRS reporting requirements.
 Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.
 CSI -Cash Standing Instructions indicates the disposition of stock and money on cash accounts
 MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:
 NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.
 Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliates of Wells Fargo & Company.

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
6.0680	AMER FDS EUROPACIFIC F2	AEPFX	12/11/2012 ^c	251.15 ⁿ	41.3872	12/7/2017	341.82	56.3300	90.67	36.10	LONG
12.7930		AEPFX	12/11/2012 ^c	529.48 ⁿ	41.3872	6/22/2018	693.38	54.2000	163.90	30.95	LONG
0.4040		AEPFX	12/27/2012 ^c	16.51 ⁿ	40.9049	6/22/2018	21.89	54.2000	5.38	32.59	LONG
15.1050		AEPFX	3/27/2013 ^c	637.16 ⁿ	42.1810	6/22/2018	818.69	54.2000	181.53	28.49	LONG
0.3340		AEPFX	12/27/2013 ^c	16.08 ⁿ	48.3604	6/22/2018	18.10	54.2000	2.02	12.56	LONG
15.6160		AEPFX	7/14/2014 ^c	786.28 ⁿ	50.3500	6/22/2018	846.39	54.2000	60.11	7.64	LONG
10.3130		AEPFX	8/19/2014 ^c	515.54 ⁿ	49.9900	6/22/2018	558.96	54.2000	43.42	8.42	LONG
1.9550		AEPFX	10/3/2014 ^c	93.42 ⁿ	47.7800	6/22/2018	105.96	54.2000	12.54	13.42	LONG
1.0770		AEPFX	12/29/2014 ^c	51.16 ⁿ	47.4800	6/22/2018	58.37	54.2000	7.21	14.09	LONG
1.2880		AEPFX	12/24/2015 ^c	58.81 ⁿ	45.6500	6/22/2018	69.81	54.2000	11.00	18.70	LONG
0.8230		AEPFX	12/24/2015 ^c	37.56 ⁿ	45.6500	6/22/2018	44.60	54.2000	7.04	18.74	LONG
3.8550		AEPFX	9/7/2016 ^c	187.62 ⁿ	48.6700	6/22/2018	208.94	54.2000	21.32	11.36	LONG
1.0750		AEPFX	12/23/2016 ^c	48.25 ⁿ	44.8800	6/22/2018	58.26	54.2000	10.01	20.75	LONG
1.3130		AEPFX	6/15/2017 ^c	68.09 ⁿ	51.8600	6/22/2018	71.17	54.2000	3.08	4.52	LONG
0.2070		AEPFX	6/15/2017 ^c	10.74 ⁿ	51.8600	6/22/2018	11.22	54.2000	0.48	4.47	LONG
1.3910		AEPFX	12/22/2017 ^c	77.36 ⁿ	55.6100	6/22/2018	75.39	54.2000	-1.97	-2.55	SHORT
0.5450		AEPFX	12/22/2017 ^c	30.29 ⁿ	55.6100	6/22/2018	29.54	54.2000	-0.75	-2.48	SHORT
1.9860		AEPFX	6/14/2018 ^c	110.24 ⁿ	55.5000	6/22/2018	107.66	54.2000	-2.58	-2.34	SHORT
0.0490		AEPFX	6/14/2018 ^c	2.74 ⁿ	55.5000	6/22/2018	2.66	54.2000	-0.08	-2.92	SHORT
				3,528.48			4,142.81		614.33		
200.1010	BLACKROCK CORE BOND I	BFMCX	12/11/2012 ^c	1,958.99 ⁿ	9.7900	6/22/2018	1,860.93	9.3000	-98.06	-5.01	LONG
0.5380		BFMCX	1/2/2013 ^c	5.27 ⁿ	9.8000	6/22/2018	5.00	9.3000	-0.27	-5.12	LONG
0.8110		BFMCX	2/1/2013 ^c	7.91 ⁿ	9.7500	6/22/2018	7.54	9.3000	-0.37	-4.68	LONG
0.7380		BFMCX	3/1/2013 ^c	7.21 ⁿ	9.7700	6/22/2018	6.86	9.3000	-0.35	-4.85	LONG
0.6750		BFMCX	4/1/2013 ^c	6.59 ⁿ	9.7600	6/22/2018	6.27	9.3000	-0.32	-4.86	LONG
0.5200		BFMCX	5/1/2013 ^c	5.11 ⁿ	9.8300	6/22/2018	4.83	9.3000	-0.28	-5.48	LONG
0.5210		BFMCX	6/3/2013 ^c	5.03 ⁿ	9.6600	6/22/2018	4.84	9.3000	-0.19	-3.78	LONG
0.5100		BFMCX	7/1/2013 ^c	4.82 ⁿ	9.4500	6/22/2018	4.74	9.3000	-0.08	-1.66	LONG
0.5230		BFMCX	8/1/2013 ^c	4.93 ⁿ	9.4300	6/22/2018	4.86	9.3000	-0.07	-1.42	LONG
0.4740		BFMCX	9/3/2013 ^c	4.44 ⁿ	9.3700	6/22/2018	4.40	9.3000	-0.04	-0.90	LONG
7.4560		BFMCX	9/11/2013 ^c	69.34 ⁿ	9.3000	6/22/2018	69.34	9.3000			Miscellaneous
0.4720		BFMCX	10/1/2013 ^c	4.46 ⁿ	9.4500	6/22/2018	4.38	9.3000	-0.08	-1.79	LONG
0.4860		BFMCX	11/1/2013 ^c	4.62 ⁿ	9.5100	6/22/2018	4.51	9.3000	-0.11	-2.38	LONG
0.5200		BFMCX	12/2/2013 ^c	4.91 ⁿ	9.4500	6/22/2018	4.83	9.3000	-0.08	-1.63	LONG
0.5480		BFMCX	1/2/2014 ^c	5.15 ⁿ	9.3900	6/22/2018	5.09	9.3000	-0.06	-1.17	LONG
0.5850		BFMCX	2/3/2014 ^c	5.57 ⁿ	9.5200	6/22/2018	5.44	9.3000	-0.13	-2.33	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term	
0.5750		BFMCX	3/3/2014 ^c	5.49 ⁿ	9.5500	6/22/2018	5.34	9.3000	-0.15	-2.73	LONG
0.6010		BFMCX	4/1/2014 ^c	5.73 ⁿ	9.5300	6/22/2018	5.58	9.3000	-0.15	-2.62	LONG
0.6060		BFMCX	5/1/2014 ^c	5.82 ⁿ	9.6000	6/22/2018	5.63	9.3000	-0.19	-3.26	LONG
0.5920		BFMCX	6/2/2014 ^c	5.73 ⁿ	9.6800	6/22/2018	5.50	9.3000	-0.23	-4.01	LONG
0.5530		BFMCX	7/1/2014 ^c	5.35 ⁿ	9.6700	6/22/2018	5.14	9.3000	-0.21	-3.93	LONG
109.5830		BFMCX	7/14/2014 ^c	1,056.38 ⁿ	9.6400	6/22/2018	1,019.13	9.3000	-37.25	-3.53	LONG
0.6790		BFMCX	8/1/2014 ^c	6.54 ⁿ	9.6300	6/22/2018	6.31	9.3000	-0.23	-3.52	LONG
60.1920		BFMCX	8/19/2014 ^c	582.06 ⁿ	9.6700	6/22/2018	559.79	9.3000	-22.27	-3.83	LONG
265.0520		BFMCX	8/28/2014 ^c	2,571.00 ⁿ	9.7000	6/22/2018	2,465.03	9.3000	-105.97	-4.12	LONG
0.8020		BFMCX	9/2/2014 ^c	7.78 ⁿ	9.7000	6/22/2018	7.45	9.3000	-0.33	-4.24	LONG
1.4180		BFMCX	10/1/2014 ^c	13.66 ⁿ	9.6300	6/22/2018	13.18	9.3000	-0.48	-3.51	LONG
111.3490		BFMCX	10/3/2014 ^c	1,074.52 ⁿ	9.6500	6/22/2018	1,035.57	9.3000	-38.95	-3.62	LONG
1.6730		BFMCX	11/3/2014 ^c	16.19 ⁿ	9.6800	6/22/2018	15.55	9.3000	-0.64	-3.95	LONG
1.7290		BFMCX	12/1/2014 ^c	16.82 ⁿ	9.7300	6/22/2018	16.08	9.3000	-0.74	-4.40	LONG
1.7160		BFMCX	1/2/2015 ^c	16.68 ⁿ	9.7200	6/22/2018	15.95	9.3000	-0.73	-4.38	LONG
1.6560		BFMCX	2/2/2015 ^c	16.43 ⁿ	9.9200	6/22/2018	15.40	9.3000	-1.03	-6.27	LONG
1.5230		BFMCX	3/3/2015 ^c	14.97 ⁿ	9.8300	6/22/2018	14.16	9.3000	-0.81	-5.41	LONG
1.3550		BFMCX	4/1/2015 ^c	13.35 ⁿ	9.8500	6/22/2018	12.60	9.3000	-0.75	-5.62	LONG
1.4890		BFMCX	5/1/2015 ^c	14.58 ⁿ	9.7900	6/22/2018	13.84	9.3000	-0.74	-5.08	LONG
1.5050		BFMCX	6/1/2015 ^c	14.67 ⁿ	9.7500	6/22/2018	13.99	9.3000	-0.68	-4.64	LONG
1.5790		BFMCX	7/1/2015 ^c	15.19 ⁿ	9.6200	6/22/2018	14.68	9.3000	-0.51	-3.36	LONG
1.6010		BFMCX	8/3/2015 ^c	15.45 ⁿ	9.6500	6/22/2018	14.89	9.3000	-0.56	-3.62	LONG
1.5010		BFMCX	9/1/2015 ^c	14.42 ⁿ	9.6100	6/22/2018	13.96	9.3000	-0.46	-3.19	LONG
1.4470		BFMCX	10/1/2015 ^c	13.96 ⁿ	9.6500	6/22/2018	13.45	9.3000	-0.51	-3.65	LONG
1.5120		BFMCX	11/2/2015 ^c	14.58 ⁿ	9.6400	6/22/2018	14.06	9.3000	-0.52	-3.57	LONG
1.5870		BFMCX	12/1/2015 ^c	15.22 ⁿ	9.5900	6/22/2018	14.76	9.3000	-0.46	-3.02	LONG
1.2780		BFMCX	12/24/2015 ^c	12.17 ⁿ	9.5200	6/22/2018	11.88	9.3000	-0.29	-2.38	LONG
1.7340		BFMCX	1/4/2016 ^c	16.51 ⁿ	9.5200	6/22/2018	16.12	9.3000	-0.39	-2.36	LONG
1.6140		BFMCX	2/1/2016 ^c	15.53 ⁿ	9.6200	6/22/2018	15.01	9.3000	-0.52	-3.35	LONG
1.5750		BFMCX	3/1/2016 ^c	15.23 ⁿ	9.6700	6/22/2018	14.64	9.3000	-0.59	-3.87	LONG
1.5640		BFMCX	4/1/2016 ^c	15.22 ⁿ	9.7300	6/22/2018	14.54	9.3000	-0.68	-4.47	LONG
1.5930		BFMCX	5/2/2016 ^c	15.55 ⁿ	9.7600	6/22/2018	14.81	9.3000	-0.74	-4.76	LONG
1.5960		BFMCX	6/1/2016 ^c	15.53 ⁿ	9.7300	6/22/2018	14.84	9.3000	-0.69	-4.44	LONG
1.4980		BFMCX	7/1/2016 ^c	14.80 ⁿ	9.8800	6/22/2018	13.93	9.3000	-0.87	-5.88	LONG
1.5180		BFMCX	8/1/2016 ^c	15.06 ⁿ	9.9200	6/22/2018	14.11	9.3000	-0.95	-6.31	LONG
1.5530		BFMCX	9/1/2016 ^c	15.34 ⁿ	9.8800	6/22/2018	14.44	9.3000	-0.90	-5.87	LONG
12.5680		BFMCX	9/7/2016 ^c	124.30 ⁿ	9.8900	6/22/2018	116.89	9.3000	-7.41	-5.96	LONG
1.4390		BFMCX	10/3/2016 ^c	14.19 ⁿ	9.8600	6/22/2018	13.38	9.3000	-0.81	-5.71	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
1,6120		BFMCX	11/1/2016 ^c	15.75 ⁿ	9.7700	6/22/2018	14.99	9.3000	-0.76	-4.83	LONG
1,6840		BFMCX	12/1/2016 ^c	16.08 ⁿ	9.5500	6/22/2018	15.66	9.3000	-0.42	-2.61	LONG
1,7720		BFMCX	1/3/2017 ^c	16.92 ⁿ	9.5500	6/22/2018	16.48	9.3000	-0.44	-2.60	LONG
1,7600		BFMCX	2/1/2017 ^c	16.79 ⁿ	9.5400	6/22/2018	16.37	9.3000	-0.42	-2.50	LONG
1,7860		BFMCX	3/1/2017 ^c	17.13 ⁿ	9.5600	6/22/2018	16.61	9.3000	-0.52	-3.04	LONG
1,8950		BFMCX	4/3/2017 ^c	18.12 ⁿ	9.5600	6/22/2018	17.62	9.3000	-0.50	-2.76	LONG
1,8090		BFMCX	5/1/2017 ^c	17.38 ⁿ	9.6100	6/22/2018	16.82	9.3000	-0.56	-3.22	LONG
1,8250		BFMCX	6/1/2017 ^c	17.63 ⁿ	9.6600	6/22/2018	16.97	9.3000	-0.66	-3.74	LONG
1,8100		BFMCX	7/3/2017 ^c	17.45 ⁿ	9.6400	6/22/2018	16.83	9.3000	-0.62	-3.55	SHORT
1,9080		BFMCX	8/1/2017 ^c	18.41 ⁿ	9.6500	6/22/2018	17.74	9.3000	-0.67	-3.64	SHORT
1,9250		BFMCX	9/1/2017 ^c	18.69 ⁿ	9.7100	6/22/2018	17.90	9.3000	-0.79	-4.23	SHORT
1,8750		BFMCX	10/2/2017 ^c	18.09 ⁿ	9.6500	6/22/2018	17.44	9.3000	-0.65	-3.59	SHORT
1,9440		BFMCX	11/1/2017 ^c	18.72 ⁿ	9.6300	6/22/2018	18.08	9.3000	-0.64	-3.42	SHORT
1,9830		BFMCX	12/1/2017 ^c	19.00 ⁿ	9.5800	6/22/2018	18.44	9.3000	-0.56	-2.95	SHORT
85,2330		BFMCX	12/7/2017 ^c	818.24 ⁿ	9.6000	6/22/2018	792.80	9.3000	-25.44	-3.11	SHORT
2,0160		BFMCX	1/2/2018 ^c	19.33 ⁿ	9.5900	6/22/2018	18.75	9.3000	-0.58	-3.00	SHORT
2,0230		BFMCX	2/1/2018 ^c	19.20 ⁿ	9.4900	6/22/2018	18.81	9.3000	-0.39	-2.03	SHORT
1,9900		BFMCX	3/1/2018 ^c	18.65 ⁿ	9.3700	6/22/2018	18.51	9.3000	-0.14	-0.75	SHORT
2,1270		BFMCX	4/2/2018 ^c	19.97 ⁿ	9.3900	6/22/2018	19.78	9.3000	-0.19	-0.95	SHORT
2,0460		BFMCX	5/1/2018 ^c	19.05 ⁿ	9.3100	6/22/2018	19.04	9.3000	-0.01	-0.05	SHORT
2,0630		BFMCX	6/1/2018 ^c	19.31 ⁿ	9.3600	6/22/2018	19.20	9.3000	-0.11	-0.57	SHORT
				<u>9,126.26</u>			<u>8,760.31</u>		<u>-365.95</u>		
30,000	CAPITAL ONE 1.75% 020320	140420-V3-1	1/27/2017 ^c	30,000.00 ⁿ	100.0000	6/27/2018					Miscellaneous
16,1130	COHEN & STEERS RLTY SHS	CSRSX	8/29/2017 ^c	1,072.13 ⁿ	66.5400	6/22/2018	1,035.41	64.2600	-36.72	-3.42	SHORT
0,0960		CSRSX	10/2/2017 ^c	6.33 ⁿ	66.0800	6/22/2018	6.16	64.2600	-0.17	-2.69	SHORT
0,7980		CSRSX	12/7/2017 ^c	50.82 ⁿ	63.7000	6/22/2018	51.28	64.2600	0.46	0.91	SHORT
0,1080		CSRSX	12/7/2017 ^c	6.87 ⁿ	63.7000	6/22/2018	6.94	64.2600	0.07	1.02	SHORT
7,1350		CSRSX	12/7/2017 ^c	456.42 ⁿ	63.9700	6/22/2018	458.51	64.2600	2.09	0.46	SHORT
0,1640		CSRSX	4/2/2018 ^c	9.80 ⁿ	59.7600	6/22/2018	10.54	64.2600	0.74	7.55	SHORT
				<u>1,602.37</u>			<u>1,568.84</u>		<u>-33.53</u>		
93,8090	DEUTSCHE ENH CMDTY INSTL	SKIRX	12/16/2015 ^c	1,067.35 ⁿ	11.3800	8/29/2017	1,053.47	11.2300	-14.08	-1.32	LONG
0,0450		SKIRX	3/24/2016 ^c	0.53 ⁿ	11.6700	8/29/2017	0.50	11.2300	-0.03	-5.66	LONG
0,1000		SKIRX	6/24/2016 ^c	1.28 ⁿ	12.7500	8/29/2017	1.12	11.2300	-0.16	-12.50	LONG
17,3950		SKIRX	9/7/2016 ^c	211.52 ⁿ	12.1600	8/29/2017	195.35	11.2300	-16.17	-7.64	SHORT
3,9510		SKIRX	9/26/2016 ^c	46.38 ⁿ	11.7400	8/29/2017	44.37	11.2300	-2.01	-4.33	SHORT
3,9080		SKIRX	12/16/2016 ^c	45.64 ⁿ	11.6800	8/29/2017	43.89	11.2300	-1.75	-3.83	SHORT

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 8581-0743
 KATHRYN G ELLIS (IRA)
 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized or Loss	% Gain or Loss	Term
0.1110		SKIRX	3/27/2017 ^c	1.26 ^h	11.3400	8/29/2017	1.24	11.2300	-0.02	-1.59	SHORT
0.1190		SKIRX	6/26/2017 ^c	1.29 ^h	10.8200	8/29/2017	1.35	11.2300	0.06	4.65	SHORT
				1,375.45			1,341.29		-34.16		
19.000	GOLDMAN 2.25% 04/25/19	38143A-QW-8	4/17/2012 ^m	19,000.00 ^h	100.0000	6/27/2018					Miscellaneous
20.4700	GOLDMAN FINL SQ TREAS	FTDXX	12/11/2012 ^m	20.47 ^h	1.0000	6/22/2018	20.47	1.0000			Miscellaneous
104.1500		FTDXX	3/27/2013 ^m	104.15 ^h	1.0000	6/22/2018	104.15	1.0000			Miscellaneous
55.6900		FTDXX	9/11/2013 ^m	55.69 ^h	1.0000	6/22/2018	55.69	1.0000			Miscellaneous
199.6800		FTDXX	7/14/2014 ^m	199.68 ^h	1.0000	6/22/2018	199.68	1.0000			Miscellaneous
99.9300		FTDXX	8/19/2014 ^m	99.93 ^h	1.0000	6/22/2018	99.93	1.0000			Miscellaneous
89.3900		FTDXX	4/28/2015 ^m	89.39 ^h	1.0000	6/22/2018	89.39	1.0000			Miscellaneous
62		FTDXX	8/17/2015 ^m	62.00 ^h	1.0000	6/22/2018	62.00	1.0000			Miscellaneous
46.1200		FTDXX	9/8/2016 ^m	46.12 ^h	1.0000	6/22/2018	46.12	1.0000			Miscellaneous
0.3100		FTDXX	1/3/2017 ^m	0.31 ^h	1.0000	6/22/2018	0.31	1.0000			Miscellaneous
0.3100		FTDXX	2/1/2017 ^m	0.31 ^h	1.0000	6/22/2018	0.31	1.0000			Miscellaneous
0.2800		FTDXX	3/1/2017 ^m	0.28 ^h	1.0000	6/22/2018	0.28	1.0000			Miscellaneous
0.3100		FTDXX	4/3/2017 ^m	0.31 ^h	1.0000	6/22/2018	0.31	1.0000			Miscellaneous
0.3000		FTDXX	5/1/2017 ^m	0.30 ^h	1.0000	6/22/2018	0.30	1.0000			Miscellaneous
0.3100		FTDXX	6/1/2017 ^m	0.31 ^h	1.0000	6/22/2018	0.31	1.0000			Miscellaneous
0.3100		FTDXX	7/3/2017 ^m	0.31 ^h	1.0000	6/22/2018	0.31	1.0000			Miscellaneous
0.6200		FTDXX	8/1/2017 ^m	0.62 ^h	1.0000	6/22/2018	0.62	1.0000			Miscellaneous
0.6200		FTDXX	9/1/2017 ^m	0.62 ^h	1.0000	6/22/2018	0.62	1.0000			Miscellaneous
0.6000		FTDXX	10/2/2017 ^m	0.60 ^h	1.0000	6/22/2018	0.60	1.0000			Miscellaneous
0.6200		FTDXX	11/1/2017 ^m	0.62 ^h	1.0000	6/22/2018	0.62	1.0000			Miscellaneous
0.6000		FTDXX	12/1/2017 ^m	0.60 ^h	1.0000	6/22/2018	0.60	1.0000			Miscellaneous
61.8500		FTDXX	12/7/2017 ^m	61.85 ^h	1.0000	6/22/2018	61.85	1.0000			Miscellaneous
0.6200		FTDXX	1/2/2018 ^m	0.62 ^h	1.0000	6/22/2018	0.62	1.0000			Miscellaneous
0.6200		FTDXX	2/1/2018 ^m	0.62 ^h	1.0000	6/22/2018	0.62	1.0000			Miscellaneous
0.7300		FTDXX	3/1/2018 ^m	0.73 ^h	1.0000	6/22/2018	0.73	1.0000			Miscellaneous
0.9300		FTDXX	4/2/2018 ^m	0.93 ^h	1.0000	6/22/2018	0.93	1.0000			Miscellaneous
0.9000		FTDXX	5/1/2018 ^m	0.90 ^h	1.0000	6/22/2018	0.90	1.0000			Miscellaneous
0.9300		FTDXX	6/1/2018 ^m	0.93 ^h	1.0000	6/22/2018	0.93	1.0000			Miscellaneous
				749.20			749.20				
141.9230	INVESCO GLBL REAL EST	ARGYX	8/7/2015 ^c	1,853.51 ^h	13.0600	6/22/2018	1,907.44	13.4400	53.93	2.91	LONG
0.5500		ARGYX	9/18/2015 ^c	6.77 ^h	12.3100	6/22/2018	7.39	13.4400	0.62	9.16	LONG
1.4360		ARGYX	12/14/2015 ^c	17.51 ^h	12.1900	6/22/2018	19.30	13.4400	1.79	10.22	LONG
0.5300		ARGYX	3/18/2016 ^c	6.84 ^h	12.9100	6/22/2018	7.12	13.4400	0.28	4.09	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 8581-0743
 KATHRYN G ELLIS (IRA)
 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain of Loss	Term	
0.6570		ARGYX	6/17/2016 ^c	8.47 ⁿ	12.9000	6/22/2018	8.83	13.4400	0.36	4.25	LONG
0.6280		ARGYX	9/16/2016 ^c	8.33 ⁿ	13.2600	6/22/2018	8.44	13.4400	0.11	1.32	LONG
4.0510		ARGYX	12/14/2016 ^c	50.07 ⁿ	12.3600	6/22/2018	54.44	13.4400	4.37	8.73	LONG
0.5130		ARGYX	3/17/2017 ^c	6.47 ⁿ	12.6200	6/22/2018	6.89	13.4400	0.42	6.49	LONG
0.4910		ARGYX	6/16/2017 ^c	6.49 ⁿ	13.2100	6/22/2018	6.59	13.4400	0.10	1.54	LONG
0.4840		ARGYX	9/15/2017 ^c	6.51 ⁿ	13.4600	6/22/2018	6.50	13.4400	-0.01	-0.15	SHORT
14.5740		ARGYX	12/7/2017 ^c	196.17 ⁿ	13.4600	6/22/2018	195.90	13.4400	-0.27	-0.14	SHORT
2.2610		ARGYX	12/14/2017 ^c	30.28 ⁿ	13.3900	6/22/2018	30.39	13.4400	0.11	0.36	SHORT
1.0070		ARGYX	12/14/2017 ^c	13.48 ⁿ	13.3900	6/22/2018	13.53	13.4400	0.05	0.37	SHORT
0.5670		ARGYX	3/23/2018 ^c	7.31 ⁿ	12.9000	6/22/2018	7.62	13.4400	0.31	4.24	SHORT
0.9150		ARGYX	6/22/2018 ^c	12.20 ⁿ	13.3400	6/22/2018	12.31	13.4400	0.11	0.90	SHORT

6.2080	JOHN HANCOCK GLB SH I	JGYIX	3/27/2013 ^c	66.37 ⁿ	10.6900	12/7/2017	73.13	11.7800	6.76	10.19	LONG
86.1560		JGYIX	3/27/2013 ^c	921.00 ⁿ	10.6900	6/22/2018	967.53	11.2300	46.53	5.05	LONG
1.2510		JGYIX	6/26/2013 ^c	13.26 ⁿ	10.6000	6/22/2018	14.04	11.2300	0.78	5.88	LONG
51.6490		JGYIX	9/11/2013 ^c	584.15 ⁿ	11.3100	6/22/2018	580.02	11.2300	-4.13	-0.71	LONG
0.8900		JGYIX	9/26/2013 ^c	10.16 ⁿ	11.4100	6/22/2018	9.99	11.2300	-0.17	-1.67	LONG
5.5610		JGYIX	12/17/2013 ^c	62.40 ⁿ	11.2200	6/22/2018	62.45	11.2300	0.05	0.08	LONG
0.9130		JGYIX	12/17/2013 ^c	10.24 ⁿ	11.2200	6/22/2018	10.25	11.2300	0.01	0.10	LONG
0.7640		JGYIX	3/26/2014 ^c	8.99 ⁿ	11.7600	6/22/2018	8.57	11.2300	-0.42	-4.67	LONG
1.9150		JGYIX	6/26/2014 ^c	23.69 ⁿ	12.3700	6/22/2018	21.50	11.2300	-2.19	-9.24	LONG
58.4700		JGYIX	7/14/2014 ^c	723.28 ⁿ	12.3700	6/22/2018	656.62	11.2300	-66.66	-9.22	LONG
43.8220		JGYIX	8/19/2014 ^c	534.63 ⁿ	12.2000	6/22/2018	492.13	11.2300	-42.50	-7.95	LONG
1.5820		JGYIX	9/26/2014 ^c	18.87 ⁿ	11.9300	6/22/2018	17.76	11.2300	-1.11	-5.88	LONG
2.0420		JGYIX	12/10/2014 ^c	24.52 ⁿ	12.0100	6/22/2018	22.93	11.2300	-1.59	-6.48	LONG
10.0890		JGYIX	12/17/2014 ^c	112.09 ⁿ	11.1100	6/22/2018	113.30	11.2300	1.21	1.08	LONG
2.2010		JGYIX	12/17/2014 ^c	24.45 ⁿ	11.1100	6/22/2018	24.71	11.2300	0.26	1.06	LONG
1.4700		JGYIX	3/26/2015 ^c	16.87 ⁿ	11.4800	6/22/2018	16.50	11.2300	-0.37	-2.19	LONG
3.3270		JGYIX	6/26/2015 ^c	37.66 ⁿ	11.3200	6/22/2018	37.36	11.2300	-0.30	-0.80	LONG
4.0200		JGYIX	8/17/2015 ^c	45.06 ⁿ	11.2100	6/22/2018	45.14	11.2300	0.08	0.18	LONG
2.3670		JGYIX	9/28/2015 ^c	24.50 ⁿ	10.3500	6/22/2018	26.58	11.2300	2.08	8.49	LONG
13.5930		JGYIX	12/17/2015 ^c	136.88 ⁿ	10.0700	6/22/2018	152.66	11.2300	15.78	11.53	LONG
2.0950		JGYIX	12/17/2015 ^c	21.10 ⁿ	10.0700	6/22/2018	23.52	11.2300	2.42	11.47	LONG
2.4680		JGYIX	3/29/2016 ^c	25.67 ⁿ	10.4000	6/22/2018	27.72	11.2300	2.05	7.99	LONG
3.8900		JGYIX	6/28/2016 ^c	39.33 ⁿ	10.1100	6/22/2018	43.69	11.2300	4.36	11.09	LONG
4.5870		JGYIX	9/7/2016 ^c	49.59 ⁿ	10.8100	6/22/2018	51.52	11.2300	1.93	3.89	LONG
1.6090		JGYIX	9/27/2016 ^c	16.94 ⁿ	10.5300	6/22/2018	18.07	11.2300	1.13	6.67	LONG
1.8730		JGYIX	12/19/2016 ^c	19.40 ⁿ	10.3600	6/22/2018	21.03	11.2300	1.63	8.40	LONG

Closed Holdings
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Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain of Loss	Term
1.8340	JGVIK	JGVIK	3/28/2017 ^c	20.10 ⁿ	10.9600	6/22/2018	20.60	11.2300	0.50 2.49	LONG
3.9730	JGVIK	JGVIK	6/27/2017 ^c	44.85 ⁿ	11.2900	6/22/2018	44.62	11.2300	-0.23 -0.51	SHORT
1.8510	JGVIK	JGVIK	9/26/2017 ^c	21.30 ⁿ	11.5100	6/22/2018	20.79	11.2300	-0.51 -2.39	SHORT
1.9210	JGVIK	JGVIK	12/18/2017 ^c	22.57 ⁿ	11.7500	6/22/2018	21.58	11.2300	-0.99 -4.39	SHORT
1.7270	JGVIK	JGVIK	3/27/2018 ^c	19.10 ⁿ	11.0600	6/22/2018	19.41	11.2300	0.31 1.62	SHORT
				3,699.02			3,665.72			
									-33.30	
36.8320	JPMORGAN CORE BOND I	WOBDX	3/27/2013 ^c	443.08 ⁿ	12.0300	6/22/2018	414.72	11.2600	-28.36 -6.40	LONG
0.7420	WOBDX	WOBDX	4/1/2013 ^c	8.90 ⁿ	12.0000	6/22/2018	8.35	11.2600	-0.55 -6.18	LONG
0.7390	WOBDX	WOBDX	5/1/2013 ^c	8.92 ⁿ	12.0700	6/22/2018	8.32	11.2600	-0.60 -6.73	LONG
0.7530	WOBDX	WOBDX	6/3/2013 ^c	8.94 ⁿ	11.8700	6/22/2018	8.47	11.2600	-0.47 -5.26	LONG
0.7980	WOBDX	WOBDX	7/1/2013 ^c	9.31 ⁿ	11.6700	6/22/2018	8.98	11.2600	-0.33 -3.54	LONG
0.8020	WOBDX	WOBDX	8/1/2013 ^c	9.33 ⁿ	11.6400	6/22/2018	9.03	11.2600	-0.30 -3.22	LONG
0.8080	WOBDX	WOBDX	9/3/2013 ^c	9.35 ⁿ	11.5700	6/22/2018	9.09	11.2600	-0.26 -2.78	LONG
0.5710	WOBDX	WOBDX	10/1/2013 ^c	8.64 ⁿ	11.6300	6/22/2018	6.42	11.2600	-0.22 -3.31	LONG
0.6580	WOBDX	WOBDX	11/1/2013 ^c	7.68 ⁿ	11.6800	6/22/2018	7.40	11.2600	-0.28 -3.65	LONG
0.5730	WOBDX	WOBDX	12/2/2013 ^c	6.67 ⁿ	11.6400	6/22/2018	6.45	11.2600	-0.22 -3.30	LONG
1.3710	WOBDX	WOBDX	12/13/2013 ^c	15.81 ⁿ	11.5300	6/22/2018	15.43	11.2600	-0.38 -2.40	LONG
0.0260	WOBDX	WOBDX	12/13/2013 ^c	0.30 ⁿ	11.5300	6/22/2018	0.29	11.2600	-0.01 -3.33	LONG
0.7220	WOBDX	WOBDX	1/2/2014 ^c	8.28 ⁿ	11.4700	6/22/2018	8.12	11.2600	-0.16 -1.93	LONG
0.4920	WOBDX	WOBDX	2/3/2014 ^c	5.71 ⁿ	11.6100	6/22/2018	5.53	11.2600	-0.18 -3.15	LONG
0.5140	WOBDX	WOBDX	3/3/2014 ^c	5.98 ⁿ	11.6400	6/22/2018	5.78	11.2600	-0.20 -3.34	LONG
0.5840	WOBDX	WOBDX	4/1/2014 ^c	6.77 ⁿ	11.5900	6/22/2018	6.57	11.2600	-0.20 -2.95	LONG
0.4930	WOBDX	WOBDX	5/1/2014 ^c	5.74 ⁿ	11.6500	6/22/2018	5.55	11.2600	-0.19 -3.31	LONG
0.5570	WOBDX	WOBDX	6/2/2014 ^c	6.54 ⁿ	11.7400	6/22/2018	6.27	11.2600	-0.27 -4.13	LONG
0.6260	WOBDX	WOBDX	7/1/2014 ^c	7.33 ⁿ	11.7100	6/22/2018	7.04	11.2600	-0.29 -3.96	LONG
142.6030	WOBDX	WOBDX	7/14/2014 ^c	1,668.45 ⁿ	11.7000	6/22/2018	1,605.73	11.2600	-62.72 -3.76	LONG
0.9370	WOBDX	WOBDX	8/1/2014 ^c	10.94 ⁿ	11.6700	6/22/2018	10.55	11.2600	-0.39 -3.56	LONG
74.3900	WOBDX	WOBDX	8/19/2014 ^c	873.34 ⁿ	11.7400	6/22/2018	837.64	11.2600	-35.70 -4.09	LONG
0.9800	WOBDX	WOBDX	9/2/2014 ^c	11.53 ⁿ	11.7600	6/22/2018	11.03	11.2600	-0.50 -4.34	LONG
0.9910	WOBDX	WOBDX	10/1/2014 ^c	11.56 ⁿ	11.6600	6/22/2018	11.15	11.2600	-0.41 -3.55	LONG
203.2680	WOBDX	WOBDX	10/3/2014 ^c	2,378.24 ⁿ	11.7000	6/22/2018	2,288.85	11.2600	-89.39 -3.76	LONG
1.4030	WOBDX	WOBDX	11/3/2014 ^c	16.46 ⁿ	11.7300	6/22/2018	15.79	11.2600	-0.67 -4.07	LONG
1.3400	WOBDX	WOBDX	12/1/2014 ^c	15.80 ⁿ	11.9000	6/22/2018	15.08	11.2600	-0.72 -4.56	LONG
0.7990	WOBDX	WOBDX	12/15/2014 ^c	9.43 ⁿ	11.8000	6/22/2018	8.99	11.2600	-0.44 -4.67	LONG
0.0660	WOBDX	WOBDX	12/15/2014 ^c	0.78 ⁿ	11.8000	6/22/2018	0.74	11.2600	-0.04 -5.13	LONG
1.7030	WOBDX	WOBDX	12/31/2014 ^c	19.99 ⁿ	11.7400	6/22/2018	19.17	11.2600	-0.82 -4.10	LONG
1.2170	WOBDX	WOBDX	1/30/2015 ^c	14.51 ⁿ	11.9200	6/22/2018	13.70	11.2600	-0.81 -5.58	LONG
1.1110	WOBDX	WOBDX	2/26/2015 ^c	13.15 ⁿ	11.8400	6/22/2018	12.51	11.2600	-0.64 -4.87	LONG

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1.1690		WOBDX	3/30/2015 ^c	13.87 ⁿ	11.8600	6/22/2018	13.16	11.2600	-0.71 -5.12	LONG
1.2310		WOBDX	4/29/2015 ^c	14.59 ⁿ	11.8500	6/22/2018	13.86	11.2600	-0.73 -5.00	LONG
1.3030		WOBDX	5/28/2015 ^c	15.31 ⁿ	11.7500	6/22/2018	14.67	11.2600	-0.64 -4.18	LONG
1.5040		WOBDX	6/29/2015 ^c	17.43 ⁿ	11.5900	6/22/2018	16.93	11.2600	-0.50 -2.87	LONG
1.3170		WOBDX	7/30/2015 ^c	15.37 ⁿ	11.6700	6/22/2018	14.83	11.2600	-0.54 -3.51	LONG
0.9570		WOBDX	8/28/2015 ^c	11.18 ⁿ	11.6800	6/22/2018	10.77	11.2600	-0.41 -3.67	LONG
0.9560		WOBDX	9/29/2015 ^c	11.20 ⁿ	11.7200	6/22/2018	10.76	11.2600	-0.44 -3.93	LONG
1.1250		WOBDX	10/29/2015 ^c	13.17 ⁿ	11.7100	6/22/2018	12.66	11.2600	-0.51 -3.87	LONG
1.0090		WOBDX	11/27/2015 ^c	11.74 ⁿ	11.6300	6/22/2018	11.36	11.2600	-0.38 -3.24	LONG
0.9040		WOBDX	12/14/2015 ^c	10.52 ⁿ	11.6400	6/22/2018	10.18	11.2600	-0.34 -3.23	LONG
0.0550		WOBDX	12/14/2015 ^c	0.64 ⁿ	11.6400	6/22/2018	0.61	11.2600	-0.03 -4.89	LONG
0.9800		WOBDX	12/30/2015 ^c	11.29 ⁿ	11.5200	6/22/2018	11.03	11.2600	-0.26 -2.30	LONG
0.8870		WOBDX	1/28/2016 ^c	10.33 ⁿ	11.6400	6/22/2018	9.98	11.2600	-0.35 -3.39	LONG
0.8800		WOBDX	2/26/2016 ^c	10.35 ⁿ	11.7600	6/22/2018	9.91	11.2600	-0.44 -4.25	LONG
1.0070		WOBDX	3/30/2016 ^c	11.85 ⁿ	11.7700	6/22/2018	11.34	11.2600	-0.51 -4.30	LONG
1.0080		WOBDX	4/28/2016 ^c	11.87 ⁿ	11.7800	6/22/2018	11.35	11.2600	-0.52 -4.38	LONG
1.0090		WOBDX	5/27/2016 ^c	11.90 ⁿ	11.7900	6/22/2018	11.36	11.2600	-0.54 -4.54	LONG
0.8690		WOBDX	6/29/2016 ^c	10.43 ⁿ	12.0000	6/22/2018	9.78	11.2600	-0.65 -6.23	LONG
0.9440		WOBDX	7/28/2016 ^c	11.94 ⁿ	12.0100	6/22/2018	11.19	11.2600	-0.75 -6.28	LONG
1.0400		WOBDX	8/30/2016 ^c	12.47 ⁿ	11.9900	6/22/2018	11.71	11.2600	-0.76 -6.09	LONG
5.1890		WOBDX	9/7/2016 ^c	62.32 ⁿ	12.0100	6/22/2018	58.43	11.2600	-3.89 -6.24	LONG
1.0120		WOBDX	9/29/2016 ^c	12.12 ⁿ	11.9800	6/22/2018	11.39	11.2600	-0.73 -6.02	LONG
1.0250		WOBDX	10/28/2016 ^c	12.14 ⁿ	11.8400	6/22/2018	11.54	11.2600	-0.60 -4.94	LONG
1.0940		WOBDX	11/29/2016 ^c	12.67 ⁿ	11.5800	6/22/2018	12.32	11.2600	-0.35 -2.76	LONG
1.8240		WOBDX	12/15/2016 ^c	20.83 ⁿ	11.4200	6/22/2018	20.54	11.2600	-0.29 -1.39	LONG
0.1020		WOBDX	12/15/2016 ^c	1.16 ⁿ	11.4200	6/22/2018	1.14	11.2600	-0.02 -1.72	LONG
1.1580		WOBDX	12/29/2016 ^c	13.26 ⁿ	11.4500	6/22/2018	13.04	11.2600	-0.22 -1.66	LONG
0.9340		WOBDX	1/30/2017 ^c	10.72 ⁿ	11.4800	6/22/2018	10.51	11.2600	-0.21 -1.96	LONG
1.0530		WOBDX	2/27/2017 ^c	12.18 ⁿ	11.5700	6/22/2018	11.85	11.2600	-0.33 -2.71	LONG
1.1330		WOBDX	3/30/2017 ^c	13.06 ⁿ	11.5300	6/22/2018	12.76	11.2600	-0.30 -2.30	LONG
1.3080		WOBDX	4/27/2017 ^c	15.13 ⁿ	11.5700	6/22/2018	14.73	11.2600	-0.40 -2.64	LONG
1.1720		WOBDX	5/30/2017 ^c	13.62 ⁿ	11.6200	6/22/2018	13.19	11.2600	-0.43 -3.16	LONG
1.1380		WOBDX	6/29/2017 ^c	13.27 ⁿ	11.6600	6/22/2018	12.81	11.2600	-0.46 -3.47	SHORT
1.1640		WOBDX	7/28/2017 ^c	13.54 ⁿ	11.6300	6/22/2018	13.10	11.2600	-0.44 -3.25	SHORT
1.1130		WOBDX	8/30/2017 ^c	13.04 ⁿ	11.7200	6/22/2018	12.53	11.2600	-0.51 -3.91	SHORT
1.1380		WOBDX	9/28/2017 ^c	13.24 ⁿ	11.6300	6/22/2018	12.81	11.2600	-0.43 -3.25	SHORT
1.1470		WOBDX	10/30/2017 ^c	13.31 ⁿ	11.6000	6/22/2018	12.91	11.2600	-0.40 -3.01	SHORT
1.1810		WOBDX	11/29/2017 ^c	13.73 ⁿ	11.6300	6/22/2018	13.30	11.2600	-0.43 -3.13	SHORT

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Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
52.1500		WOBDX	12/7/2017 ^c	605.98 ⁿ	11.6200	6/22/2018	587.36	11.2600	-18.62	-3.07	SHORT
1.2960		WOBDX	12/14/2017 ^c	15.04 ⁿ	11.6100	6/22/2018	14.58	11.2600	-0.46	-3.06	SHORT
0.0270		WOBDX	12/14/2017 ^c	0.31 ⁿ	11.6100	6/22/2018	0.30	11.2600	-0.01	-3.23	SHORT
1.3210		WOBDX	12/28/2017 ^c	15.28 ⁿ	11.5700	6/22/2018	14.88	11.2600	-0.40	-2.62	SHORT
1.1510		WOBDX	1/30/2018 ^c	13.18 ⁿ	11.4500	6/22/2018	12.96	11.2600	-0.22	-1.67	SHORT
1.2450		WOBDX	2/27/2018 ^c	14.09 ⁿ	11.3200	6/22/2018	14.02	11.2600	-0.07	-0.50	SHORT
1.3060		WOBDX	3/28/2018 ^c	14.81 ⁿ	11.3400	6/22/2018	14.71	11.2600	-0.10	-0.68	SHORT
1.5390		WOBDX	4/27/2018 ^c	17.27 ⁿ	11.2200	6/22/2018	17.34	11.2600	0.07	0.41	SHORT
1.3460		WOBDX	5/30/2018 ^c	15.25 ⁿ	11.3300	6/22/2018	15.17	11.2600	-0.08	-0.52	SHORT
				6,853.46			6,586.40		-267.06		
4.6960	JPMORGAN S/C EQUITY FD	VSEX	9/7/2016 ^c	238.19 ⁿ	50.7200	12/7/2017	281.70	59.9900	43.51	18.27	LONG
40.9110		VSEX	9/7/2016 ^c	2,075.02 ⁿ	50.7200	6/22/2018	2,513.56	61.4400	438.54	21.13	LONG
7.6150		VSEX	9/8/2016 ^c	384.58 ⁿ	50.5000	6/22/2018	467.87	61.4400	83.29	21.66	LONG
1.2600		VSEX	12/15/2016 ^c	66.26 ⁿ	52.5800	6/22/2018	77.41	61.4400	11.15	16.83	LONG
0.2180		VSEX	12/21/2016 ^c	11.58 ⁿ	53.0000	6/22/2018	13.39	61.4400	1.81	15.63	LONG
1.7630		VSEX	12/14/2017 ^c	100.36 ⁿ	56.9200	6/22/2018	108.32	61.4400	7.96	7.93	SHORT
0.7570		VSEX	12/14/2017 ^c	43.08 ⁿ	56.9200	6/22/2018	46.51	61.4400	3.43	7.96	SHORT
0.2140		VSEX	12/21/2017 ^c	12.23 ⁿ	57.2000	6/22/2018	13.16	61.4400	0.93	7.60	SHORT
				2,931.30			3,521.92		590.62		
96.4130	MAINSTAY MACKAY HY BD	MHYX	3/27/2013 ^c	597.75 ⁿ	6.2000	6/22/2018	542.80	5.6300	-54.95	-9.19	LONG
0.6250		MHYX	4/1/2013 ^c	3.85 ⁿ	6.1600	6/22/2018	3.51	5.6300	-0.34	-8.83	LONG
0.6240		MHYX	5/1/2013 ^c	3.88 ⁿ	6.2200	6/22/2018	3.51	5.6300	-0.37	-9.54	LONG
0.6330		MHYX	6/3/2013 ^c	3.90 ⁿ	6.1600	6/22/2018	3.56	5.6300	-0.34	-8.72	LONG
0.6570		MHYX	6/28/2013 ^c	3.92 ⁿ	5.9700	6/22/2018	3.69	5.6300	-0.23	-5.87	LONG
0.6540		MHYX	8/1/2013 ^c	3.95 ⁿ	6.0400	6/22/2018	3.88	5.6300	-0.27	-6.84	LONG
0.6640		MHYX	9/3/2013 ^c	3.97 ⁿ	5.9800	6/22/2018	3.73	5.6300	-0.24	-6.05	LONG
0.6650		MHYX	9/30/2013 ^c	3.99 ⁿ	6.0000	6/22/2018	3.74	5.6300	-0.25	-6.27	LONG
0.6610		MHYX	11/1/2013 ^c	4.02 ⁿ	6.0800	6/22/2018	3.72	5.6300	-0.30	-7.46	LONG
0.6670		MHYX	12/2/2013 ^c	4.04 ⁿ	6.0600	6/22/2018	3.75	5.6300	-0.29	-7.18	LONG
0.6740		MHYX	12/17/2013 ^c	4.07 ⁿ	6.0400	6/22/2018	3.79	5.6300	-0.28	-6.88	LONG
0.6330		MHYX	2/3/2014 ^c	3.84 ⁿ	6.0700	6/22/2018	3.56	5.6300	-0.28	-7.29	LONG
0.6300		MHYX	3/3/2014 ^c	3.86 ⁿ	6.1300	6/22/2018	3.54	5.6300	-0.32	-8.29	LONG
0.6370		MHYX	3/31/2014 ^c	3.89 ⁿ	6.1100	6/22/2018	3.58	5.6300	-0.31	-7.97	LONG
0.6390		MHYX	5/1/2014 ^c	3.91 ⁿ	6.1200	6/22/2018	3.59	5.6300	-0.32	-8.18	LONG
0.6410		MHYX	6/2/2014 ^c	3.93 ⁿ	6.1300	6/22/2018	3.60	5.6300	-0.33	-8.40	LONG
0.6430		MHYX	6/30/2014 ^c	3.95 ⁿ	6.1400	6/22/2018	3.62	5.6300	-0.33	-8.35	LONG

Closed Holdings 01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
55.4430		MHYX	7/14/2014 ^c	340.42 ⁿ	6.1400	6/22/2018	312.15	5.6300	-28.27	-8.30	LONG
0.9700		MHYX	8/1/2014 ^c	5.86 ⁿ	6.0400	6/22/2018	5.46	5.6300	-0.40	-6.83	LONG
33.4080		MHYX	8/19/2014 ^c	203.12 ⁿ	6.0800	6/22/2018	188.09	5.6300	-15.03	-7.40	LONG
1.1600		MHYX	9/2/2014 ^c	7.04 ⁿ	6.0700	6/22/2018	6.53	5.6300	-0.51	-7.24	LONG
1.1980		MHYX	9/30/2014 ^c	7.08 ⁿ	5.9100	6/22/2018	6.74	5.6300	-0.34	-4.80	LONG
1.1990		MHYX	11/3/2014 ^c	7.12 ⁿ	5.9400	6/22/2018	6.75	5.6300	-0.37	-5.20	LONG
1.2200		MHYX	12/1/2014 ^c	7.16 ⁿ	5.8700	6/22/2018	6.86	5.6300	-0.30	-4.19	LONG
1.2830		MHYX	12/17/2014 ^c	7.20 ⁿ	5.6100	6/22/2018	7.22	5.6300	0.02	0.28	LONG
1.1430		MHYX	2/2/2015 ^c	6.56 ⁿ	5.7400	6/22/2018	6.43	5.6300	-0.13	-1.98	LONG
1.1300		MHYX	3/3/2015 ^c	6.60 ⁿ	5.8400	6/22/2018	6.36	5.6300	-0.24	-3.64	LONG
1.1450		MHYX	4/1/2015 ^c	6.63 ⁿ	5.7900	6/22/2018	6.44	5.6300	-0.19	-2.87	LONG
1.1420		MHYX	5/1/2015 ^c	6.67 ⁿ	5.8400	6/22/2018	6.42	5.6300	-0.25	-3.75	LONG
1.1470		MHYX	6/1/2015 ^c	6.70 ⁿ	5.8400	6/22/2018	6.45	5.6300	-0.25	-3.73	LONG
1.1700		MHYX	7/1/2015 ^c	6.74 ⁿ	5.7600	6/22/2018	6.58	5.6300	-0.16	-2.37	LONG
1.1850		MHYX	8/3/2015 ^c	6.78 ⁿ	5.7200	6/22/2018	6.67	5.6300	-0.11	-1.62	LONG
224.9140		MHYX	8/17/2015 ^c	1,275.26 ⁿ	5.6700	6/22/2018	1,266.35	5.6300	-8.91	-0.70	LONG
2.4330		MHYX	9/1/2015 ^c	13.76 ⁿ	5.6100	6/22/2018	13.81	5.6300	0.05	0.36	LONG
2.5210		MHYX	10/1/2015 ^c	13.84 ⁿ	5.4900	6/22/2018	14.19	5.6300	0.35	2.53	LONG
2.4950		MHYX	11/2/2015 ^c	13.92 ⁿ	5.5800	6/22/2018	14.04	5.6300	0.12	0.86	LONG
2.5580		MHYX	12/1/2015 ^c	13.99 ⁿ	5.4700	6/22/2018	14.40	5.6300	0.41	2.93	LONG
2.6550		MHYX	12/17/2015 ^c	14.07 ⁿ	5.3000	6/22/2018	14.94	5.6300	0.87	6.18	LONG
2.7320		MHYX	2/1/2016 ^c	14.15 ⁿ	5.1800	6/22/2018	15.38	5.6300	1.23	8.69	LONG
2.7490		MHYX	3/1/2016 ^c	14.24 ⁿ	5.1800	6/22/2018	15.47	5.6300	1.23	8.64	LONG
2.6820		MHYX	4/1/2016 ^c	14.32 ⁿ	5.3400	6/22/2018	15.10	5.6300	0.78	5.45	LONG
2.6200		MHYX	5/2/2016 ^c	14.41 ⁿ	5.5000	6/22/2018	14.75	5.6300	0.34	2.36	LONG
2.6300		MHYX	6/1/2016 ^c	14.49 ⁿ	5.5100	6/22/2018	14.80	5.6300	0.31	2.14	LONG
2.6250		MHYX	7/1/2016 ^c	14.57 ⁿ	5.5500	6/22/2018	14.78	5.6300	0.21	1.44	LONG
2.5930		MHYX	8/1/2016 ^c	14.65 ⁿ	5.6500	6/22/2018	14.60	5.6300	-0.05	-0.34	LONG
2.5710		MHYX	9/1/2016 ^c	14.73 ⁿ	5.7300	6/22/2018	14.47	5.6300	-0.26	-1.77	LONG
2.5370		MHYX	10/3/2016 ^c	14.51 ⁿ	5.7600	6/22/2018	14.18	5.6300	-0.33	-2.27	LONG
2.5760		MHYX	11/1/2016 ^c	14.59 ⁿ	5.7500	6/22/2018	14.28	5.6300	-0.31	-1.09	LONG
2.5770		MHYX	12/1/2016 ^c	14.66 ⁿ	5.6900	6/22/2018	14.50	5.6300	-0.16	-1.09	LONG
2.5600		MHYX	12/16/2016 ^c	14.74 ⁿ	5.7200	6/22/2018	14.51	5.6300	-0.23	-1.56	LONG
2.5600		MHYX	2/1/2017 ^c	14.82 ⁿ	5.7900	6/22/2018	14.41	5.6300	-0.41	-2.77	LONG
2.5960		MHYX	3/1/2017 ^c	14.90 ⁿ	5.8200	6/22/2018	14.41	5.6300	-0.49	-3.29	LONG
2.5970		MHYX	4/3/2017 ^c	14.98 ⁿ	5.7700	6/22/2018	14.62	5.6300	-0.36	-2.40	LONG
2.6060		MHYX	5/1/2017 ^c	15.06 ⁿ	5.8000	6/22/2018	14.62	5.6300	-0.44	-2.92	LONG
		MHYX	6/1/2017 ^c	15.14 ⁿ	5.8100	6/22/2018	14.67	5.6300	-0.47	-3.10	LONG

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Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
2.6420		MHYIX	7/3/2017 ^c	15.22 ⁿ	5.7600	6/22/2018	14.88	5.6300	-0.34	-2.23	SHORT
2.6380		MHYIX	8/1/2017 ^c	15.30 ⁿ	5.8000	6/22/2018	14.85	5.6300	-0.45	-2.94	SHORT
2.6630		MHYIX	9/1/2017 ^c	15.39 ⁿ	5.7800	6/22/2018	14.99	5.6300	-0.40	-2.60	SHORT
2.6670		MHYIX	10/2/2017 ^c	15.47 ⁿ	5.8000	6/22/2018	15.02	5.6300	-0.45	-2.91	SHORT
2.6900		MHYIX	11/1/2017 ^c	15.55 ⁿ	5.7800	6/22/2018	15.15	5.6300	-0.40	-2.57	SHORT
2.7180		MHYIX	12/1/2017 ^c	15.63 ⁿ	5.7500	6/22/2018	15.31	5.6300	-0.32	-2.05	SHORT
9.2430		MHYIX	12/7/2017 ^c	53.15 ⁿ	5.7500	6/22/2018	52.06	5.6300	-1.09	-2.05	SHORT
2.7920		MHYIX	12/22/2017 ^c	16.00 ⁿ	5.7300	6/22/2018	15.72	5.6300	-0.28	-1.75	SHORT
2.5030		MHYIX	2/1/2018 ^c	14.42 ⁿ	5.7600	6/22/2018	14.10	5.6300	-0.32	-2.22	SHORT
2.5470		MHYIX	3/1/2018 ^c	14.49 ⁿ	5.6900	6/22/2018	14.35	5.6300	-0.14	-0.97	SHORT
2.5770		MHYIX	4/2/2018 ^c	14.56 ⁿ	5.6500	6/22/2018	14.52	5.6300	-0.04	-0.27	SHORT
2.5940		MHYIX	5/1/2018 ^c	14.63 ⁿ	5.6400	6/22/2018	14.61	5.6300	-0.02	-0.14	SHORT
2.6270		MHYIX	6/1/2018 ^c	14.71 ⁿ	5.6000	6/22/2018	14.81	5.6300	0.10	0.68	SHORT
				3,120.72			3,003.77			-116.95	
321.3750	METROPOLITAN WEST FDS I	MWVTX	12/11/2012 ^c	3,573.69 ⁿ	11.1200	6/22/2018	3,329.44	10.3600	-244.25	-6.83	LONG
5.0820		MWVTX	12/17/2012 ^c	55.39 ⁿ	10.9000	6/22/2018	52.64	10.3600	-2.75	-4.96	LONG
2.2420		MWVTX	12/17/2012 ^c	24.44 ⁿ	10.9000	6/22/2018	23.22	10.3600	-1.22	-4.99	LONG
0.6870		MWVTX	1/2/2013 ^c	7.48 ⁿ	10.8900	6/22/2018	7.11	10.3600	-0.37	-4.95	LONG
0.9220		MWVTX	2/1/2013 ^c	10.03 ⁿ	10.8800	6/22/2018	9.55	10.3600	-0.48	-4.79	LONG
0.9430		MWVTX	3/1/2013 ^c	10.29 ⁿ	10.9100	6/22/2018	9.76	10.3600	-0.53	-5.15	LONG
0.9370		MWVTX	4/1/2013 ^c	10.23 ⁿ	10.9200	6/22/2018	9.70	10.3600	-0.53	-5.18	LONG
1.1100		MWVTX	5/1/2013 ^c	12.23 ⁿ	11.0200	6/22/2018	11.49	10.3600	-0.74	-6.05	LONG
0.9360		MWVTX	6/3/2013 ^c	10.15 ⁿ	10.8400	6/22/2018	9.69	10.3600	-0.46	-4.53	LONG
0.7820		MWVTX	7/1/2013 ^c	8.27 ⁿ	10.5800	6/22/2018	8.10	10.3600	-0.17	-2.06	LONG
0.9300		MWVTX	8/1/2013 ^c	9.86 ⁿ	10.6000	6/22/2018	9.63	10.3600	-0.23	-2.33	LONG
0.9430		MWVTX	9/3/2013 ^c	9.92 ⁿ	10.5200	6/22/2018	9.76	10.3600	-0.16	-1.61	LONG
7.9900		MWVTX	9/11/2013 ^c	83.66 ⁿ	10.4700	6/22/2018	82.77	10.3600	-0.89	-1.06	LONG
0.9420		MWVTX	10/1/2013 ^c	10.00 ⁿ	10.6200	6/22/2018	9.75	10.3600	-0.25	-2.50	LONG
0.8720		MWVTX	11/1/2013 ^c	9.34 ⁿ	10.7100	6/22/2018	9.03	10.3600	-0.31	-3.32	LONG
0.7740		MWVTX	12/2/2013 ^c	8.27 ⁿ	10.6800	6/22/2018	8.01	10.3600	-0.26	-3.14	LONG
2.1220		MWVTX	12/16/2013 ^c	22.43 ⁿ	10.5700	6/22/2018	21.98	10.3600	-0.45	-2.01	LONG
0.5300		MWVTX	1/2/2014 ^c	5.59 ⁿ	10.5500	6/22/2018	5.49	10.3600	-0.10	-1.79	LONG
0.5990		MWVTX	2/3/2014 ^c	6.40 ⁿ	10.6800	6/22/2018	6.20	10.3600	-0.20	-3.13	LONG
0.6810		MWVTX	3/3/2014 ^c	7.29 ⁿ	10.7100	6/22/2018	7.05	10.3600	-0.24	-3.29	LONG
0.7750		MWVTX	4/1/2014 ^c	8.28 ⁿ	10.6800	6/22/2018	8.02	10.3600	-0.26	-3.14	LONG
0.7920		MWVTX	5/1/2014 ^c	8.51 ⁿ	10.7400	6/22/2018	8.20	10.3600	-0.31	-3.64	LONG
0.7720		MWVTX	6/2/2014 ^c	8.38 ⁿ	10.8500	6/22/2018	7.99	10.3600	-0.39	-4.65	LONG

Closed Holdings

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Prepared For:
 8581-0743
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 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
0.7260		MMWTIX	7/1/2014 ^c	7.87 ⁿ	10.8400	6/22/2018	7.52	10.3600	-0.35	-4.45	LONG
181.3110		MMWTIX	7/14/2014 ^c	1,961.79 ⁿ	10.8200	6/22/2018	1,878.42	10.3600	-83.37	-4.25	LONG
0.9340		MMWTIX	8/1/2014 ^c	10.10 ⁿ	10.8100	6/22/2018	9.67	10.3600	-0.43	-4.26	LONG
98.1420		MMWTIX	8/19/2014 ^c	1,065.82 ⁿ	10.8600	6/22/2018	1,016.78	10.3600	-49.04	-4.60	LONG
2.4690		MMWTIX	8/28/2014 ^c	26.89 ⁿ	10.8900	6/22/2018	25.57	10.3600	-1.32	-4.91	LONG
1.0870		MMWTIX	9/2/2014 ^c	11.84 ⁿ	10.8900	6/22/2018	11.26	10.3600	-0.58	-4.90	LONG
1.1890		MMWTIX	10/1/2014 ^c	12.85 ⁿ	10.8100	6/22/2018	12.31	10.3600	-0.54	-4.20	LONG
100.5660		MMWTIX	10/3/2014 ^c	1,090.14 ⁿ	10.8400	6/22/2018	1,041.90	10.3600	-48.24	-4.43	LONG
1.1660		MMWTIX	11/3/2014 ^c	12.69 ⁿ	10.8800	6/22/2018	12.08	10.3600	-0.61	-4.81	LONG
1.2020		MMWTIX	12/1/2014 ^c	13.14 ⁿ	10.9300	6/22/2018	12.45	10.3600	-0.69	-5.25	LONG
1.2440		MMWTIX	12/15/2014 ^c	13.58 ⁿ	10.9200	6/22/2018	12.88	10.3600	-0.70	-5.15	LONG
0.5870		MMWTIX	12/15/2014 ^c	6.41 ⁿ	10.9200	6/22/2018	6.08	10.3600	-0.33	-5.15	LONG
1.2000		MMWTIX	1/2/2015 ^c	13.08 ⁿ	10.9000	6/22/2018	12.43	10.3600	-0.65	-4.97	LONG
0.9680		MMWTIX	2/2/2015 ^c	10.74 ⁿ	11.0900	6/22/2018	10.02	10.3600	-0.72	-6.70	LONG
0.9520		MMWTIX	3/3/2015 ^c	10.45 ⁿ	10.9800	6/22/2018	9.86	10.3600	-0.59	-5.65	LONG
0.9500		MMWTIX	4/1/2015 ^c	10.46 ⁿ	11.0100	6/22/2018	9.84	10.3600	-0.62	-5.93	LONG
1.0650		MMWTIX	5/1/2015 ^c	11.68 ⁿ	10.9700	6/22/2018	11.03	10.3600	-0.65	-5.57	LONG
1.3600		MMWTIX	6/1/2015 ^c	14.86 ⁿ	10.9300	6/22/2018	14.09	10.3600	-0.77	-5.18	LONG
1.2090		MMWTIX	7/1/2015 ^c	13.07 ⁿ	10.8100	6/22/2018	12.52	10.3600	-0.55	-4.21	LONG
1.2440		MMWTIX	8/3/2015 ^c	13.50 ⁿ	10.8500	6/22/2018	12.88	10.3600	-0.62	-4.59	LONG
4.4970		MMWTIX	8/17/2015 ^c	48.75 ⁿ	10.8400	6/22/2018	46.59	10.3600	-2.16	-4.43	LONG
1.2190		MMWTIX	9/1/2015 ^c	13.18 ⁿ	10.8100	6/22/2018	12.62	10.3600	-0.56	-4.25	LONG
1.0320		MMWTIX	10/1/2015 ^c	11.18 ⁿ	10.8300	6/22/2018	10.69	10.3600	-0.49	-4.38	LONG
1.0720		MMWTIX	11/2/2015 ^c	11.60 ⁿ	10.8200	6/22/2018	11.10	10.3600	-0.50	-4.31	LONG
1.1270		MMWTIX	12/1/2015 ^c	12.16 ⁿ	10.7900	6/22/2018	11.67	10.3600	-0.49	-4.03	LONG
4.7600		MMWTIX	12/15/2015 ^c	50.60 ⁿ	10.6300	6/22/2018	49.31	10.3600	-1.29	-2.55	LONG
3.6860		MMWTIX	12/15/2015 ^c	39.18 ⁿ	10.6300	6/22/2018	38.19	10.3600	-0.99	-2.53	LONG
1.2740		MMWTIX	1/4/2016 ^c	13.53 ⁿ	10.6200	6/22/2018	13.19	10.3600	-0.34	-2.51	LONG
1.1110		MMWTIX	2/1/2016 ^c	11.92 ⁿ	10.7300	6/22/2018	11.51	10.3600	-0.41	-3.44	LONG
0.9760		MMWTIX	3/1/2016 ^c	10.50 ⁿ	10.7600	6/22/2018	10.11	10.3600	-0.39	-3.71	LONG
1.3580		MMWTIX	4/1/2016 ^c	14.71 ⁿ	10.8300	6/22/2018	14.07	10.3600	-0.64	-4.35	LONG
1.2500		MMWTIX	5/2/2016 ^c	13.58 ⁿ	10.8600	6/22/2018	12.95	10.3600	-0.63	-4.64	LONG
1.3700		MMWTIX	6/1/2016 ^c	14.85 ⁿ	10.8400	6/22/2018	14.19	10.3600	-0.66	-4.44	LONG
1.2580		MMWTIX	7/1/2016 ^c	13.83 ⁿ	10.9900	6/22/2018	13.03	10.3600	-0.80	-5.78	LONG
1.3980		MMWTIX	8/1/2016 ^c	15.45 ⁿ	11.0500	6/22/2018	14.48	10.3600	-0.97	-6.28	LONG
1.2090		MMWTIX	9/1/2016 ^c	13.33 ⁿ	11.0300	6/22/2018	12.52	10.3600	-0.81	-6.08	LONG
10.6940		MMWTIX	9/7/2016 ^c	118.17 ⁿ	11.0500	6/22/2018	110.80	10.3600	-7.37	-6.24	LONG
1.0490		MMWTIX	10/3/2016 ^c	11.56 ⁿ	11.0200	6/22/2018	10.86	10.3600	-0.70	-6.06	LONG

Closed Holdings 01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain of Loss	Term
1.1450		MMWTIX	11/1/2016 ^c	12.51 ⁿ	10.9300	6/22/2018	11.86	10.3600	-0.65 -5.20	LONG
1.1140		MMWTIX	12/1/2016 ^c	11.91 ⁿ	10.6900	6/22/2018	11.54	10.3600	-0.37 -3.11	LONG
10.2340		MMWTIX	12/13/2016 ^c	107.46 ⁿ	10.5000	6/22/2018	106.03	10.3600	-1.43 -1.33	LONG
1.1900		MMWTIX	12/13/2016 ^c	12.50 ⁿ	10.5000	6/22/2018	12.33	10.3600	-0.17 -1.36	LONG
1.2910		MMWTIX	1/3/2017 ^c	13.59 ⁿ	10.5300	6/22/2018	13.37	10.3600	-0.22 -1.62	LONG
1.3160		MMWTIX	2/1/2017 ^c	13.87 ⁿ	10.5400	6/22/2018	13.63	10.3600	-0.24 -1.73	LONG
1.2810		MMWTIX	3/1/2017 ^c	13.57 ⁿ	10.5900	6/22/2018	13.27	10.3600	-0.30 -2.21	LONG
1.6230		MMWTIX	4/3/2017 ^c	17.16 ⁿ	10.5700	6/22/2018	16.81	10.3600	-0.35 -2.04	LONG
1.4480		MMWTIX	5/1/2017 ^c	15.39 ⁿ	10.6300	6/22/2018	15.00	10.3600	-0.39 -2.53	LONG
1.4940		MMWTIX	6/1/2017 ^c	15.96 ⁿ	10.6800	6/22/2018	15.48	10.3600	-0.48 -3.01	LONG
1.3900		MMWTIX	7/3/2017 ^c	14.80 ⁿ	10.6500	6/22/2018	14.40	10.3600	-0.40 -2.70	SHORT
1.4480		MMWTIX	8/1/2017 ^c	15.46 ⁿ	10.6700	6/22/2018	15.01	10.3600	-0.45 -2.91	SHORT
12.3900		MMWTIX	8/30/2017 ^c	132.94 ⁿ	10.7300	6/22/2018	128.38	10.3600	-4.56 -3.43	SHORT
1.4830		MMWTIX	9/1/2017 ^c	15.94 ⁿ	10.7500	6/22/2018	15.36	10.3600	-0.58 -3.64	SHORT
1.3720		MMWTIX	10/2/2017 ^c	14.65 ⁿ	10.6800	6/22/2018	14.21	10.3600	-0.44 -3.00	SHORT
1.5950		MMWTIX	11/1/2017 ^c	17.00 ⁿ	10.6600	6/22/2018	16.52	10.3600	-0.48 -2.82	SHORT
1.5480		MMWTIX	12/1/2017 ^c	16.47 ⁿ	10.6400	6/22/2018	16.04	10.3600	-0.43 -2.61	SHORT
69.3040		MMWTIX	12/7/2017 ^c	738.78 ⁿ	10.6600	6/22/2018	718.12	10.3600	-20.66 -2.80	SHORT
1.7040		MMWTIX	1/2/2018 ^c	18.16 ⁿ	10.6600	6/22/2018	17.65	10.3600	-0.51 -2.81	SHORT
1.6980		MMWTIX	2/1/2018 ^c	17.88 ⁿ	10.5300	6/22/2018	17.59	10.3600	-0.29 -1.62	SHORT
1.6510		MMWTIX	3/1/2018 ^c	17.19 ⁿ	10.4100	6/22/2018	17.11	10.3600	-0.08 -0.47	SHORT
1.9890		MMWTIX	4/2/2018 ^c	20.80 ⁿ	10.4600	6/22/2018	20.61	10.3600	-0.19 -0.91	SHORT
1.9980		MMWTIX	5/1/2018 ^c	20.72 ⁿ	10.3700	6/22/2018	20.70	10.3600	-0.02 -0.10	SHORT
2.0870		MMWTIX	6/1/2018 ^c	21.75 ⁿ	10.4200	6/22/2018	21.64	10.3600	-0.11 -0.51	SHORT
				10,005.63			9,504.71		-500.92	

13.0300	MFS VALUE I	MEIX	12/11/2012 ^c	331.75 ⁿ	25.4600	12/7/2017	543.10	41.6800	211.35 63.71	LONG
17.9190		MEIX	12/11/2012 ^c	456.21 ⁿ	25.4600	6/22/2018	708.15	39.5200	251.94 55.22	LONG
6.0400		MEIX	3/27/2013 ^c	170.69 ⁿ	28.2600	6/22/2018	238.70	39.5200	68.01 39.84	LONG
0.2650		MEIX	3/28/2013 ^c	7.48 ⁿ	28.2600	6/22/2018	10.47	39.5200	2.99 39.97	LONG
0.0090		MEIX	3/28/2013 ^c	0.25 ⁿ	28.2600	6/22/2018	0.35	39.5200	0.10 40.00	LONG
0.3560		MEIX	6/27/2013 ^c	10.45 ⁿ	29.3900	6/22/2018	14.06	39.5200	3.61 34.55	LONG
0.2510		MEIX	9/26/2013 ^c	7.80 ⁿ	31.0800	6/22/2018	9.91	39.5200	2.11 27.05	LONG
0.8790		MEIX	12/11/2013 ^c	28.42 ⁿ	32.3400	6/22/2018	34.73	39.5200	6.31 22.20	LONG
0.3340		MEIX	12/11/2013 ^c	10.79 ⁿ	32.3400	6/22/2018	13.19	39.5200	2.40 22.24	LONG
0.2770		MEIX	12/11/2013 ^c	8.96 ⁿ	32.3400	6/22/2018	10.94	39.5200	1.98 22.10	LONG
0.5000		MEIX	3/28/2014 ^c	16.52 ⁿ	33.0400	6/22/2018	19.76	39.5200	3.24 19.61	LONG
0.3200		MEIX	6/27/2014 ^c	11.04 ⁿ	34.5000	6/22/2018	12.64	39.5200	1.60 14.49	LONG
24.5960		MEIX	7/14/2014 ^c	856.00 ⁿ	34.8000	6/22/2018	972.12	39.5200	116.12 13.57	LONG

Closed Holdings 01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized of Loss	% Gain	Term
18.0340		MEIX	8/19/2014 ^c	622.91 ⁿ	34.5400	6/22/2018	712.71	39.5200	89.80	14.42	LONG
17.3360		MEIX	8/28/2014 ^c	603.12 ⁿ	34.7900	6/22/2018	685.12	39.5200	82.00	13.60	LONG
0.7070		MEIX	9/26/2014 ^c	24.21 ⁿ	34.2200	6/22/2018	27.94	39.5200	3.73	15.41	LONG
3.0360		MEIX	12/12/2014 ^c	105.54 ⁿ	34.7600	6/22/2018	119.98	39.5200	14.44	13.68	LONG
0.6580		MEIX	12/12/2014 ^c	22.88 ⁿ	34.7600	6/22/2018	26.00	39.5200	3.12	13.64	LONG
0.3380		MEIX	12/12/2014 ^c	11.74 ⁿ	34.7600	6/22/2018	13.35	39.5200	1.61	13.71	LONG
0.8410		MEIX	3/27/2015 ^c	29.34 ⁿ	34.8800	6/22/2018	33.23	39.5200	3.89	13.26	LONG
0.6210		MEIX	6/26/2015 ^c	22.21 ⁿ	35.7900	6/22/2018	24.54	39.5200	2.33	10.49	LONG
0.5080		MEIX	9/29/2015 ^c	16.22 ⁿ	31.9000	6/22/2018	20.07	39.5200	3.85	23.74	LONG
3.8380		MEIX	12/11/2015 ^c	127.31 ⁿ	33.1700	6/22/2018	151.68	39.5200	24.37	19.14	LONG
0.6040		MEIX	12/11/2015 ^c	20.02 ⁿ	33.1700	6/22/2018	23.87	39.5200	3.85	19.23	LONG
0.1410		MEIX	12/11/2015 ^c	4.67 ⁿ	33.1700	6/22/2018	5.57	39.5200	0.90	19.27	LONG
0.4320		MEIX	3/30/2016 ^c	14.47 ⁿ	33.4800	6/22/2018	17.07	39.5200	2.60	17.97	LONG
0.5720		MEIX	6/29/2016 ^c	19.28 ⁿ	33.6800	6/22/2018	22.60	39.5200	3.32	17.22	LONG
54.5580		MEIX	9/7/2016 ^c	1,977.16 ⁿ	36.2400	6/22/2018	2,156.20	39.5200	179.02	9.05	LONG
0.6140		MEIX	9/28/2016 ^c	21.73 ⁿ	35.3700	6/22/2018	24.26	39.5200	2.53	11.64	LONG
2.8330		MEIX	12/14/2016 ^c	104.27 ⁿ	36.8000	6/22/2018	111.96	39.5200	7.69	7.38	LONG
1.3500		MEIX	12/14/2016 ^c	49.68 ⁿ	36.8000	6/22/2018	53.35	39.5200	3.67	7.39	LONG
0.6550		MEIX	3/30/2017 ^c	24.81 ⁿ	37.8600	6/22/2018	25.88	39.5200	1.07	4.31	LONG
0.6830		MEIX	6/29/2017 ^c	26.89 ⁿ	39.3800	6/22/2018	26.99	39.5200	0.10	0.37	SHORT
0.6050		MEIX	9/28/2017 ^c	24.24 ⁿ	40.0900	6/22/2018	23.91	39.5200	-0.33	-1.36	SHORT
4.2820		MEIX	12/22/2017 ^c	175.14 ⁿ	40.9000	6/22/2018	169.25	39.5200	-5.89	-3.36	SHORT
0.8020		MEIX	12/22/2017 ^c	32.82 ⁿ	40.9000	6/22/2018	31.70	39.5200	-1.12	-3.41	SHORT
0.4680		MEIX	12/22/2017 ^c	19.13 ⁿ	40.9000	6/22/2018	18.50	39.5200	-0.63	-3.29	SHORT
0.5990		MEIX	3/28/2018 ^c	23.35 ⁿ	38.9500	6/22/2018	23.68	39.5200	0.33	1.41	SHORT
				6,039.52			7,137.53				
									1,098.01		

5.000 MICROSOFT 4.2% 060119 584918-A-C-8 9/30/2011ⁿ 5,891.50ⁿ 113.7300 6/27/2018 424.96 22.3700 -4.94 -1.15 LONG Miscellaneous

18.9370 NUVEEN REAL ESTATE I 3/27/2013^c 429.90ⁿ 22.6300 8/29/2017 3.55 22.3700 -0.03 -0.84 LONG

0.1590 FARCX 4/1/2013^c 3.58ⁿ 22.5800 8/29/2017 3.69 22.3700 0.04 1.10 LONG

0.1650 FARCX 7/1/2013^c 3.65ⁿ 22.0800 8/29/2017 2.12 22.3700 0.09 4.43 LONG

0.0950 FARCX 9/17/2013^c 2.03ⁿ 21.4800 8/29/2017 1.34 22.3700 0.05 3.88 LONG

0.0600 FARCX 9/17/2013^c 1.29ⁿ 21.4800 8/29/2017 4.49 22.3700 0.21 4.91 LONG

0.2010 FARCX 10/1/2013^c 4.28ⁿ 21.2600 8/29/2017 28.79 22.3700 3.74 14.93 LONG

1.2870 FARCX 12/16/2013^c 25.05ⁿ 19.4700 8/29/2017 10.84 22.3700 1.39 14.71 LONG

0.4850 FARCX 12/16/2013^c 9.45ⁿ 19.8000 8/29/2017 5.95 22.3700 0.69 13.12 LONG

0.2660 FARCX 12/31/2013^c 5.26ⁿ 19.8000 8/29/2017 4.27 22.3700 0.14 3.39 LONG

0.1910 FARCX 4/1/2014^c 4.13ⁿ 21.6400 8/29/2017 4.58 22.3700 -0.14 -2.97 LONG

0.2050 FARCX 7/1/2014^c 4.72ⁿ 23.0800 8/29/2017 4.58 22.3700 -0.14 -2.97 LONG

Closed Holdings 01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term	
13.0990		FARCX	7/14/2014 ^c	305.99 ⁿ	23.3600	8/29/2017	293.04	22.3700	-12.95	-4.23	LONG
7.6140		FARCX	8/19/2014 ^c	180.91 ⁿ	23.7600	8/29/2017	170.33	22.3700	-10.58	-5.85	LONG
0.3200		FARCX	10/1/2014 ^c	7.13 ⁿ	22.2900	8/29/2017	7.15	22.3700	0.02	0.28	LONG
1.1690		FARCX	10/3/2014 ^c	26.19 ⁿ	22.4000	8/29/2017	26.15	22.3700	-0.04	-0.15	LONG
1.6620		FARCX	12/16/2014 ^c	39.25 ⁿ	23.6100	8/29/2017	37.18	22.3700	-2.07	-5.27	LONG
0.7330		FARCX	12/16/2014 ^c	17.31 ⁿ	23.6100	8/29/2017	16.39	22.3700	-0.92	-5.31	LONG
0.5180		FARCX	12/31/2014 ^c	12.69 ⁿ	24.4800	8/29/2017	11.58	22.3700	-1.11	-8.75	LONG
0.3080		FARCX	4/1/2015 ^c	7.70 ⁿ	24.9700	8/29/2017	6.89	22.3700	-0.81	-10.52	LONG
0.5500		FARCX	7/1/2015 ^c	12.25 ⁿ	22.2800	8/29/2017	12.30	22.3700	0.05	0.41	LONG
0.5950		FARCX	9/17/2015 ^c	13.26 ⁿ	22.3000	8/29/2017	13.31	22.3700	0.05	0.38	LONG
0.3440		FARCX	10/1/2015 ^c	7.72 ⁿ	22.4100	8/29/2017	7.69	22.3700	-0.03	-0.39	LONG
2.3030		FARCX	12/16/2015 ^c	52.12 ⁿ	22.6300	8/29/2017	51.53	22.3700	-0.59	-1.13	LONG
0.4540		FARCX	12/31/2015 ^c	10.50 ⁿ	23.1500	8/29/2017	10.15	22.3700	-0.35	-3.33	LONG
0.4110		FARCX	4/1/2016 ^c	9.82 ⁿ	23.8900	8/29/2017	9.19	22.3700	-0.63	-6.42	LONG
0.3870		FARCX	7/1/2016 ^c	9.78 ⁿ	25.2700	8/29/2017	8.66	22.3700	-1.12	-11.45	LONG
0.6730		FARCX	9/16/2016 ^c	16.22 ⁿ	24.0900	8/29/2017	15.06	22.3700	-1.16	-7.15	SHORT
0.4570		FARCX	10/3/2016 ^c	11.14 ⁿ	24.3900	8/29/2017	10.22	22.3700	-0.92	-8.26	SHORT
2.2280		FARCX	12/16/2016 ^c	48.08 ⁿ	21.5800	8/29/2017	49.86	22.3700	1.78	3.70	SHORT
1.1510		FARCX	12/16/2016 ^c	24.84 ⁿ	21.5800	8/29/2017	25.76	22.3700	0.92	3.70	SHORT
0.5400		FARCX	12/30/2016 ^c	11.79 ⁿ	21.8300	8/29/2017	12.08	22.3700	0.29	2.46	SHORT
0.3350		FARCX	4/3/2017 ^c	7.39 ⁿ	22.0400	8/29/2017	7.50	22.3700	0.11	1.49	SHORT
0.3710		FARCX	7/3/2017 ^c	8.31 ⁿ	22.3900	8/29/2017	8.31	22.3700			Miscellaneous
				1,333.73			1,304.91		-28.82		
216.7110	PIMCO COMM REAL RETURN I	PCRIX	8/29/2017 ^c	1,441.13 ⁿ	6.6500	6/22/2018	1,436.79	6.6300	-4.34	-0.30	SHORT
5.3270		PCRIX	9/15/2017 ^c	35.37 ⁿ	6.6400	6/22/2018	35.31	6.6300	-0.06	-0.17	SHORT
3.9120		PCRIX	12/7/2017 ^c	25.70 ⁿ	6.5700	6/22/2018	25.94	6.6300	0.24	0.93	SHORT
5.0310		PCRIX	12/28/2017 ^c	33.61 ⁿ	6.6800	6/22/2018	33.36	6.6300	-0.25	-0.74	SHORT
4.4410		PCRIX	6/15/2018 ^c	30.02 ⁿ	6.7600	6/22/2018	29.45	6.6300	-0.57	-1.90	SHORT
				1,565.83			1,560.85		-4.98		
176.4790	PIMCO DIVERSFD INC INSTL	PDIX	3/27/2013 ^c	2,147.75 ⁿ	12.1700	6/22/2018	1,872.44	10.6100	-275.31	-12.82	LONG
0.0980		PDIX	4/1/2013 ^c	1.19 ⁿ	12.1600	6/22/2018	1.03	10.6100	-0.16	-13.45	LONG
0.7380		PDIX	5/1/2013 ^c	9.14 ⁿ	12.3900	6/22/2018	7.83	10.6100	-1.31	-14.33	LONG
0.6710		PDIX	6/3/2013 ^c	9.16 ⁿ	12.0400	6/22/2018	8.07	10.6100	-1.09	-11.90	LONG
0.6790		PDIX	7/1/2013 ^c	7.81 ⁿ	11.5100	6/22/2018	7.20	10.6100	-0.61	-7.81	LONG
0.7100		PDIX	8/1/2013 ^c	8.21 ⁿ	11.5700	6/22/2018	7.53	10.6100	-0.68	-8.28	LONG
0.7920		PDIX	9/3/2013 ^c	9.00 ⁿ	11.3600	6/22/2018	8.40	10.6100	-0.60	-6.67	LONG
8.2370		PDIX	9/10/2013 ^c	93.49 ⁿ	11.3500	6/22/2018	87.39	10.6100	-6.10	-6.52	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss	Term
0.7500		PDIX	10/1/2013 ^c	8.66 ⁿ	11.5400	6/22/2018	7.95	10.6100	-0.71	-8.20	LONG
0.8110		PDIX	11/1/2013 ^c	9.49 ⁿ	11.7000	6/22/2018	8.60	10.6100	-0.89	-9.38	LONG
0.8070		PDIX	12/2/2013 ^c	9.35 ⁿ	11.5900	6/22/2018	8.56	10.6100	-0.79	-8.45	LONG
1.4980		PDIX	12/12/2013 ^c	17.21 ⁿ	11.4900	6/22/2018	15.89	10.6100	-1.32	-7.67	LONG
0.8450		PDIX	1/2/2014 ^c	9.71 ⁿ	11.4900	6/22/2018	8.96	10.6100	-0.75	-7.72	LONG
0.9260		PDIX	2/3/2014 ^c	10.63 ⁿ	11.4800	6/22/2018	9.82	10.6100	-0.81	-7.62	LONG
0.8380		PDIX	3/3/2014 ^c	9.77 ⁿ	11.6600	6/22/2018	8.89	10.6100	-0.88	-9.01	LONG
0.7910		PDIX	4/1/2014 ^c	9.20 ⁿ	11.6300	6/22/2018	8.39	10.6100	-0.81	-8.80	LONG
0.8670		PDIX	5/1/2014 ^c	10.14 ⁿ	11.7000	6/22/2018	9.19	10.6100	-0.95	-9.37	LONG
0.8670		PDIX	6/2/2014 ^c	10.32 ⁿ	11.9000	6/22/2018	9.19	10.6100	-1.13	-10.95	LONG
0.8250		PDIX	7/1/2014 ^c	9.87 ⁿ	11.9700	6/22/2018	8.75	10.6100	-1.12	-11.35	LONG
97.8330		PDIX	7/14/2014 ^c	1,168.13 ⁿ	11.9400	6/22/2018	1,038.03	10.6100	-130.10	-11.14	LONG
1.0910		PDIX	8/1/2014 ^c	12.86 ⁿ	11.7900	6/22/2018	11.57	10.6100	-1.29	-10.03	LONG
60.3610		PDIX	8/19/2014 ^c	716.49 ⁿ	11.8700	6/22/2018	640.45	10.6100	-76.04	-10.61	LONG
1.4390		PDIX	9/2/2014 ^c	17.09 ⁿ	11.8800	6/22/2018	15.26	10.6100	-1.83	-10.71	LONG
1.5810		PDIX	10/1/2014 ^c	18.29 ⁿ	11.5700	6/22/2018	16.77	10.6100	-1.52	-8.31	LONG
1.7570		PDIX	11/3/2014 ^c	20.49 ⁿ	11.6600	6/22/2018	18.64	10.6100	-1.85	-9.03	LONG
1.4670		PDIX	12/1/2014 ^c	16.94 ⁿ	11.5500	6/22/2018	15.56	10.6100	-1.38	-8.15	LONG
14.4460		PDIX	12/11/2014 ^c	155.01 ⁿ	10.7300	6/22/2018	153.28	10.6100	-1.73	-1.12	LONG
4.0050		PDIX	12/11/2014 ^c	42.97 ⁿ	10.7300	6/22/2018	42.49	10.6100	-0.48	-1.12	LONG
1.8490		PDIX	12/30/2014 ^c	19.75 ⁿ	10.6800	6/22/2018	19.61	10.6100	-0.14	-0.71	LONG
1.9910		PDIX	1/2/2015 ^c	21.24 ⁿ	10.6700	6/22/2018	21.12	10.6100	-0.12	-0.56	LONG
1.7510		PDIX	2/2/2015 ^c	18.84 ⁿ	10.7600	6/22/2018	18.57	10.6100	-0.27	-1.43	LONG
1.6870		PDIX	3/2/2015 ^c	18.35 ⁿ	10.8800	6/22/2018	17.90	10.6100	-0.45	-2.45	LONG
1.6260		PDIX	4/1/2015 ^c	17.77 ⁿ	10.8900	6/22/2018	17.25	10.6100	-0.46	-2.60	LONG
1.6050		PDIX	5/1/2015 ^c	17.61 ⁿ	10.9700	6/22/2018	17.03	10.6100	-0.58	-3.29	LONG
1.6000		PDIX	6/1/2015 ^c	17.52 ⁿ	10.9500	6/22/2018	16.97	10.6100	-0.55	-3.14	LONG
1.5960		PDIX	7/1/2015 ^c	17.12 ⁿ	10.7300	6/22/2018	16.93	10.6100	-0.19	-1.11	LONG
1.7900		PDIX	8/3/2015 ^c	19.26 ⁿ	10.7600	6/22/2018	18.99	10.6100	-0.27	-1.40	LONG
4.3280		PDIX	8/17/2015 ^c	46.09 ⁿ	10.6500	6/22/2018	45.92	10.6100	-0.17	-0.37	LONG
1.6640		PDIX	9/1/2015 ^c	17.57 ⁿ	10.5600	6/22/2018	17.65	10.6100	0.08	0.46	LONG
1.7980		PDIX	10/1/2015 ^c	18.56 ⁿ	10.3200	6/22/2018	19.07	10.6100	0.51	2.75	LONG
1.8480		PDIX	11/2/2015 ^c	19.51 ⁿ	10.5600	6/22/2018	19.60	10.6100	0.09	0.46	LONG
1.7300		PDIX	12/1/2015 ^c	18.11 ⁿ	10.4700	6/22/2018	18.35	10.6100	0.24	1.33	LONG
2.2880		PDIX	12/17/2015 ^c	23.34 ⁿ	10.2000	6/22/2018	24.27	10.6100	0.93	3.98	LONG
7.4130		PDIX	12/30/2015 ^c	74.35 ⁿ	10.0300	6/22/2018	78.66	10.6100	4.31	5.80	LONG
2.1350		PDIX	1/4/2016 ^c	21.44 ⁿ	10.0400	6/22/2018	22.65	10.6100	1.21	5.64	LONG
1.6800		PDIX	2/1/2016 ^c	16.73 ⁿ	9.9600	6/22/2018	17.82	10.6100	1.09	6.52	LONG

Closed Holdings
01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 8581-0743
 KATHRYN G ELLIS (IRA)
 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain of Loss	Term
1.9000		PDIX	3/1/2016 ^c	18.83 ⁿ	9.9100	6/22/2018	20.16	10.6100	1.33 7.06	LONG
1.8060		PDIX	4/1/2016 ^c	18.33 ⁿ	10.1500	6/22/2018	19.16	10.6100	0.83 4.53	LONG
1.8070		PDIX	5/2/2016 ^c	18.67 ⁿ	10.3300	6/22/2018	19.17	10.6100	0.50 2.68	LONG
1.6360		PDIX	6/1/2016 ^c	16.95 ⁿ	10.3600	6/22/2018	17.36	10.6100	0.41 2.42	LONG
1.5960		PDIX	7/1/2016 ^c	16.82 ⁿ	10.5400	6/22/2018	16.93	10.6100	0.11 0.65	LONG
1.6120		PDIX	8/1/2016 ^c	17.26 ⁿ	10.7100	6/22/2018	17.10	10.6100	-0.16 -0.93	LONG
1.6400		PDIX	9/1/2016 ^c	17.75 ⁿ	10.8200	6/22/2018	17.40	10.6100	-0.35 -1.97	LONG
1.7050		PDIX	10/3/2016 ^c	18.45 ⁿ	10.8200	6/22/2018	18.09	10.6100	-0.36 -1.95	LONG
1.5520		PDIX	11/1/2016 ^c	16.68 ⁿ	10.7500	6/22/2018	16.47	10.6100	-0.21 -1.26	LONG
1.7220		PDIX	12/1/2016 ^c	18.08 ⁿ	10.5000	6/22/2018	18.27	10.6100	0.19 1.05	LONG
1.4790		PDIX	12/29/2016 ^c	15.57 ⁿ	10.5300	6/22/2018	15.69	10.6100	0.12 0.77	LONG
1.8200		PDIX	1/3/2017 ^c	19.22 ⁿ	10.5600	6/22/2018	19.31	10.6100	0.09 0.47	LONG
1.6050		PDIX	2/1/2017 ^c	17.04 ⁿ	10.6200	6/22/2018	17.03	10.6100	-0.01 -0.06	LONG
1.6590		PDIX	3/1/2017 ^c	17.83 ⁿ	10.7500	6/22/2018	17.60	10.6100	-0.23 -1.29	LONG
1.8060		PDIX	4/3/2017 ^c	19.47 ⁿ	10.7800	6/22/2018	19.16	10.6100	-0.31 -1.59	LONG
1.5580		PDIX	5/1/2017 ^c	16.95 ⁿ	10.8800	6/22/2018	16.53	10.6100	-0.42 -2.48	LONG
1.6870		PDIX	6/1/2017 ^c	18.51 ⁿ	10.9700	6/22/2018	17.90	10.6100	-0.61 -3.30	LONG
1.8020		PDIX	7/3/2017 ^c	19.66 ⁿ	10.9100	6/22/2018	19.12	10.6100	-0.54 -2.75	SHORT
1.5850		PDIX	8/1/2017 ^c	17.39 ⁿ	10.9700	6/22/2018	16.82	10.6100	-0.57 -3.28	SHORT
1.6650		PDIX	9/1/2017 ^c	18.41 ⁿ	11.0600	6/22/2018	17.67	10.6100	-0.74 -4.02	SHORT
1.6400		PDIX	10/2/2017 ^c	18.09 ⁿ	11.0300	6/22/2018	17.40	10.6100	-0.69 -3.81	SHORT
1.6380		PDIX	11/1/2017 ^c	18.08 ⁿ	11.0400	6/22/2018	17.38	10.6100	-0.70 -3.87	SHORT
1.6750		PDIX	12/1/2017 ^c	18.39 ⁿ	10.9800	6/22/2018	17.78	10.6100	-0.61 -3.32	SHORT
12.4900		PDIX	12/7/2017 ^c	137.27 ⁿ	10.9900	6/22/2018	132.58	10.6100	-4.69 -3.42	SHORT
2.0420		PDIX	12/28/2017 ^c	22.34 ⁿ	10.9400	6/22/2018	21.67	10.6100	-0.67 -3.00	SHORT
1.7540		PDIX	1/2/2018 ^c	19.21 ⁿ	10.9500	6/22/2018	18.61	10.6100	-0.60 -3.12	SHORT
1.6740		PDIX	2/1/2018 ^c	18.20 ⁿ	10.8700	6/22/2018	17.77	10.6100	-0.43 -2.36	SHORT
1.6050		PDIX	3/1/2018 ^c	17.22 ⁿ	10.7300	6/22/2018	17.04	10.6100	-0.18 -1.05	SHORT
1.5890		PDIX	4/2/2018 ^c	17.11 ⁿ	10.7700	6/22/2018	16.87	10.6100	-0.24 -1.40	SHORT
1.5770		PDIX	5/1/2018 ^c	16.89 ⁿ	10.7100	6/22/2018	16.74	10.6100	-0.15 -0.89	SHORT
1.7270		PDIX	6/1/2018 ^c	18.39 ⁿ	10.6500	6/22/2018	18.34	10.6100	-0.05 -0.27	SHORT
				5,670.53			5,153.61	-516.92		
224.0100	PIMCO INCOME FDI	PIMIX	9/7/2016 ^c	2,694.84 ⁿ	12.0300	6/22/2018	2,683.64	11.9900	-11.20 -0.42	LONG
0.8080		PIMIX	10/3/2016 ^c	9.75 ⁿ	12.0600	6/22/2018	9.67	11.9900	-0.08 -0.82	LONG
1.0340		PIMIX	11/1/2016 ^c	12.47 ⁿ	12.0600	6/22/2018	12.38	11.9900	-0.09 -0.72	LONG
1.0480		PIMIX	12/1/2016 ^c	12.56 ⁿ	11.9900	6/22/2018	12.55	11.9900	-0.01 -0.08	LONG
1.0410		PIMIX	1/3/2017 ^c	12.56 ⁿ	12.0600	6/22/2018	12.47	11.9900	-0.09 -0.72	LONG
1.0500		PIMIX	2/1/2017 ^c	12.71 ⁿ	12.1100	6/22/2018	12.58	11.9800	-0.13 -1.02	LONG

Closed Holdings **01/01/2017 - 09/05/2018**

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 8581-0743
 KATHRYN G ELLIS (IRA)
 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized or Loss	% Gain or Loss	Term
1.0370		PMMX	3/1/2017 ^c	12.65 ⁿ	12.2000	6/22/2018	12.42	11.9800	-0.23	-1.82	LONG
1.0470		PMMX	4/3/2017 ^c	12.82 ⁿ	12.2400	6/22/2018	12.54	11.9800	-0.28	-2.18	LONG
1.0460		PMMX	5/1/2017 ^c	12.84 ⁿ	12.2700	6/22/2018	12.53	11.9800	-0.31	-2.41	LONG
1.0510		PMMX	6/1/2017 ^c	12.97 ⁿ	12.3400	6/22/2018	12.59	11.9800	-0.38	-2.93	LONG
1.0400		PMMX	7/3/2017 ^c	12.85 ⁿ	12.3500	6/22/2018	12.46	11.9800	-0.39	-3.04	SHORT
1.0520		PMMX	8/1/2017 ^c	13.01 ⁿ	12.3700	6/22/2018	12.60	11.9800	-0.41	-3.15	SHORT
1.0470		PMMX	9/1/2017 ^c	13.02 ⁿ	12.4300	6/22/2018	12.54	11.9800	-0.48	-3.69	SHORT
1.0510		PMMX	10/2/2017 ^c	13.07 ⁿ	12.4400	6/22/2018	12.59	11.9800	-0.48	-3.67	SHORT
1.0600		PMMX	11/1/2017 ^c	13.20 ⁿ	12.4500	6/22/2018	12.70	11.9800	-0.50	-3.79	SHORT
1.0620		PMMX	12/1/2017 ^c	13.20 ⁿ	12.4300	6/22/2018	12.72	11.9800	-0.48	-3.64	SHORT
1.0770		PMMX	1/2/2018 ^c	13.37 ⁿ	12.4100	6/22/2018	12.90	11.9800	-0.47	-3.52	SHORT
1.0920		PMMX	2/1/2018 ^c	13.45 ⁿ	12.3200	6/22/2018	13.09	11.9800	-0.36	-2.68	SHORT
1.1010		PMMX	3/1/2018 ^c	13.44 ⁿ	12.2100	6/22/2018	13.19	11.9800	-0.25	-1.86	SHORT
1.1010		PMMX	4/2/2018 ^c	13.44 ⁿ	12.2100	6/22/2018	13.20	11.9800	-0.24	-1.79	SHORT
1.1230		PMMX	5/1/2018 ^c	13.59 ⁿ	12.1000	6/22/2018	13.46	11.9800	-0.13	-0.96	SHORT
1.1320		PMMX	6/1/2018 ^c	13.63 ⁿ	12.0400	6/22/2018	13.58	11.9800	-0.05	-0.37	SHORT
				2,965.44			2,948.40			-17.04	

29.4600	PRINCIPAL SMID DIV INSTS	PMDIX	8/28/2014 ^c	422.76 ⁿ	14.3500	12/7/2017	525.28	17.8300	102.52	24.25	LONG
108.8810		PMDIX	8/28/2014 ^c	1,562.43 ⁿ	14.3500	6/22/2018	1,891.26	17.3700	328.83	21.05	LONG
0.9840		PMDIX	10/1/2014 ^c	13.34 ⁿ	13.5600	6/22/2018	17.09	17.3700	3.75	28.11	LONG
6.2690		PMDIX	10/3/2014 ^c	85.13 ⁿ	13.5800	6/22/2018	108.89	17.3700	23.76	27.91	LONG
3.8480		PMDIX	12/18/2014 ^c	52.22 ⁿ	13.5700	6/22/2018	66.83	17.3700	14.61	27.98	LONG
0.3750		PMDIX	12/18/2014 ^c	5.09 ⁿ	13.5700	6/22/2018	6.51	17.3700	1.42	27.90	LONG
1.4870		PMDIX	12/30/2014 ^c	20.78 ⁿ	13.9700	6/22/2018	25.82	17.3700	5.04	24.25	LONG
1.0790		PMDIX	4/1/2015 ^c	15.13 ⁿ	14.0200	6/22/2018	18.74	17.3700	3.61	23.86	LONG
174.1650		PMDIX	4/28/2015 ^c	2,473.14 ⁿ	14.2000	6/22/2018	3,025.27	17.3700	552.13	22.33	LONG
1.8960		PMDIX	7/1/2015 ^c	25.77 ⁿ	13.5800	6/22/2018	32.93	17.3700	7.16	27.78	LONG
9.3630		PMDIX	8/17/2015 ^c	126.68 ⁿ	13.5300	6/22/2018	162.63	17.3700	35.95	28.38	LONG
2.2060		PMDIX	10/1/2015 ^c	27.28 ⁿ	12.3700	6/22/2018	38.30	17.3700	11.02	40.40	LONG
7.6910		PMDIX	12/18/2015 ^c	96.91 ⁿ	12.6000	6/22/2018	133.59	17.3700	36.68	37.85	LONG
3.8420		PMDIX	12/29/2015 ^c	49.14 ⁿ	12.7900	6/22/2018	66.73	17.3700	17.59	35.80	LONG
2.1380		PMDIX	4/1/2016 ^c	28.39 ⁿ	13.2800	6/22/2018	37.13	17.3700	8.74	30.79	LONG
1.9840		PMDIX	7/1/2016 ^c	27.76 ⁿ	13.9900	6/22/2018	34.46	17.3700	6.70	24.14	LONG
1.4170		PMDIX	10/3/2016 ^c	21.16 ⁿ	14.9300	6/22/2018	24.61	17.3700	3.45	16.30	LONG
5.6450		PMDIX	12/29/2016 ^c	90.27 ⁿ	15.9900	6/22/2018	98.06	17.3700	7.79	8.63	LONG
0.6950		PMDIX	3/22/2017 ^c	11.21 ⁿ	16.1400	6/22/2018	12.07	17.3700	0.86	7.67	LONG
0.7000		PMDIX	6/21/2017 ^c	11.74 ⁿ	16.7600	6/22/2018	12.16	17.3700	0.42	3.58	LONG
1.6220		PMDIX	9/19/2017 ^c	27.53 ⁿ	16.9700	6/22/2018	28.17	17.3700	0.64	2.32	SHORT

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain	Term
10.6770		PMDIX	12/21/2017 ^c	183.85 ^c	17.2200	6/22/2018	185.48	17.3700	1.63	0.89	SHORT
4.4760		PMDIX	12/21/2017 ^c	77.06 ^c	17.2200	6/22/2018	77.76	17.3700	0.68	0.88	SHORT
1.5750		PMDIX	12/28/2017 ^c	27.06 ^c	17.1800	6/22/2018	27.36	17.3700	0.30	1.11	SHORT
1.2640		PMDIX	3/20/2018 ^c	21.22 ^c	16.7900	6/22/2018	21.96	17.3700	0.74	3.49	SHORT
0.9520		PMDIX	6/20/2018 ^c	16.51 ^c	17.3500	6/22/2018	16.54	17.3700	0.03	0.18	SHORT
				<u>5,519.58</u>			<u>6,695.63</u>		<u>1,176.05</u>		
12.9330	T ROWE PRICE BLE CHIP GRV	TRBCX	3/27/2013 ^c	635.38 ^c	49.1300	12/7/2017	1,269.74	98.1800	634.36	99.84	LONG
1.6720		TRBCX	3/27/2013 ^c	82.15 ^c	49.1300	7/13/2018	187.44	112.1000	105.29	128.17	LONG
				<u>717.53</u>			<u>1,457.18</u>		<u>739.65</u>		
20.000	WELLS FARGO 0% 09/02/22 94986T-M4-6		2/28/2017 ^c	20,000.00 ^c	100.0000	6/27/2018					Miscellaneous
4.0450	WELLS FARGO EMKT INSTL	EMGNX	9/10/2013 ^c	89.03 ^c	22.0100	12/7/2017	102.71	25.3900	13.68	15.37	LONG
2.1510		EMGNX	9/10/2013 ^c	47.34 ^c	22.0100	6/22/2018	54.67	25.4200	7.33	15.48	LONG
0.1810		EMGNX	12/31/2013 ^c	3.97 ^c	21.9800	6/22/2018	4.60	25.4200	0.63	15.87	LONG
23.6020		EMGNX	7/14/2014 ^c	548.74 ^c	23.2500	6/22/2018	599.96	25.4200	51.22	9.33	LONG
10.5430		EMGNX	8/19/2014 ^c	252.29 ^c	23.9300	6/22/2018	268.00	25.4200	15.71	6.23	LONG
5.6770		EMGNX	10/3/2014 ^c	125.35 ^c	22.0800	6/22/2018	144.31	25.4200	18.96	15.13	LONG
1.0140		EMGNX	12/31/2014 ^c	20.99 ^c	20.7000	6/22/2018	25.77	25.4200	4.78	22.77	LONG
13.5550		EMGNX	8/17/2015 ^c	257.55 ^c	19.0000	6/22/2018	344.58	25.4200	87.03	33.79	LONG
1.2220		EMGNX	12/31/2015 ^c	21.78 ^c	17.8200	6/22/2018	31.06	25.4200	9.28	42.61	LONG
0.6730		EMGNX	12/30/2016 ^c	13.26 ^c	19.7100	6/22/2018	17.11	25.4200	3.85	29.03	LONG
0.4270		EMGNX	12/29/2017 ^c	11.25 ^c	26.3300	6/22/2018	10.86	25.4200	-0.39	-3.47	SHORT
				<u>1,391.55</u>			<u>1,603.63</u>		<u>212.08</u>		

Closed Holdings **01/01/2017 - 09/05/2018**

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 8581-0743
 KATHRYN G ELLIS (IRA)
 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss	Term
Report Summary				145,117.51		72,999.40			2,573.39		

Realized Gains or Losses	
Short Term	-157.27
Long Term	2,730.66

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot - Cost information for this tax lot is covered by IRS reporting requirements.
 Noncovered Tax Lot - Cost information for this tax lot is not covered by IRS reporting requirements.
 Wash Sale Date - The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.
 CSI - Cash Standing Instructions indicates the disposition of stock and money on cash accounts
 MMF Code - The MMF Code in the report header indicates how money market account balances are treated.

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Schedule of Realized Gains and Losses
01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Short

0.4840	INVESCO GLBL REAL EST Y	ARGYX	9/15/2017	6.51		13.4600	6/22/2018	6.50	13.4400	-0.01	-0.15
14.5740	INVESCO GLBL REAL EST Y	ARGYX	12/7/2017	196.17		13.4600	6/22/2018	195.90	13.4400	-0.27	-0.14
2.2610	INVESCO GLBL REAL EST Y	ARGYX	12/14/2017	30.28		13.3900	6/22/2018	30.39	13.4400	0.11	0.36
1.0070	INVESCO GLBL REAL EST Y	ARGYX	12/14/2017	13.48		13.3900	6/22/2018	13.53	13.4400	0.05	0.37
0.5670	INVESCO GLBL REAL EST Y	ARGYX	3/23/2018	7.31		12.9000	6/22/2018	7.62	13.4400	0.31	4.24
0.9150	INVESCO GLBL REAL EST Y	ARGYX	6/22/2018	12.20		13.3400	6/22/2018	12.31	13.4400	0.11	0.90
17.3950	DEUTSCHE ENH CMDTY INSTL	SKIRX	9/7/2016	211.52		12.1600	8/29/2017	195.35	11.2300	-16.17	-7.64
3.9510	DEUTSCHE ENH CMDTY INSTL	SKIRX	9/26/2016	46.38		11.7400	8/29/2017	44.37	11.2300	-2.01	-4.33
3.9080	DEUTSCHE ENH CMDTY INSTL	SKIRX	12/16/2016	45.64		11.6800	8/29/2017	43.89	11.2300	-1.75	-3.83
0.1110	DEUTSCHE ENH CMDTY INSTL	SKIRX	3/27/2017	1.26		11.3400	8/29/2017	1.24	11.2300	-0.02	-1.59
0.1190	DEUTSCHE ENH CMDTY INSTL	SKIRX	6/26/2017	1.29		10.8200	8/29/2017	1.35	11.2300	0.06	4.65
1.8100	BLACKROCK CORE BOND I	BFMCX	7/3/2017	17.45		9.6400	6/22/2018	16.83	9.3000	-0.62	-3.55
1.9080	BLACKROCK CORE BOND I	BFMCX	8/1/2017	18.41		9.6500	6/22/2018	17.74	9.3000	-0.67	-3.64
1.9250	BLACKROCK CORE BOND I	BFMCX	9/1/2017	18.69		9.7100	6/22/2018	17.90	9.3000	-0.79	-4.23
1.8750	BLACKROCK CORE BOND I	BFMCX	10/2/2017	18.09		9.6500	6/22/2018	17.44	9.3000	-0.65	-3.59
1.9440	BLACKROCK CORE BOND I	BFMCX	11/1/2017	18.72		9.6300	6/22/2018	18.08	9.3000	-0.64	-3.42
1.9830	BLACKROCK CORE BOND I	BFMCX	12/1/2017	19.00		9.5800	6/22/2018	18.44	9.3000	-0.56	-2.95
85.2330	BLACKROCK CORE BOND I	BFMCX	12/7/2017	818.24		9.6000	6/22/2018	792.80	9.3000	-25.44	-3.11
2.0160	BLACKROCK CORE BOND I	BFMCX	1/2/2018	19.33		9.5900	6/22/2018	18.75	9.3000	-0.58	-3.00
2.0230	BLACKROCK CORE BOND I	BFMCX	2/1/2018	19.20		9.4900	6/22/2018	18.81	9.3000	-0.39	-2.03
1.9900	BLACKROCK CORE BOND I	BFMCX	3/1/2018	18.65		9.3700	6/22/2018	18.51	9.3000	-0.14	-0.75
2.1270	BLACKROCK CORE BOND I	BFMCX	4/2/2018	19.97		9.3900	6/22/2018	19.78	9.3000	-0.19	-0.95
2.0460	BLACKROCK CORE BOND I	BFMCX	5/1/2018	19.05		9.3100	6/22/2018	19.04	9.3000	-0.01	-0.05
2.0630	BLACKROCK CORE BOND I	BFMCX	6/1/2018	19.31		9.3600	6/22/2018	19.20	9.3000	-0.11	-0.57
16.1130	COHEN & STEERS RLTY SHS	CSRSX	8/29/2017	1,072.13		66.5400	6/22/2018	1,035.41	64.2600	-36.72	-3.42
0.0960	COHEN & STEERS RLTY SHS	CSRSX	10/2/2017	6.33		66.0800	6/22/2018	6.16	64.2600	-0.17	-2.69
0.7880	COHEN & STEERS RLTY SHS	CSRSX	12/7/2017	50.82		63.7000	6/22/2018	51.28	64.2600	0.46	0.91
0.1080	COHEN & STEERS RLTY SHS	CSRSX	12/7/2017	6.87		63.7000	6/22/2018	6.94	64.2600	0.07	1.02
7.1350	COHEN & STEERS RLTY SHS	CSRSX	12/7/2017	456.42		63.9700	6/22/2018	456.51	64.2600	0.09	0.46
0.1640	COHEN & STEERS RLTY SHS	CSRSX	4/2/2018	9.80		59.7600	6/22/2018	10.54	64.2600	0.74	7.55
3.9730	JOHN HANCOCK GLB SH I	JGYIX	6/27/2017	44.85		11.2900	6/22/2018	44.62	11.2300	-0.23	-0.51
1.8510	JOHN HANCOCK GLB SH I	JGYIX	9/26/2017	21.30		11.5100	6/22/2018	20.79	11.2300	-0.51	-2.39
1.9210	JOHN HANCOCK GLB SH I	JGYIX	12/18/2017	22.57		11.7500	6/22/2018	21.58	11.2300	-0.99	-4.39
1.7270	JOHN HANCOCK GLB SH I	JGYIX	3/27/2018	19.10		11.0600	6/22/2018	19.41	11.2300	0.31	1.62

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Short - Continued											
0.6830	MFS VALUE I	MEIX	6/29/2017	26.89		39.3600	6/22/2018	26.99	39.5200	0.10	0.37
0.6050	MFS VALUE I	MEIX	9/28/2017	24.24		40.0900	6/22/2018	23.91	39.5200	-0.33	-1.36
4.2820	MFS VALUE I	MEIX	12/22/2017	175.14		40.9000	6/22/2018	169.25	39.5200	-5.89	-3.36
0.8020	MFS VALUE I	MEIX	12/22/2017	32.82		40.9000	6/22/2018	31.70	39.5200	-1.12	-3.41
0.4680	MFS VALUE I	MEIX	12/22/2017	19.13		40.9000	6/22/2018	18.50	39.5200	-0.63	-3.29
0.5990	MFS VALUE I	MEIX	3/28/2018	23.35		38.9500	6/22/2018	23.68	39.5200	0.33	1.41
2.6420	MAINSTAY MACKAY HY BD I	MHYX	7/3/2017	15.22		5.7600	6/22/2018	14.88	5.6300	-0.34	-2.23
2.6380	MAINSTAY MACKAY HY BD I	MHYX	8/1/2017	15.30		5.8000	6/22/2018	14.85	5.6300	-0.45	-2.94
2.6630	MAINSTAY MACKAY HY BD I	MHYX	9/1/2017	15.39		5.7800	6/22/2018	14.99	5.6300	-0.40	-2.60
2.6670	MAINSTAY MACKAY HY BD I	MHYX	10/2/2017	15.47		5.8000	6/22/2018	15.02	5.6300	-0.45	-2.91
2.6900	MAINSTAY MACKAY HY BD I	MHYX	11/1/2017	15.55		5.7800	6/22/2018	15.15	5.6300	-0.40	-2.57
2.7180	MAINSTAY MACKAY HY BD I	MHYX	12/1/2017	15.63		5.7500	6/22/2018	15.31	5.6300	-0.32	-2.05
9.2430	MAINSTAY MACKAY HY BD I	MHYX	12/7/2017	53.15		5.7500	6/22/2018	52.06	5.6300	-1.09	-2.05
2.7920	MAINSTAY MACKAY HY BD I	MHYX	12/22/2017	16.00		5.7300	6/22/2018	15.72	5.6300	-0.28	-1.75
2.5030	MAINSTAY MACKAY HY BD I	MHYX	2/1/2018	14.42		5.7600	6/22/2018	14.10	5.6300	-0.32	-2.22
2.5470	MAINSTAY MACKAY HY BD I	MHYX	3/1/2018	14.49		5.6900	6/22/2018	14.35	5.6300	-0.14	-0.97
2.5770	MAINSTAY MACKAY HY BD I	MHYX	4/2/2018	14.56		5.6500	6/22/2018	14.52	5.6300	-0.04	-0.27
2.5940	MAINSTAY MACKAY HY BD I	MHYX	5/1/2018	14.63		5.6400	6/22/2018	14.61	5.6300	-0.02	-0.14
2.6270	MAINSTAY MACKAY HY BD I	MHYX	6/1/2018	14.71		5.6000	6/22/2018	14.81	5.6300	0.10	0.68
1.3900	METROPOLITAN WEST FDS I	MWTX	7/3/2017	14.80		10.6500	6/22/2018	14.40	10.3600	-0.40	-2.70
1.4490	METROPOLITAN WEST FDS I	MWTX	8/1/2017	15.46		10.6700	6/22/2018	15.01	10.3600	-0.45	-2.91
12.3900	METROPOLITAN WEST FDS I	MWTX	8/30/2017	132.94		10.7300	6/22/2018	128.38	10.3600	-4.56	-3.43
1.4830	METROPOLITAN WEST FDS I	MWTX	9/1/2017	15.94		10.7500	6/22/2018	15.36	10.3600	-0.58	-3.64
1.3720	METROPOLITAN WEST FDS I	MWTX	10/2/2017	14.65		10.6800	6/22/2018	14.21	10.3600	-0.44	-3.00
1.5950	METROPOLITAN WEST FDS I	MWTX	11/1/2017	17.00		10.6600	6/22/2018	16.52	10.3600	-0.48	-2.82
1.5480	METROPOLITAN WEST FDS I	MWTX	12/1/2017	16.47		10.6400	6/22/2018	16.04	10.3600	-0.43	-2.61
69.3040	METROPOLITAN WEST FDS I	MWTX	12/7/2017	738.78		10.6600	6/22/2018	718.12	10.3600	-20.66	-2.80
1.7040	METROPOLITAN WEST FDS I	MWTX	1/2/2018	18.16		10.6600	6/22/2018	17.65	10.3600	-0.51	-2.81
1.6980	METROPOLITAN WEST FDS I	MWTX	2/1/2018	17.86		10.5300	6/22/2018	17.59	10.3600	-0.29	-1.62
1.6510	METROPOLITAN WEST FDS I	MWTX	3/1/2018	17.19		10.4100	6/22/2018	17.11	10.3600	-0.08	-0.47
1.9890	METROPOLITAN WEST FDS I	MWTX	4/2/2018	20.80		10.4600	6/22/2018	20.61	10.3600	-0.19	-0.91
1.9980	METROPOLITAN WEST FDS I	MWTX	5/1/2018	20.72		10.3700	6/22/2018	20.70	10.3600	-0.02	-0.10
2.0870	METROPOLITAN WEST FDS I	MWTX	6/1/2018	21.75		10.4200	6/22/2018	21.64	10.3600	-0.11	-0.51
1.1380	JPMORGAN CORE BOND I	WOBDX	6/29/2017	13.27		11.6600	6/22/2018	12.81	11.2600	-0.46	-3.47
1.1640	JPMORGAN CORE BOND I	WOBDX	7/28/2017	13.54		11.6300	6/22/2018	13.10	11.2600	-0.44	-3.25
1.1130	JPMORGAN CORE BOND I	WOBDX	8/30/2017	13.04		11.7200	6/22/2018	12.53	11.2600	-0.51	-3.91

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

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Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Short - Continued											
1.1380	JPMORGAN CORE BOND I	WOBDX	9/28/2017	13.24		11.6300	6/22/2018	12.81	11.2600	-0.43	-3.25
1.1470	JPMORGAN CORE BOND I	WOBDX	10/30/2017	13.31		11.6000	6/22/2018	12.91	11.2600	-0.40	-3.01
1.1810	JPMORGAN CORE BOND I	WOBDX	11/29/2017	13.73		11.6300	6/22/2018	13.30	11.2600	-0.43	-3.13
52.1500	JPMORGAN CORE BOND I	WOBDX	12/7/2017	605.98		11.6200	6/22/2018	587.36	11.2600	-18.62	-3.07
1.2950	JPMORGAN CORE BOND I	WOBDX	12/14/2017	15.04		11.6100	6/22/2018	14.58	11.2600	-0.46	-3.06
0.0270	JPMORGAN CORE BOND I	WOBDX	12/14/2017	0.31		11.6100	6/22/2018	0.30	11.2600	-0.01	-3.23
1.3210	JPMORGAN CORE BOND I	WOBDX	12/28/2017	15.28		11.5700	6/22/2018	14.88	11.2600	-0.40	-2.62
1.1510	JPMORGAN CORE BOND I	WOBDX	1/30/2018	13.18		11.4500	6/22/2018	12.96	11.2600	-0.22	-1.67
1.2450	JPMORGAN CORE BOND I	WOBDX	2/27/2018	14.09		11.3200	6/22/2018	14.02	11.2600	-0.07	-0.50
1.3060	JPMORGAN CORE BOND I	WOBDX	3/28/2018	14.81		11.3400	6/22/2018	14.71	11.2600	-0.10	-0.68
1.5390	JPMORGAN CORE BOND I	WOBDX	4/27/2018	17.27		11.2200	6/22/2018	17.34	11.2600	0.07	0.41
1.3460	JPMORGAN CORE BOND I	WOBDX	5/30/2018	15.25		11.3300	6/22/2018	15.17	11.2600	-0.08	-0.52
0.4270	WELLS FARGO EMKT INSTL	EMGNX	12/29/2017	11.25		26.3300	6/22/2018	10.86	25.4200	-0.39	-3.47
1.3910	AMER FDS EUROPACIFIC F2	AEPFX	12/22/2017	77.36		55.6100	6/22/2018	75.39	54.2000	-1.97	-2.55
0.5450	AMER FDS EUROPACIFIC F2	AEPFX	12/22/2017	30.29		55.6100	6/22/2018	29.54	54.2000	-0.75	-2.48
1.9860	AMER FDS EUROPACIFIC F2	AEPFX	6/14/2018	110.24		55.5000	6/22/2018	107.66	54.2000	-2.58	-2.34
0.0490	AMER FDS EUROPACIFIC F2	AEPFX	6/14/2018	2.74		55.5000	6/22/2018	2.66	54.2000	-0.08	-2.92
0.6730	NUVEEN REAL ESTATE I	FARCX	9/16/2018	16.22		24.0900	8/29/2017	15.06	22.3700	-1.16	-7.15
0.4570	NUVEEN REAL ESTATE I	FARCX	10/3/2018	11.14		24.3900	8/29/2017	10.22	22.3700	-0.92	-8.26
2.2280	NUVEEN REAL ESTATE I	FARCX	12/16/2018	48.08		21.5800	8/29/2017	49.86	22.3700	1.78	3.70
1.1510	NUVEEN REAL ESTATE I	FARCX	12/16/2018	24.84		21.5800	8/29/2017	25.76	22.3700	0.92	3.70
0.5400	NUVEEN REAL ESTATE I	FARCX	12/30/2018	11.79		21.8300	8/29/2017	12.08	22.3700	0.29	2.46
0.3350	NUVEEN REAL ESTATE I	FARCX	4/3/2017	7.39		22.0400	8/29/2017	7.50	22.3700	0.11	1.49
1.7630	JPMORGAN S/C EQUITY FD I	VSEIX	12/14/2017	100.36		56.9200	6/22/2018	108.32	61.4400	7.96	7.93
0.7570	JPMORGAN S/C EQUITY FD I	VSEIX	12/14/2017	43.08		56.9200	6/22/2018	46.51	61.4400	3.43	7.96
0.2140	JPMORGAN S/C EQUITY FD I	VSEIX	12/21/2017	12.23		57.2000	6/22/2018	13.16	61.4400	0.93	7.60
1.0400	PIMCO INCOME FD I	PIMIX	7/3/2017	12.85		12.3500	6/22/2018	12.46	11.9800	-0.39	-3.04
1.0520	PIMCO INCOME FD I	PIMIX	8/1/2017	13.01		12.3700	6/22/2018	12.60	11.9800	-0.41	-3.15
1.0470	PIMCO INCOME FD I	PIMIX	9/1/2017	13.02		12.4300	6/22/2018	12.54	11.9800	-0.48	-3.69
1.0510	PIMCO INCOME FD I	PIMIX	10/2/2017	13.07		12.4400	6/22/2018	12.59	11.9800	-0.48	-3.67
1.0600	PIMCO INCOME FD I	PIMIX	11/1/2017	13.20		12.4500	6/22/2018	12.70	11.9800	-0.50	-3.79
1.0620	PIMCO INCOME FD I	PIMIX	12/1/2017	13.20		12.4300	6/22/2018	12.72	11.9800	-0.48	-3.64
1.0770	PIMCO INCOME FD I	PIMIX	1/2/2018	13.37		12.4100	6/22/2018	12.90	11.9800	-0.47	-3.52
1.0920	PIMCO INCOME FD I	PIMIX	2/1/2018	13.45		12.3200	6/22/2018	13.09	11.9800	-0.36	-2.68
1.1010	PIMCO INCOME FD I	PIMIX	3/1/2018	13.44		12.2100	6/22/2018	13.19	11.9800	-0.25	-1.86
1.1010	PIMCO INCOME FD I	PIMIX	4/2/2018	13.44		12.2100	6/22/2018	13.20	11.9800	-0.24	-1.79

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Short - Continued											
1.1230	PIMCO INCOME FD I	PIMIX	5/1/2018 ^e	13.59 ⁿ		12.1000	6/22/2018	13.46	11.9800	-0.13	-0.96
1.1320	PIMCO INCOME FD I	PIMIX	6/1/2018 ^e	13.63 ⁿ		12.0400	6/22/2018	13.58	11.9800	-0.05	-0.37
216.7110	PIMCO COMM REAL RETURN I	PCRIX	8/29/2017 ^e	1,441.13 ⁿ		6.6500	6/22/2018	1,436.79	6.6300	-4.34	-0.30
5.3270	PIMCO COMM REAL RETURN I	PCRIX	9/15/2017 ^e	35.37 ⁿ		6.6400	6/22/2018	35.31	6.6300	-0.06	-0.17
3.9120	PIMCO COMM REAL RETURN I	PCRIX	12/7/2017 ^e	25.70 ⁿ		6.5700	6/22/2018	25.94	6.6300	0.24	0.93
5.0310	PIMCO COMM REAL RETURN I	PCRIX	12/28/2017 ^e	33.61 ⁿ		6.6800	6/22/2018	33.36	6.6300	-0.25	-0.74
4.4410	PIMCO COMM REAL RETURN I	PCRIX	6/15/2018 ^e	30.02 ⁿ		6.7600	6/22/2018	29.45	6.6300	-0.57	-1.90
1.8020	PIMCO DVRSFD INC INSTL	PDIIIX	7/3/2017 ^e	19.66 ⁿ		10.9100	6/22/2018	19.12	10.6100	-0.54	-2.75
1.5850	PIMCO DVRSFD INC INSTL	PDIIIX	8/1/2017 ^e	17.39 ⁿ		10.9700	6/22/2018	16.82	10.6100	-0.57	-3.28
1.6650	PIMCO DVRSFD INC INSTL	PDIIIX	9/1/2017 ^e	18.41 ⁿ		11.0600	6/22/2018	17.67	10.6100	-0.74	-4.02
1.6400	PIMCO DVRSFD INC INSTL	PDIIIX	10/2/2017 ^e	18.09 ⁿ		11.0300	6/22/2018	17.40	10.6100	-0.69	-3.81
1.6380	PIMCO DVRSFD INC INSTL	PDIIIX	11/1/2017 ^e	18.08 ⁿ		11.0400	6/22/2018	17.38	10.6100	-0.70	-3.87
1.6750	PIMCO DVRSFD INC INSTL	PDIIIX	12/1/2017 ^e	18.39 ⁿ		10.9800	6/22/2018	17.78	10.6100	-0.61	-3.32
12.4900	PIMCO DVRSFD INC INSTL	PDIIIX	12/7/2017 ^e	137.27 ⁿ		10.9900	6/22/2018	132.58	10.6100	-4.69	-3.42
2.0420	PIMCO DVRSFD INC INSTL	PDIIIX	12/28/2017 ^e	22.34 ⁿ		10.9400	6/22/2018	21.67	10.6100	-0.67	-3.00
1.7540	PIMCO DVRSFD INC INSTL	PDIIIX	1/2/2018 ^e	19.21 ⁿ		10.9500	6/22/2018	18.61	10.6100	-0.60	-3.12
1.6740	PIMCO DVRSFD INC INSTL	PDIIIX	2/1/2018 ^e	18.20 ⁿ		10.8700	6/22/2018	17.77	10.6100	-0.43	-2.36
1.6050	PIMCO DVRSFD INC INSTL	PDIIIX	3/1/2018 ^e	17.22 ⁿ		10.7300	6/22/2018	17.04	10.6100	-0.18	-1.05
1.5890	PIMCO DVRSFD INC INSTL	PDIIIX	4/2/2018 ^e	17.11 ⁿ		10.7700	6/22/2018	16.87	10.6100	-0.24	-1.40
1.5770	PIMCO DVRSFD INC INSTL	PDIIIX	5/1/2018 ^e	16.89 ⁿ		10.7100	6/22/2018	16.74	10.6100	-0.15	-0.89
1.7270	PIMCO DVRSFD INC INSTL	PDIIIX	6/1/2018 ^e	18.39 ⁿ		10.6500	6/22/2018	18.34	10.6100	-0.05	-0.27
1.6220	PRINCIPAL SMID DIV INSTS	PMDIX	9/19/2017 ^e	27.53 ⁿ		16.9700	6/22/2018	28.17	17.3700	0.64	2.32
10.6770	PRINCIPAL SMID DIV INSTS	PMDIX	12/21/2017 ^e	183.85 ⁿ		17.2200	6/22/2018	185.48	17.3700	1.63	0.89
4.4760	PRINCIPAL SMID DIV INSTS	PMDIX	12/21/2017 ^e	77.08 ⁿ		17.2200	6/22/2018	77.76	17.3700	0.68	0.88
1.5750	PRINCIPAL SMID DIV INSTS	PMDIX	12/28/2017 ^e	27.06 ⁿ		17.1800	6/22/2018	27.36	17.3700	0.30	1.11
1.2840	PRINCIPAL SMID DIV INSTS	PMDIX	3/20/2018 ^e	21.22 ⁿ		16.7900	6/22/2018	21.96	17.3700	0.74	3.49
0.9520	PRINCIPAL SMID DIV INSTS	PMDIX	6/20/2018 ^e	16.51 ⁿ		17.3500	6/22/2018	16.54	17.3700	0.03	0.18
				8,698.32				8,541.05			
										-157.27	

Long											
141.9230	INVESCO GLBL REAL EST Y	ARGYX	8/17/2015 ^e	1,853.51 ⁿ		13.0600	6/22/2018	1,907.44	13.4400	53.93	2.91
0.5500	INVESCO GLBL REAL EST Y	ARGYX	9/18/2015 ^e	6.77 ⁿ		12.3100	6/22/2018	7.39	13.4400	0.62	9.16
1.4360	INVESCO GLBL REAL EST Y	ARGYX	12/14/2015 ^e	17.51 ⁿ		12.1900	6/22/2018	19.30	13.4400	1.79	10.22
0.5300	INVESCO GLBL REAL EST Y	ARGYX	3/18/2016 ^e	6.84 ⁿ		12.9100	6/22/2018	7.12	13.4400	0.28	4.09
0.6570	INVESCO GLBL REAL EST Y	ARGYX	6/17/2016 ^e	8.47 ⁿ		12.9000	6/22/2018	8.83	13.4400	0.36	4.25
0.6280	INVESCO GLBL REAL EST Y	ARGYX	9/16/2016 ^e	8.33 ⁿ		13.2600	6/22/2018	8.44	13.4400	0.11	1.32

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01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt.	Close Price	Realized	% Gain or Loss
Long - Continued											
4.0510	INVESCO GLBL REAL EST Y	ARGVX	12/14/2016 ^o	50.07 ^u		12.3600	6/22/2018	54.44	13.4400	4.37	8.73
0.5130	INVESCO GLBL REAL EST Y	ARGVX	3/17/2017 ^o	6.47 ^u		12.6200	6/22/2018	6.89	13.4400	0.42	6.49
0.4910	INVESCO GLBL REAL EST Y	ARGVX	6/16/2017 ^o	6.49 ^u		13.2100	6/22/2018	6.59	13.4400	0.10	1.54
93.8090	DEUTSCHE ENH CMDTY INSTL	SKIRX	12/16/2015 ^o	1,067.59 ^u		11.3800	8/29/2017	1,053.47	11.2300	-14.08	-1.32
0.0450	DEUTSCHE ENH CMDTY INSTL	SKIRX	3/24/2016 ^o	0.53 ^u		11.6700	8/29/2017	0.50	11.2300	-0.03	-5.66
0.1000	DEUTSCHE ENH CMDTY INSTL	SKIRX	6/24/2016 ^o	1.28 ^u		12.7500	8/29/2017	1.12	11.2300	-0.16	-12.50
200.1010	BLACKROCK CORE BOND I	BFMCX	12/11/2012 ^o	1,958.99 ^u		9.7900	6/22/2018	1,860.93	9.3000	-98.06	-5.01
0.5380	BLACKROCK CORE BOND I	BFMCX	1/22/2013 ^o	5.27 ^u		9.8000	6/22/2018	5.00	9.3000	-0.27	-5.12
0.8110	BLACKROCK CORE BOND I	BFMCX	2/1/2013 ^o	7.91 ^u		9.7500	6/22/2018	7.54	9.3000	-0.37	-4.68
0.7380	BLACKROCK CORE BOND I	BFMCX	3/1/2013 ^o	7.21 ^u		9.7700	6/22/2018	6.86	9.3000	-0.35	-4.85
0.6750	BLACKROCK CORE BOND I	BFMCX	4/1/2013 ^o	6.59 ^u		9.7600	6/22/2018	6.27	9.3000	-0.32	-4.86
0.5200	BLACKROCK CORE BOND I	BFMCX	5/1/2013 ^o	5.11 ^u		9.8300	6/22/2018	4.83	9.3000	-0.28	-5.48
0.5210	BLACKROCK CORE BOND I	BFMCX	6/3/2013 ^o	5.03 ^u		9.6600	6/22/2018	4.84	9.3000	-0.19	-3.78
0.5100	BLACKROCK CORE BOND I	BFMCX	7/1/2013 ^o	4.82 ^u		9.4500	6/22/2018	4.74	9.3000	-0.08	-1.66
0.5230	BLACKROCK CORE BOND I	BFMCX	8/1/2013 ^o	4.93 ^u		9.4300	6/22/2018	4.86	9.3000	-0.07	-1.42
0.4740	BLACKROCK CORE BOND I	BFMCX	9/3/2013 ^o	4.44 ^u		9.3700	6/22/2018	4.40	9.3000	-0.04	-0.90
0.4720	BLACKROCK CORE BOND I	BFMCX	10/1/2013 ^o	4.46 ^u		9.4500	6/22/2018	4.36	9.3000	-0.08	-1.79
0.4860	BLACKROCK CORE BOND I	BFMCX	11/1/2013 ^o	4.62 ^u		9.5100	6/22/2018	4.51	9.3000	-0.11	-2.38
0.5200	BLACKROCK CORE BOND I	BFMCX	12/2/2013 ^o	4.91 ^u		9.4500	6/22/2018	4.83	9.3000	-0.08	-1.63
0.5480	BLACKROCK CORE BOND I	BFMCX	1/2/2014 ^o	5.15 ^u		9.3900	6/22/2018	5.09	9.3000	-0.06	-1.17
0.5850	BLACKROCK CORE BOND I	BFMCX	2/3/2014 ^o	5.57 ^u		9.5200	6/22/2018	5.44	9.3000	-0.13	-2.33
0.5750	BLACKROCK CORE BOND I	BFMCX	3/3/2014 ^o	5.49 ^u		9.5500	6/22/2018	5.34	9.3000	-0.15	-2.73
0.6010	BLACKROCK CORE BOND I	BFMCX	4/1/2014 ^o	5.73 ^u		9.5300	6/22/2018	5.58	9.3000	-0.15	-2.62
0.6060	BLACKROCK CORE BOND I	BFMCX	5/1/2014 ^o	5.82 ^u		9.6000	6/22/2018	5.63	9.3000	-0.19	-3.26
0.5920	BLACKROCK CORE BOND I	BFMCX	6/2/2014 ^o	5.73 ^u		9.6800	6/22/2018	5.50	9.3000	-0.23	-4.01
0.5530	BLACKROCK CORE BOND I	BFMCX	7/1/2014 ^o	5.35 ^u		9.6700	6/22/2018	5.14	9.3000	-0.21	-3.93
109.5830	BLACKROCK CORE BOND I	BFMCX	7/14/2014 ^o	1,056.38 ^u		9.6400	6/22/2018	1,019.13	9.3000	-37.25	-3.53
0.6790	BLACKROCK CORE BOND I	BFMCX	8/1/2014 ^o	6.54 ^u		9.6300	6/22/2018	6.31	9.3000	-0.23	-3.52
60.1920	BLACKROCK CORE BOND I	BFMCX	8/19/2014 ^o	582.06 ^u		9.6700	6/22/2018	559.79	9.3000	-22.27	-3.83
265.0520	BLACKROCK CORE BOND I	BFMCX	8/28/2014 ^o	2,571.00 ^u		9.7000	6/22/2018	2,465.03	9.3000	-105.97	-4.12
0.8020	BLACKROCK CORE BOND I	BFMCX	9/2/2014 ^o	7.78 ^u		9.7000	6/22/2018	7.45	9.3000	-0.33	-4.24
1.4180	BLACKROCK CORE BOND I	BFMCX	10/1/2014 ^o	13.66 ^u		9.6300	6/22/2018	13.18	9.3000	-0.48	-3.51
111.3490	BLACKROCK CORE BOND I	BFMCX	10/3/2014 ^o	1,074.52 ^u		9.6500	6/22/2018	1,035.57	9.3000	-38.95	-3.62
1.6730	BLACKROCK CORE BOND I	BFMCX	11/3/2014 ^o	16.19 ^u		9.6800	6/22/2018	15.55	9.3000	-0.64	-3.95
1.7290	BLACKROCK CORE BOND I	BFMCX	12/1/2014 ^o	16.82 ^u		9.7300	6/22/2018	16.08	9.3000	-0.74	-4.40
1.7160	BLACKROCK CORE BOND I	BFMCX	1/22/2015 ^o	18.68 ^u		9.7200	6/22/2018	15.95	9.3000	-0.73	-4.38

Schedule of Realized Gains and Losses

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WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long - Continued											
1.6560	BLACKROCK CORE BOND I	BFMCX	2/2/2015 ^F	16.43 ^F		9.9200	6/22/2018	15.40	9.3000	-1.03	-6.27
1.5230	BLACKROCK CORE BOND I	BFMCX	3/3/2015 ^F	14.97 ^F		9.8300	6/22/2018	14.16	9.3000	-0.81	-5.41
1.3550	BLACKROCK CORE BOND I	BFMCX	4/1/2015 ^F	13.35 ^F		9.8500	6/22/2018	12.60	9.3000	-0.75	-5.62
1.4890	BLACKROCK CORE BOND I	BFMCX	5/1/2015 ^F	14.58 ^F		9.7900	6/22/2018	13.84	9.3000	-0.74	-5.08
1.5050	BLACKROCK CORE BOND I	BFMCX	6/1/2015 ^F	14.67 ^F		9.7500	6/22/2018	13.99	9.3000	-0.68	-4.64
1.5790	BLACKROCK CORE BOND I	BFMCX	7/1/2015 ^F	15.19 ^F		9.6200	6/22/2018	14.68	9.3000	-0.51	-3.36
1.6010	BLACKROCK CORE BOND I	BFMCX	8/3/2015 ^F	15.45 ^F		9.6500	6/22/2018	14.89	9.3000	-0.56	-3.62
1.5010	BLACKROCK CORE BOND I	BFMCX	9/1/2015 ^F	14.42 ^F		9.6100	6/22/2018	13.96	9.3000	-0.46	-3.19
1.4470	BLACKROCK CORE BOND I	BFMCX	10/1/2015 ^F	13.96 ^F		9.6500	6/22/2018	13.45	9.3000	-0.51	-3.65
1.5120	BLACKROCK CORE BOND I	BFMCX	11/2/2015 ^F	14.58 ^F		9.6400	6/22/2018	14.06	9.3000	-0.52	-3.57
1.5870	BLACKROCK CORE BOND I	BFMCX	12/1/2015 ^F	15.22 ^F		9.5900	6/22/2018	14.76	9.3000	-0.46	-3.02
1.7340	BLACKROCK CORE BOND I	BFMCX	1/4/2016 ^F	16.51 ^F		9.5200	6/22/2018	11.88	9.3000	-0.29	-2.38
1.6140	BLACKROCK CORE BOND I	BFMCX	2/1/2016 ^F	15.53 ^F		9.6200	6/22/2018	15.01	9.3000	-0.52	-3.35
1.5750	BLACKROCK CORE BOND I	BFMCX	3/1/2016 ^F	15.23 ^F		9.6700	6/22/2018	14.64	9.3000	-0.59	-3.87
1.5640	BLACKROCK CORE BOND I	BFMCX	4/1/2016 ^F	15.22 ^F		9.7300	6/22/2018	14.54	9.3000	-0.68	-4.47
1.5930	BLACKROCK CORE BOND I	BFMCX	5/2/2016 ^F	15.55 ^F		9.7600	6/22/2018	14.81	9.3000	-0.74	-4.76
1.5960	BLACKROCK CORE BOND I	BFMCX	6/1/2016 ^F	15.53 ^F		9.7300	6/22/2018	14.84	9.3000	-0.69	-4.44
1.4980	BLACKROCK CORE BOND I	BFMCX	7/1/2016 ^F	14.80 ^F		9.8800	6/22/2018	13.93	9.3000	-0.87	-5.88
1.5180	BLACKROCK CORE BOND I	BFMCX	8/1/2016 ^F	15.06 ^F		9.9200	6/22/2018	14.11	9.3000	-0.95	-6.31
1.5530	BLACKROCK CORE BOND I	BFMCX	9/1/2016 ^F	15.34 ^F		9.8800	6/22/2018	14.44	9.3000	-0.90	-5.87
1.25680	BLACKROCK CORE BOND I	BFMCX	9/7/2016 ^F	124.30 ^F		9.8900	6/22/2018	116.89	9.3000	-7.41	-5.96
1.4390	BLACKROCK CORE BOND I	BFMCX	10/3/2016 ^F	14.19 ^F		9.8600	6/22/2018	13.38	9.3000	-0.81	-5.71
1.6120	BLACKROCK CORE BOND I	BFMCX	11/1/2016 ^F	15.75 ^F		9.7700	6/22/2018	14.99	9.3000	-0.76	-4.83
1.6840	BLACKROCK CORE BOND I	BFMCX	12/1/2016 ^F	16.08 ^F		9.5500	6/22/2018	15.66	9.3000	-0.42	-2.61
1.7720	BLACKROCK CORE BOND I	BFMCX	1/3/2017 ^F	16.92 ^F		9.5500	6/22/2018	16.48	9.3000	-0.44	-2.60
1.7600	BLACKROCK CORE BOND I	BFMCX	2/1/2017 ^F	16.79 ^F		9.5400	6/22/2018	16.37	9.3000	-0.42	-2.50
1.7860	BLACKROCK CORE BOND I	BFMCX	3/1/2017 ^F	17.13 ^F		9.5900	6/22/2018	16.61	9.3000	-0.52	-3.04
1.8950	BLACKROCK CORE BOND I	BFMCX	4/3/2017 ^F	18.12 ^F		9.5600	6/22/2018	17.62	9.3000	-0.50	-2.76
1.8090	BLACKROCK CORE BOND I	BFMCX	5/1/2017 ^F	17.38 ^F		9.6100	6/22/2018	16.82	9.3000	-0.56	-3.22
1.8250	BLACKROCK CORE BOND I	BFMCX	6/1/2017 ^F	17.63 ^F		9.6600	6/22/2018	16.97	9.3000	-0.66	-3.74
6.2080	JOHN HANCOCK GLB SH I	JGYIX	3/27/2013 ^F	66.37 ^F		10.6900	12/7/2017	73.13	11.7800	6.76	10.19
86.1560	JOHN HANCOCK GLB SH I	JGYIX	3/27/2013 ^F	921.00 ^F		10.6900	6/22/2018	967.53	11.2300	46.53	5.05
1.2510	JOHN HANCOCK GLB SH I	JGYIX	6/26/2013 ^F	13.26 ^F		10.8000	6/22/2018	14.04	11.2300	0.78	5.88
51.6490	JOHN HANCOCK GLB SH I	JGYIX	9/11/2013 ^F	584.15 ^F		11.3100	6/22/2018	580.02	11.2300	-4.13	-0.71
0.8900	JOHN HANCOCK GLB SH I	JGYIX	9/26/2013 ^F	10.16 ^F		11.4100	6/22/2018	9.99	11.2300	-0.17	-1.67

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Long - Continued											
5.5610	JOHN HANCOCK GLB SH I	JGVI	12/17/2013 ^e	62.40 ⁿ		11.2200	6/22/2018	62.45	11.2300	0.05	0.08
0.9130	JOHN HANCOCK GLB SH I	JGVI	12/17/2013 ^e	10.24 ⁿ		11.2200	6/22/2018	10.25	11.2300	0.01	0.10
0.7640	JOHN HANCOCK GLB SH I	JGVI	3/26/2014 ^e	8.99 ⁿ		11.7600	6/22/2018	8.57	11.2300	-0.42	-4.67
1.9150	JOHN HANCOCK GLB SH I	JGVI	6/26/2014 ^e	23.69 ⁿ		12.3700	6/22/2018	21.50	11.2300	-2.19	-9.24
58.4700	JOHN HANCOCK GLB SH I	JGVI	7/14/2014 ^e	723.28 ⁿ		12.3700	6/22/2018	656.62	11.2300	-66.66	-9.22
43.8220	JOHN HANCOCK GLB SH I	JGVI	8/19/2014 ^e	534.63 ⁿ		12.2000	6/22/2018	492.13	11.2300	-42.50	-7.95
1.5820	JOHN HANCOCK GLB SH I	JGVI	9/26/2014 ^e	18.87 ⁿ		11.9300	6/22/2018	17.76	11.2300	-1.11	-5.88
2.0420	JOHN HANCOCK GLB SH I	JGVI	12/10/2014 ^e	24.52 ⁿ		12.0100	6/22/2018	22.93	11.2300	-1.59	-6.48
10.0890	JOHN HANCOCK GLB SH I	JGVI	12/17/2014 ^e	112.09 ⁿ		11.1100	6/22/2018	113.30	11.2300	1.21	1.08
2.2010	JOHN HANCOCK GLB SH I	JGVI	12/17/2014 ^e	24.45 ⁿ		11.1100	6/22/2018	24.71	11.2300	0.26	1.06
1.4700	JOHN HANCOCK GLB SH I	JGVI	3/26/2015 ^e	16.87 ⁿ		11.4800	6/22/2018	16.50	11.2300	-0.37	-2.19
3.3270	JOHN HANCOCK GLB SH I	JGVI	6/26/2015 ^e	37.66 ⁿ		11.3200	6/22/2018	37.36	11.2300	-0.30	-0.80
4.0200	JOHN HANCOCK GLB SH I	JGVI	8/17/2015 ^e	45.06 ⁿ		11.2100	6/22/2018	45.14	11.2300	0.08	0.18
2.3670	JOHN HANCOCK GLB SH I	JGVI	9/28/2015 ^e	24.50 ⁿ		10.3500	6/22/2018	26.58	11.2300	2.08	8.49
13.5930	JOHN HANCOCK GLB SH I	JGVI	12/17/2015 ^e	136.88 ⁿ		10.0700	6/22/2018	152.66	11.2300	15.78	11.53
2.0950	JOHN HANCOCK GLB SH I	JGVI	12/17/2015 ^e	21.10 ⁿ		10.0700	6/22/2018	23.52	11.2300	2.42	11.47
2.4680	JOHN HANCOCK GLB SH I	JGVI	3/29/2016 ^e	25.67 ⁿ		10.4000	6/22/2018	27.72	11.2300	2.05	7.99
3.8900	JOHN HANCOCK GLB SH I	JGVI	6/28/2016 ^e	39.33 ⁿ		10.1100	6/22/2018	43.69	11.2300	4.36	11.09
4.5870	JOHN HANCOCK GLB SH I	JGVI	9/27/2016 ^e	49.59 ⁿ		10.8100	6/22/2018	51.52	11.2300	1.93	3.89
1.6090	JOHN HANCOCK GLB SH I	JGVI	12/19/2016 ^e	16.94 ⁿ		10.5300	6/22/2018	18.07	11.2300	1.13	6.67
1.8730	JOHN HANCOCK GLB SH I	JGVI	3/28/2017 ^e	19.40 ⁿ		10.3600	6/22/2018	21.03	11.2300	1.63	8.40
1.8340	JOHN HANCOCK GLB SH I	JGVI	12/11/2012 ^e	20.10 ⁿ		10.9600	12/7/2017	20.60	11.2300	0.50	2.49
13.0300	MFS VALUE I	MEIX	12/11/2012 ^e	331.75 ⁿ		25.4600	6/22/2018	543.10	41.6800	211.35	63.71
17.9190	MFS VALUE I	MEIX	12/11/2012 ^e	456.21 ⁿ		25.4600	6/22/2018	708.15	39.5200	251.94	55.22
6.0400	MFS VALUE I	MEIX	3/27/2013 ^e	170.69 ⁿ		28.2600	6/22/2018	238.70	39.5200	68.01	39.84
0.2650	MFS VALUE I	MEIX	3/28/2013 ^e	7.48 ⁿ		28.2600	6/22/2018	10.47	39.5200	2.99	39.97
0.0090	MFS VALUE I	MEIX	3/28/2013 ^e	0.25 ⁿ		28.2600	6/22/2018	0.35	39.5200	0.10	40.00
0.3560	MFS VALUE I	MEIX	6/27/2013 ^e	10.45 ⁿ		29.3900	6/22/2018	14.06	39.5200	3.61	34.55
0.2510	MFS VALUE I	MEIX	9/26/2013 ^e	7.80 ⁿ		31.0800	6/22/2018	9.91	39.5200	2.11	27.05
0.8790	MFS VALUE I	MEIX	12/11/2013 ^e	28.42 ⁿ		32.3400	6/22/2018	34.73	39.5200	6.31	22.20
0.3340	MFS VALUE I	MEIX	12/11/2013 ^e	10.79 ⁿ		32.3400	6/22/2018	13.19	39.5200	2.40	22.24
0.2770	MFS VALUE I	MEIX	12/11/2013 ^e	8.96 ⁿ		32.3400	6/22/2018	10.94	39.5200	1.98	22.10
0.5000	MFS VALUE I	MEIX	3/28/2014 ^e	16.52 ⁿ		33.0400	6/22/2018	19.76	39.5200	3.24	19.61
0.3200	MFS VALUE I	MEIX	6/27/2014 ^e	11.04 ⁿ		34.5000	6/22/2018	12.64	39.5200	1.60	14.49
24.5980	MFS VALUE I	MEIX	7/14/2014 ^e	856.00 ⁿ		34.8000	6/22/2018	972.12	39.5200	116.12	13.57
18.0340	MFS VALUE I	MEIX	8/19/2014 ^e	622.91 ⁿ		34.5400	6/22/2018	712.71	39.5200	89.80	14.42

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Long - Continued											
17.3360	MFS VALUE I	MEIX	8/28/2014 ^e	603.12 ⁿ		34.7900	6/22/2018	685.12	39.5200	82.00	13.60
0.7070	MFS VALUE I	MEIX	9/26/2014 ^e	24.21 ⁿ		34.2200	6/22/2018	27.94	39.5200	3.73	15.41
3.0360	MFS VALUE I	MEIX	12/12/2014 ^e	105.54 ⁿ		34.7600	6/22/2018	119.98	39.5200	14.44	13.68
0.6680	MFS VALUE I	MEIX	12/12/2014 ^e	22.88 ⁿ		34.7600	6/22/2018	26.00	39.5200	3.12	13.64
0.3380	MFS VALUE I	MEIX	12/12/2014 ^e	11.74 ⁿ		34.7600	6/22/2018	13.35	39.5200	1.61	13.71
0.8410	MFS VALUE I	MEIX	3/27/2015 ^e	29.34 ⁿ		34.8800	6/22/2018	33.23	39.5200	3.89	13.26
0.6210	MFS VALUE I	MEIX	6/26/2015 ^e	22.21 ⁿ		35.7900	6/22/2018	24.54	39.5200	2.33	10.49
0.5080	MFS VALUE I	MEIX	9/29/2015 ^e	16.22 ⁿ		31.9000	6/22/2018	20.07	39.5200	3.85	23.74
3.8380	MFS VALUE I	MEIX	12/11/2015 ^e	127.31 ⁿ		33.1700	6/22/2018	151.68	39.5200	24.37	19.14
0.6040	MFS VALUE I	MEIX	12/11/2015 ^e	20.02 ⁿ		33.1700	6/22/2018	23.87	39.5200	3.85	19.23
0.1410	MFS VALUE I	MEIX	12/11/2015 ^e	4.67 ⁿ		33.1700	6/22/2018	5.57	39.5200	0.90	19.27
0.4320	MFS VALUE I	MEIX	3/30/2016 ^e	14.47 ⁿ		33.4800	6/22/2018	17.07	39.5200	2.60	17.97
0.5720	MFS VALUE I	MEIX	6/29/2016 ^e	19.28 ⁿ		33.6800	6/22/2018	22.60	39.5200	3.32	17.22
54.5580	MFS VALUE I	MEIX	9/7/2016 ^e	1,977.18 ⁿ		36.2400	6/22/2018	2,156.20	39.5200	179.02	9.05
0.6140	MFS VALUE I	MEIX	9/28/2016 ^e	21.73 ⁿ		35.3700	6/22/2018	24.26	39.5200	2.53	11.64
2.8330	MFS VALUE I	MEIX	12/14/2016 ^e	104.27 ⁿ		36.8000	6/22/2018	111.96	39.5200	7.69	7.38
1.3500	MFS VALUE I	MEIX	12/14/2016 ^e	49.68 ⁿ		36.8000	6/22/2018	53.35	39.5200	3.67	7.39
0.6550	MFS VALUE I	MEIX	3/30/2017 ^e	24.81 ⁿ		37.8600	6/22/2018	25.88	39.5200	1.07	4.31
96.4130	MAINSTAY MACKAY HY BD I	MHYX	3/27/2013 ^e	597.75 ⁿ		6.2000	6/22/2018	542.80	5.6300	-54.95	-9.19
0.6250	MAINSTAY MACKAY HY BD I	MHYX	4/1/2013 ^e	3.85 ⁿ		6.1600	6/22/2018	3.51	5.6300	-0.34	-8.83
0.6240	MAINSTAY MACKAY HY BD I	MHYX	5/1/2013 ^e	3.88 ⁿ		6.2200	6/22/2018	3.51	5.6300	-0.37	-9.54
0.6330	MAINSTAY MACKAY HY BD I	MHYX	6/3/2013 ^e	3.90 ⁿ		6.1600	6/22/2018	3.56	5.6300	-0.34	-8.72
0.6570	MAINSTAY MACKAY HY BD I	MHYX	6/28/2013 ^e	3.92 ⁿ		5.9700	6/22/2018	3.69	5.6300	-0.23	-5.87
0.6540	MAINSTAY MACKAY HY BD I	MHYX	8/1/2013 ^e	3.95 ⁿ		6.0400	6/22/2018	3.68	5.6300	-0.27	-6.84
0.6640	MAINSTAY MACKAY HY BD I	MHYX	9/3/2013 ^e	3.97 ⁿ		5.9800	6/22/2018	3.73	5.6300	-0.24	-6.05
0.6650	MAINSTAY MACKAY HY BD I	MHYX	9/30/2013 ^e	3.99 ⁿ		6.0000	6/22/2018	3.74	5.6300	-0.25	-6.27
0.6610	MAINSTAY MACKAY HY BD I	MHYX	11/1/2013 ^e	4.02 ⁿ		6.0800	6/22/2018	3.72	5.6300	-0.30	-7.46
0.6670	MAINSTAY MACKAY HY BD I	MHYX	12/2/2013 ^e	4.04 ⁿ		6.0600	6/22/2018	3.75	5.6300	-0.29	-7.18
0.6740	MAINSTAY MACKAY HY BD I	MHYX	12/17/2013 ^e	4.07 ⁿ		6.0400	6/22/2018	3.79	5.6300	-0.28	-6.88
0.6330	MAINSTAY MACKAY HY BD I	MHYX	2/3/2014 ^e	3.84 ⁿ		6.0700	6/22/2018	3.66	5.6300	-0.28	-7.29
0.6300	MAINSTAY MACKAY HY BD I	MHYX	3/3/2014 ^e	3.86 ⁿ		6.1300	6/22/2018	3.54	5.6300	-0.32	-8.29
0.6370	MAINSTAY MACKAY HY BD I	MHYX	3/31/2014 ^e	3.89 ⁿ		6.1100	6/22/2018	3.58	5.6300	-0.31	-7.97
0.6390	MAINSTAY MACKAY HY BD I	MHYX	5/1/2014 ^e	3.91 ⁿ		6.1200	6/22/2018	3.59	5.6300	-0.32	-8.18
0.6410	MAINSTAY MACKAY HY BD I	MHYX	6/2/2014 ^e	3.93 ⁿ		6.1300	6/22/2018	3.60	5.6300	-0.33	-8.40
0.6430	MAINSTAY MACKAY HY BD I	MHYX	6/30/2014 ^e	3.95 ⁿ		6.1400	6/22/2018	3.62	5.6300	-0.33	-8.35
55.4430	MAINSTAY MACKAY HY BD I	MHYX	7/14/2014 ^e	340.42 ⁿ		6.1400	6/22/2018	312.15	5.6300	-28.27	-8.30

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss
Long - Continued										
0.9700	MAINSTAY MACKAY HY BD I	MHYX	8/1/2014 ^e	5.86 ⁿ		6.0400	6/22/2018	5.46	5.6300	-0.40 -6.83
33.4080	MAINSTAY MACKAY HY BD I	MHYX	8/19/2014 ^e	203.12 ⁿ		6.0800	6/22/2018	188.09	5.6300	-15.03 -7.40
1.1600	MAINSTAY MACKAY HY BD I	MHYX	9/2/2014 ^e	7.04 ⁿ		6.0700	6/22/2018	6.53	5.6300	-0.51 -7.24
1.1980	MAINSTAY MACKAY HY BD I	MHYX	9/30/2014 ^e	7.08 ⁿ		5.9100	6/22/2018	6.74	5.6300	-0.34 -4.80
1.1990	MAINSTAY MACKAY HY BD I	MHYX	11/3/2014 ^e	7.12 ⁿ		5.9400	6/22/2018	6.75	5.6300	-0.37 -5.20
1.2200	MAINSTAY MACKAY HY BD I	MHYX	12/1/2014 ^e	7.16 ⁿ		5.8700	6/22/2018	6.86	5.6300	-0.30 -4.19
1.2830	MAINSTAY MACKAY HY BD I	MHYX	12/17/2014 ^e	7.20 ⁿ		5.6100	6/22/2018	7.22	5.6300	0.02 0.28
1.1430	MAINSTAY MACKAY HY BD I	MHYX	2/2/2015 ^e	6.56 ⁿ		5.7400	6/22/2018	6.43	5.6300	-0.13 -1.98
1.1300	MAINSTAY MACKAY HY BD I	MHYX	3/3/2015 ^e	6.60 ⁿ		5.8400	6/22/2018	6.36	5.6300	-0.24 -3.64
1.1450	MAINSTAY MACKAY HY BD I	MHYX	4/1/2015 ^e	6.63 ⁿ		5.7900	6/22/2018	6.44	5.6300	-0.19 -2.87
1.1420	MAINSTAY MACKAY HY BD I	MHYX	5/1/2015 ^e	6.67 ⁿ		5.8400	6/22/2018	6.42	5.6300	-0.25 -3.75
1.1470	MAINSTAY MACKAY HY BD I	MHYX	6/1/2015 ^e	6.70 ⁿ		5.8400	6/22/2018	6.45	5.6300	-0.25 -3.73
1.1700	MAINSTAY MACKAY HY BD I	MHYX	7/1/2015 ^e	6.74 ⁿ		5.7600	6/22/2018	6.58	5.6300	-0.16 -2.37
1.1850	MAINSTAY MACKAY HY BD I	MHYX	8/3/2015 ^e	6.78 ⁿ		5.7200	6/22/2018	6.67	5.6300	-0.11 -1.62
224.9140	MAINSTAY MACKAY HY BD I	MHYX	8/17/2015 ^e	1,275.26 ⁿ		5.6700	6/22/2018	1,266.35	5.6300	-8.91 -0.70
2.4530	MAINSTAY MACKAY HY BD I	MHYX	9/1/2015 ^e	13.76 ⁿ		5.6100	6/22/2018	13.81	5.6300	0.05 0.36
2.5210	MAINSTAY MACKAY HY BD I	MHYX	10/1/2015 ^e	13.84 ⁿ		5.4900	6/22/2018	14.19	5.6300	0.35 2.53
2.4950	MAINSTAY MACKAY HY BD I	MHYX	11/2/2015 ^e	13.92 ⁿ		5.5800	6/22/2018	14.04	5.6300	0.12 0.86
2.5580	MAINSTAY MACKAY HY BD I	MHYX	12/1/2015 ^e	13.99 ⁿ		5.4700	6/22/2018	14.40	5.6300	0.41 2.93
2.6550	MAINSTAY MACKAY HY BD I	MHYX	12/17/2015 ^e	14.07 ⁿ		5.3000	6/22/2018	14.94	5.6300	0.87 6.18
2.7320	MAINSTAY MACKAY HY BD I	MHYX	2/1/2016 ^e	14.15 ⁿ		5.1800	6/22/2018	15.38	5.6300	1.23 8.69
2.7490	MAINSTAY MACKAY HY BD I	MHYX	3/1/2016 ^e	14.24 ⁿ		5.1800	6/22/2018	15.47	5.6300	1.23 8.64
2.6820	MAINSTAY MACKAY HY BD I	MHYX	4/1/2016 ^e	14.32 ⁿ		5.3400	6/22/2018	15.10	5.6300	0.78 5.45
2.6200	MAINSTAY MACKAY HY BD I	MHYX	5/2/2016 ^e	14.41 ⁿ		5.5000	6/22/2018	14.75	5.6300	0.34 2.36
2.6300	MAINSTAY MACKAY HY BD I	MHYX	6/1/2016 ^e	14.49 ⁿ		5.5100	6/22/2018	14.80	5.6300	0.31 2.14
2.6250	MAINSTAY MACKAY HY BD I	MHYX	7/1/2016 ^e	14.57 ⁿ		5.5500	6/22/2018	14.78	5.6300	0.21 1.44
2.5930	MAINSTAY MACKAY HY BD I	MHYX	8/1/2016 ^e	14.65 ⁿ		5.6500	6/22/2018	14.60	5.6300	-0.05 -0.34
2.5710	MAINSTAY MACKAY HY BD I	MHYX	9/1/2016 ^e	14.73 ⁿ		5.7300	6/22/2018	14.47	5.6300	-0.26 -1.77
2.5190	MAINSTAY MACKAY HY BD I	MHYX	10/3/2016 ^e	14.51 ⁿ		5.7600	6/22/2018	14.18	5.6300	-0.33 -2.27
2.5370	MAINSTAY MACKAY HY BD I	MHYX	11/1/2016 ^e	14.59 ⁿ		5.7500	6/22/2018	14.28	5.6300	-0.31 -2.12
2.5760	MAINSTAY MACKAY HY BD I	MHYX	12/1/2016 ^e	14.66 ⁿ		5.6900	6/22/2018	14.50	5.6300	-0.16 -1.09
2.5770	MAINSTAY MACKAY HY BD I	MHYX	12/16/2016 ^e	14.74 ⁿ		5.7200	6/22/2018	14.51	5.6300	-0.23 -1.56
2.5600	MAINSTAY MACKAY HY BD I	MHYX	2/1/2017 ^e	14.82 ⁿ		5.7900	6/22/2018	14.41	5.6300	-0.41 -2.77
2.5600	MAINSTAY MACKAY HY BD I	MHYX	3/1/2017 ^e	14.90 ⁿ		5.8200	6/22/2018	14.41	5.6300	-0.49 -3.29
2.5960	MAINSTAY MACKAY HY BD I	MHYX	4/3/2017 ^e	14.98 ⁿ		5.7700	6/22/2018	14.62	5.6300	-0.36 -2.40
2.5970	MAINSTAY MACKAY HY BD I	MHYX	5/1/2017 ^e	15.06 ⁿ		5.8000	6/22/2018	14.62	5.6300	-0.44 -2.92

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

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WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Long - Continued

2.6060	MAINSTAY MACKAY HY BD I	MHTX	6/1/2017 ²	15.14 ⁿ		5.8100	6/22/2018	14.67	5.6300	-0.47	-3.10
321.3750	METROPOLITAN WEST FDS I	MWTTX	12/11/2012 ²	3,573.69 ⁿ		11.1200	6/22/2018	3,329.44	10.3600	-244.25	-6.83
5.0820	METROPOLITAN WEST FDS I	MWTTX	12/17/2012 ²	55.39 ⁿ		10.9000	6/22/2018	52.64	10.3600	-2.75	-4.96
2.2420	METROPOLITAN WEST FDS I	MWTTX	12/17/2012 ²	24.44 ⁿ		10.9000	6/22/2018	23.22	10.3600	-1.22	-4.99
0.6870	METROPOLITAN WEST FDS I	MWTTX	1/2/2013 ²	7.48 ⁿ		10.8900	6/22/2018	7.11	10.3600	-0.37	-4.95
0.9220	METROPOLITAN WEST FDS I	MWTTX	2/1/2013 ²	10.03 ⁿ		10.8800	6/22/2018	9.55	10.3600	-0.48	-4.79
0.9430	METROPOLITAN WEST FDS I	MWTTX	3/1/2013 ²	10.29 ⁿ		10.9100	6/22/2018	9.76	10.3600	-0.53	-5.15
0.9370	METROPOLITAN WEST FDS I	MWTTX	4/1/2013 ²	10.23 ⁿ		10.9200	6/22/2018	9.70	10.3600	-0.53	-5.18
1.1100	METROPOLITAN WEST FDS I	MWTTX	5/1/2013 ²	12.23 ⁿ		11.0200	6/22/2018	11.49	10.3600	-0.74	-6.05
0.9360	METROPOLITAN WEST FDS I	MWTTX	6/3/2013 ²	10.15 ⁿ		10.8400	6/22/2018	9.69	10.3600	-0.46	-4.53
0.7820	METROPOLITAN WEST FDS I	MWTTX	7/1/2013 ²	8.27 ⁿ		10.5800	6/22/2018	8.10	10.3600	-0.17	-2.06
0.9300	METROPOLITAN WEST FDS I	MWTTX	8/1/2013 ²	9.86 ⁿ		10.6000	6/22/2018	9.63	10.3600	-0.23	-2.33
0.9430	METROPOLITAN WEST FDS I	MWTTX	9/3/2013 ²	9.92 ⁿ		10.5200	6/22/2018	9.76	10.3600	-0.16	-1.61
7.9900	METROPOLITAN WEST FDS I	MWTTX	9/11/2013 ²	83.66 ⁿ		10.4700	6/22/2018	82.77	10.3600	-0.89	-1.06
0.9420	METROPOLITAN WEST FDS I	MWTTX	10/1/2013 ²	10.00 ⁿ		10.6200	6/22/2018	9.75	10.3600	-0.25	-2.50
0.8720	METROPOLITAN WEST FDS I	MWTTX	11/1/2013 ²	9.34 ⁿ		10.7100	6/22/2018	9.03	10.3600	-0.31	-3.32
0.7740	METROPOLITAN WEST FDS I	MWTTX	12/2/2013 ²	8.27 ⁿ		10.6800	6/22/2018	8.01	10.3600	-0.26	-3.14
2.1220	METROPOLITAN WEST FDS I	MWTTX	12/16/2013 ²	22.43 ⁿ		10.5700	6/22/2018	21.98	10.3600	-0.45	-2.01
0.5300	METROPOLITAN WEST FDS I	MWTTX	1/2/2014 ²	5.59 ⁿ		10.5500	6/22/2018	5.49	10.3600	-0.10	-1.79
0.5990	METROPOLITAN WEST FDS I	MWTTX	2/3/2014 ²	6.40 ⁿ		10.6800	6/22/2018	6.20	10.3600	-0.20	-3.13
0.6810	METROPOLITAN WEST FDS I	MWTTX	3/3/2014 ²	7.29 ⁿ		10.7100	6/22/2018	7.05	10.3600	-0.24	-3.29
0.7750	METROPOLITAN WEST FDS I	MWTTX	4/1/2014 ²	8.28 ⁿ		10.6800	6/22/2018	8.02	10.3600	-0.26	-3.14
0.7920	METROPOLITAN WEST FDS I	MWTTX	5/1/2014 ²	8.51 ⁿ		10.7400	6/22/2018	8.20	10.3600	-0.31	-3.64
0.7720	METROPOLITAN WEST FDS I	MWTTX	6/2/2014 ²	8.38 ⁿ		10.8500	6/22/2018	7.99	10.3600	-0.39	-4.65
0.7260	METROPOLITAN WEST FDS I	MWTTX	7/1/2014 ²	7.87 ⁿ		10.8400	6/22/2018	7.52	10.3600	-0.35	-4.45
181.3110	METROPOLITAN WEST FDS I	MWTTX	7/14/2014 ²	1,961.79 ⁿ		10.8200	6/22/2018	1,878.42	10.3600	-83.37	-4.25
0.9340	METROPOLITAN WEST FDS I	MWTTX	8/1/2014 ²	10.10 ⁿ		10.8100	6/22/2018	9.67	10.3600	-0.43	-4.26
98.1420	METROPOLITAN WEST FDS I	MWTTX	8/19/2014 ²	1,065.82 ⁿ		10.8600	6/22/2018	1,016.78	10.3600	-49.04	-4.60
2.4690	METROPOLITAN WEST FDS I	MWTTX	8/28/2014 ²	26.89 ⁿ		10.8900	6/22/2018	25.57	10.3600	-1.32	-4.91
1.0870	METROPOLITAN WEST FDS I	MWTTX	9/2/2014 ²	11.84 ⁿ		10.8900	6/22/2018	11.26	10.3600	-0.58	-4.90
1.1890	METROPOLITAN WEST FDS I	MWTTX	10/1/2014 ²	12.85 ⁿ		10.8100	6/22/2018	12.31	10.3600	-0.54	-4.20
100.5660	METROPOLITAN WEST FDS I	MWTTX	10/3/2014 ²	1,090.14 ⁿ		10.8400	6/22/2018	1,041.90	10.3600	-48.24	-4.43
1.1660	METROPOLITAN WEST FDS I	MWTTX	11/3/2014 ²	12.69 ⁿ		10.8800	6/22/2018	12.08	10.3600	-0.61	-4.81
1.2020	METROPOLITAN WEST FDS I	MWTTX	12/1/2014 ²	13.14 ⁿ		10.9300	6/22/2018	12.45	10.3600	-0.69	-5.25
1.2440	METROPOLITAN WEST FDS I	MWTTX	12/15/2014 ²	13.58 ⁿ		10.9200	6/22/2018	12.88	10.3600	-0.70	-5.15
0.5870	METROPOLITAN WEST FDS I	MWTTX	12/15/2014 ²	6.41 ⁿ		10.9200	6/22/2018	6.08	10.3600	-0.33	-5.15

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Long - Continued											
1.2000	METROPOLITAN WEST FDS I	MWTTX	1/2/2015 ^o	13.08 ^o		10.9000	6/22/2018	12.43	10.3600	-0.65	-4.97
0.9680	METROPOLITAN WEST FDS I	MWTTX	2/2/2015 ^o	10.74 ^o		11.0900	6/22/2018	10.02	10.3600	-0.72	-6.70
0.9520	METROPOLITAN WEST FDS I	MWTTX	3/3/2015 ^o	10.45 ^o		10.9800	6/22/2018	9.86	10.3600	-0.59	-5.65
0.9500	METROPOLITAN WEST FDS I	MWTTX	4/1/2015 ^o	10.46 ^o		11.0100	6/22/2018	9.84	10.3600	-0.62	-5.93
1.0650	METROPOLITAN WEST FDS I	MWTTX	5/1/2015 ^o	11.68 ^o		10.9700	6/22/2018	11.03	10.3600	-0.65	-5.57
1.3600	METROPOLITAN WEST FDS I	MWTTX	6/1/2015 ^o	14.86 ^o		10.9300	6/22/2018	14.09	10.3600	-0.77	-5.18
1.2090	METROPOLITAN WEST FDS I	MWTTX	7/1/2015 ^o	13.07 ^o		10.8100	6/22/2018	12.52	10.3600	-0.55	-4.21
1.2440	METROPOLITAN WEST FDS I	MWTTX	8/3/2015 ^o	13.50 ^o		10.8500	6/22/2018	12.88	10.3600	-0.62	-4.59
4.4970	METROPOLITAN WEST FDS I	MWTTX	8/17/2015 ^o	48.75 ^o		10.8400	6/22/2018	46.59	10.3600	-2.16	-4.43
1.2190	METROPOLITAN WEST FDS I	MWTTX	9/1/2015 ^o	13.18 ^o		10.8100	6/22/2018	12.62	10.3600	-0.56	-4.25
1.0320	METROPOLITAN WEST FDS I	MWTTX	10/1/2015 ^o	11.18 ^o		10.8300	6/22/2018	10.69	10.3600	-0.49	-4.38
1.0720	METROPOLITAN WEST FDS I	MWTTX	11/2/2015 ^o	11.60 ^o		10.8200	6/22/2018	11.10	10.3600	-0.50	-4.31
1.1270	METROPOLITAN WEST FDS I	MWTTX	12/1/2015 ^o	12.16 ^o		10.7900	6/22/2018	11.67	10.3600	-0.48	-4.03
4.7600	METROPOLITAN WEST FDS I	MWTTX	12/15/2015 ^o	50.60 ^o		10.6300	6/22/2018	49.31	10.3600	-1.29	-2.55
3.6860	METROPOLITAN WEST FDS I	MWTTX	12/15/2015 ^o	39.18 ^o		10.6300	6/22/2018	38.19	10.3600	-0.99	-2.53
1.2740	METROPOLITAN WEST FDS I	MWTTX	1/4/2016 ^o	13.53 ^o		10.6200	6/22/2018	13.19	10.3600	-0.34	-2.51
1.1110	METROPOLITAN WEST FDS I	MWTTX	2/1/2016 ^o	11.92 ^o		10.7300	6/22/2018	11.51	10.3600	-0.41	-3.44
0.9760	METROPOLITAN WEST FDS I	MWTTX	3/1/2016 ^o	10.50 ^o		10.7600	6/22/2018	10.11	10.3600	-0.39	-3.71
1.3580	METROPOLITAN WEST FDS I	MWTTX	4/1/2016 ^o	14.71 ^o		10.8300	6/22/2018	14.07	10.3600	-0.64	-4.35
1.2500	METROPOLITAN WEST FDS I	MWTTX	5/2/2016 ^o	13.58 ^o		10.8600	6/22/2018	12.95	10.3600	-0.63	-4.64
1.3700	METROPOLITAN WEST FDS I	MWTTX	6/1/2016 ^o	14.85 ^o		10.8400	6/22/2018	14.19	10.3600	-0.66	-4.44
1.2580	METROPOLITAN WEST FDS I	MWTTX	7/1/2016 ^o	13.83 ^o		10.9900	6/22/2018	13.03	10.3600	-0.80	-5.78
1.3980	METROPOLITAN WEST FDS I	MWTTX	8/1/2016 ^o	15.45 ^o		11.0500	6/22/2018	14.48	10.3600	-0.97	-6.28
1.2090	METROPOLITAN WEST FDS I	MWTTX	9/1/2016 ^o	13.33 ^o		11.0300	6/22/2018	12.52	10.3600	-0.81	-6.08
10.6940	METROPOLITAN WEST FDS I	MWTTX	9/7/2016 ^o	118.17 ^o		11.0500	6/22/2018	110.80	10.3600	-7.37	-6.24
1.0490	METROPOLITAN WEST FDS I	MWTTX	10/3/2016 ^o	11.56 ^o		11.0200	6/22/2018	10.86	10.3600	-0.70	-6.06
1.1450	METROPOLITAN WEST FDS I	MWTTX	11/1/2016 ^o	12.51 ^o		10.9300	6/22/2018	11.86	10.3600	-0.65	-5.20
1.1140	METROPOLITAN WEST FDS I	MWTTX	12/1/2016 ^o	11.91 ^o		10.8900	6/22/2018	11.54	10.3600	-0.37	-3.11
10.2340	METROPOLITAN WEST FDS I	MWTTX	12/13/2016 ^o	107.46 ^o		10.5000	6/22/2018	106.03	10.3600	-1.43	-1.33
1.1900	METROPOLITAN WEST FDS I	MWTTX	12/13/2016 ^o	12.50 ^o		10.5000	6/22/2018	12.33	10.3600	-0.17	-1.36
1.2910	METROPOLITAN WEST FDS I	MWTTX	1/3/2017 ^o	13.59 ^o		10.5300	6/22/2018	13.37	10.3600	-0.22	-1.62
1.3160	METROPOLITAN WEST FDS I	MWTTX	2/1/2017 ^o	13.87 ^o		10.5400	6/22/2018	13.63	10.3600	-0.24	-1.73
1.2810	METROPOLITAN WEST FDS I	MWTTX	3/1/2017 ^o	13.57 ^o		10.5900	6/22/2018	13.27	10.3600	-0.30	-2.21
1.6230	METROPOLITAN WEST FDS I	MWTTX	4/3/2017 ^o	17.16 ^o		10.5700	6/22/2018	16.81	10.3600	-0.35	-2.04
1.4480	METROPOLITAN WEST FDS I	MWTTX	5/1/2017 ^o	15.39 ^o		10.6300	6/22/2018	15.00	10.3600	-0.39	-2.53
1.4940	METROPOLITAN WEST FDS I	MWTTX	6/1/2017 ^o	15.96 ^o		10.6800	6/22/2018	15.48	10.3600	-0.48	-3.01

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Long - Continued

36.8320	JPMORGAN CORE BOND I	WOBDX	3/27/2013 ⁵	443.08 ⁿ		12.0300	6/22/2018	414.72	11.2600	-28.36	-6.40
0.7420	JPMORGAN CORE BOND I	WOBDX	4/1/2013 ⁵	8.90 ⁿ		12.0000	6/22/2018	8.35	11.2600	-0.55	-6.18
0.7390	JPMORGAN CORE BOND I	WOBDX	5/1/2013 ⁵	8.92 ⁿ		12.0700	6/22/2018	8.32	11.2600	-0.60	-6.73
0.7530	JPMORGAN CORE BOND I	WOBDX	6/3/2013 ⁵	8.94 ⁿ		11.8700	6/22/2018	8.47	11.2600	-0.47	-5.26
0.7880	JPMORGAN CORE BOND I	WOBDX	7/1/2013 ⁵	9.31 ⁿ		11.6700	6/22/2018	8.98	11.2600	-0.33	-3.54
0.8020	JPMORGAN CORE BOND I	WOBDX	8/1/2013 ⁵	9.33 ⁿ		11.6400	6/22/2018	9.03	11.2600	-0.30	-3.22
0.8080	JPMORGAN CORE BOND I	WOBDX	9/3/2013 ⁵	9.35 ⁿ		11.5700	6/22/2018	9.09	11.2600	-0.26	-2.78
0.5710	JPMORGAN CORE BOND I	WOBDX	10/1/2013 ⁵	6.64 ⁿ		11.6300	6/22/2018	6.42	11.2600	-0.22	-3.31
0.6580	JPMORGAN CORE BOND I	WOBDX	11/1/2013 ⁵	7.68 ⁿ		11.6800	6/22/2018	7.40	11.2600	-0.28	-3.65
0.5730	JPMORGAN CORE BOND I	WOBDX	12/2/2013 ⁵	6.67 ⁿ		11.6400	6/22/2018	6.45	11.2600	-0.22	-3.30
1.3710	JPMORGAN CORE BOND I	WOBDX	12/13/2013 ⁵	15.81 ⁿ		11.5300	6/22/2018	15.43	11.2600	-0.38	-2.40
0.0260	JPMORGAN CORE BOND I	WOBDX	12/13/2013 ⁵	0.30 ⁿ		11.5300	6/22/2018	0.29	11.2600	-0.01	-3.33
0.7220	JPMORGAN CORE BOND I	WOBDX	1/2/2014 ⁵	8.28 ⁿ		11.4700	6/22/2018	8.12	11.2600	-0.16	-1.93
0.4920	JPMORGAN CORE BOND I	WOBDX	2/3/2014 ⁵	5.71 ⁿ		11.6100	6/22/2018	5.53	11.2600	-0.18	-3.15
0.5140	JPMORGAN CORE BOND I	WOBDX	3/3/2014 ⁵	5.98 ⁿ		11.6400	6/22/2018	5.78	11.2600	-0.20	-3.34
0.5840	JPMORGAN CORE BOND I	WOBDX	4/1/2014 ⁵	6.77 ⁿ		11.5900	6/22/2018	6.57	11.2600	-0.20	-2.95
0.4930	JPMORGAN CORE BOND I	WOBDX	5/1/2014 ⁵	5.74 ⁿ		11.6500	6/22/2018	5.55	11.2600	-0.19	-3.31
0.5570	JPMORGAN CORE BOND I	WOBDX	6/2/2014 ⁵	6.54 ⁿ		11.7400	6/22/2018	6.27	11.2600	-0.27	-4.13
0.6260	JPMORGAN CORE BOND I	WOBDX	7/1/2014 ⁵	7.33 ⁿ		11.7100	6/22/2018	7.04	11.2600	-0.29	-3.96
142.6030	JPMORGAN CORE BOND I	WOBDX	7/14/2014 ⁵	1,668.45 ⁿ		11.7000	6/22/2018	1,605.73	11.2600	-62.72	-3.76
0.9370	JPMORGAN CORE BOND I	WOBDX	8/1/2014 ⁵	10.94 ⁿ		11.6700	6/22/2018	10.55	11.2600	-0.39	-3.56
74.3900	JPMORGAN CORE BOND I	WOBDX	8/19/2014 ⁵	873.34 ⁿ		11.7400	6/22/2018	837.64	11.2600	-35.70	-4.09
0.9800	JPMORGAN CORE BOND I	WOBDX	9/2/2014 ⁵	11.53 ⁿ		11.7600	6/22/2018	11.03	11.2600	-0.50	-4.34
0.9910	JPMORGAN CORE BOND I	WOBDX	10/1/2014 ⁵	11.56 ⁿ		11.6600	6/22/2018	11.15	11.2600	-0.41	-3.55
203.2680	JPMORGAN CORE BOND I	WOBDX	10/3/2014 ⁵	2,378.24 ⁿ		11.7000	6/22/2018	2,288.85	11.2600	-89.39	-3.76
1.4030	JPMORGAN CORE BOND I	WOBDX	11/3/2014 ⁵	16.46 ⁿ		11.7300	6/22/2018	15.79	11.2600	-0.67	-4.07
1.3400	JPMORGAN CORE BOND I	WOBDX	12/1/2014 ⁵	15.80 ⁿ		11.7900	6/22/2018	15.08	11.2600	-0.72	-4.56
0.7990	JPMORGAN CORE BOND I	WOBDX	12/15/2014 ⁵	9.43 ⁿ		11.8000	6/22/2018	8.99	11.2600	-0.44	-4.67
0.0660	JPMORGAN CORE BOND I	WOBDX	12/15/2014 ⁵	0.78 ⁿ		11.8000	6/22/2018	0.74	11.2600	-0.04	-5.13
1.7030	JPMORGAN CORE BOND I	WOBDX	12/31/2014 ⁵	19.99 ⁿ		11.7400	6/22/2018	19.17	11.2600	-0.82	-4.10
1.2170	JPMORGAN CORE BOND I	WOBDX	1/30/2015 ⁵	14.51 ⁿ		11.9200	6/22/2018	13.70	11.2600	-0.81	-5.58
1.1110	JPMORGAN CORE BOND I	WOBDX	2/26/2015 ⁵	13.15 ⁿ		11.8400	6/22/2018	12.51	11.2600	-0.64	-4.87
1.1690	JPMORGAN CORE BOND I	WOBDX	3/30/2015 ⁵	13.87 ⁿ		11.8600	6/22/2018	13.16	11.2600	-0.71	-5.12
1.2310	JPMORGAN CORE BOND I	WOBDX	4/29/2015 ⁵	14.59 ⁿ		11.8500	6/22/2018	13.86	11.2600	-0.73	-5.00
1.3030	JPMORGAN CORE BOND I	WOBDX	5/29/2015 ⁵	15.31 ⁿ		11.7500	6/22/2018	14.67	11.2600	-0.64	-4.18
1.5040	JPMORGAN CORE BOND I	WOBDX	6/29/2015 ⁵	17.43 ⁿ		11.5900	6/22/2018	16.93	11.2600	-0.50	-2.87

Schedule of Realized Gains and Losses
01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
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WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Long - Continued

1.3170	JPMORGAN CORE BOND I	WOBDX	7/30/2015 ⁶	15.37 ⁴		11.6700	6/22/2018	14.83	11.2600	-0.54	-3.51
0.9570	JPMORGAN CORE BOND I	WOBDX	8/28/2015 ⁶	11.18 ⁴		11.6800	6/22/2018	10.77	11.2600	-0.41	-3.67
0.9560	JPMORGAN CORE BOND I	WOBDX	9/29/2015 ⁶	11.20 ⁴		11.7200	6/22/2018	10.76	11.2600	-0.44	-3.93
1.1250	JPMORGAN CORE BOND I	WOBDX	10/29/2015 ⁶	13.17 ⁴		11.7100	6/22/2018	12.66	11.2600	-0.51	-3.87
1.0090	JPMORGAN CORE BOND I	WOBDX	11/27/2015 ⁶	11.74 ⁴		11.6300	6/22/2018	11.36	11.2600	-0.38	-3.24
0.3040	JPMORGAN CORE BOND I	WOBDX	12/14/2015 ⁶	10.52 ⁴		11.6400	6/22/2018	10.18	11.2600	-0.34	-3.23
0.0550	JPMORGAN CORE BOND I	WOBDX	12/14/2015 ⁶	0.64 ⁴		11.6400	6/22/2018	0.61	11.2600	-0.03	-4.69
0.9800	JPMORGAN CORE BOND I	WOBDX	12/30/2015 ⁶	11.29 ⁴		11.5200	6/22/2018	11.03	11.2600	-0.26	-2.30
0.8870	JPMORGAN CORE BOND I	WOBDX	1/28/2016 ⁶	10.33 ⁴		11.6400	6/22/2018	9.98	11.2600	-0.35	-3.39
0.8800	JPMORGAN CORE BOND I	WOBDX	2/26/2016 ⁶	10.35 ⁴		11.7600	6/22/2018	9.91	11.2600	-0.44	-4.25
1.0070	JPMORGAN CORE BOND I	WOBDX	3/30/2016 ⁶	11.85 ⁴		11.7700	6/22/2018	11.34	11.2600	-0.51	-4.30
1.0080	JPMORGAN CORE BOND I	WOBDX	4/28/2016 ⁶	11.87 ⁴		11.7800	6/22/2018	11.35	11.2600	-0.52	-4.38
1.0090	JPMORGAN CORE BOND I	WOBDX	5/27/2016 ⁶	11.90 ⁴		11.7900	6/22/2018	11.36	11.2600	-0.54	-4.54
0.8690	JPMORGAN CORE BOND I	WOBDX	6/29/2016 ⁶	10.43 ⁴		12.0000	6/22/2018	9.78	11.2600	-0.65	-6.23
0.9940	JPMORGAN CORE BOND I	WOBDX	7/28/2016 ⁶	11.94 ⁴		12.0100	6/22/2018	11.19	11.2600	-0.75	-6.28
1.0400	JPMORGAN CORE BOND I	WOBDX	8/30/2016 ⁶	12.47 ⁴		11.9900	6/22/2018	11.71	11.2600	-0.76	-6.09
5.1890	JPMORGAN CORE BOND I	WOBDX	9/7/2016 ⁶	62.32 ⁴		12.0100	6/22/2018	58.43	11.2600	-3.89	-6.24
1.0120	JPMORGAN CORE BOND I	WOBDX	9/29/2016 ⁶	12.12 ⁴		11.9800	6/22/2018	11.39	11.2600	-0.73	-6.02
1.0250	JPMORGAN CORE BOND I	WOBDX	10/28/2016 ⁶	12.14 ⁴		11.8400	6/22/2018	11.54	11.2600	-0.60	-4.94
1.0940	JPMORGAN CORE BOND I	WOBDX	11/29/2016 ⁶	12.67 ⁴		11.5800	6/22/2018	12.32	11.2600	-0.35	-2.76
1.8240	JPMORGAN CORE BOND I	WOBDX	12/15/2016 ⁶	20.83 ⁴		11.4200	6/22/2018	20.54	11.2600	-0.29	-1.39
0.1020	JPMORGAN CORE BOND I	WOBDX	12/15/2016 ⁶	1.16 ⁴		11.4200	6/22/2018	1.14	11.2600	-0.02	-1.72
1.1580	JPMORGAN CORE BOND I	WOBDX	12/29/2016 ⁶	13.26 ⁴		11.4500	6/22/2018	13.04	11.2600	-0.22	-1.66
0.9340	JPMORGAN CORE BOND I	WOBDX	1/30/2017 ⁶	10.72 ⁴		11.4800	6/22/2018	10.51	11.2600	-0.21	-1.96
1.0530	JPMORGAN CORE BOND I	WOBDX	2/27/2017 ⁶	12.18 ⁴		11.5700	6/22/2018	11.85	11.2600	-0.33	-2.71
1.1330	JPMORGAN CORE BOND I	WOBDX	3/30/2017 ⁶	13.06 ⁴		11.5300	6/22/2018	12.76	11.2600	-0.30	-2.30
1.3080	JPMORGAN CORE BOND I	WOBDX	4/27/2017 ⁶	15.13 ⁴		11.5700	6/22/2018	14.73	11.2600	-0.40	-2.64
1.1720	JPMORGAN CORE BOND I	WOBDX	5/30/2017 ⁶	13.62 ⁴		11.6200	6/22/2018	13.19	11.2600	-0.43	-3.16
4.0450	WELLS FARGO EMKT INSTL	EMGNX	9/10/2013 ⁶	89.03 ⁴		22.0100	12/7/2017	102.71	25.3900	13.68	15.37
2.1510	WELLS FARGO EMKT INSTL	EMGNX	9/10/2013 ⁶	47.34 ⁴		22.0100	6/22/2018	54.67	25.4200	7.33	15.48
0.1810	WELLS FARGO EMKT INSTL	EMGNX	12/31/2013 ⁶	3.97 ⁴		21.9800	6/22/2018	4.60	25.4200	0.63	15.87
23.6020	WELLS FARGO EMKT INSTL	EMGNX	7/14/2014 ⁶	548.74 ⁴		23.2500	6/22/2018	599.96	25.4200	51.22	9.33
10.5430	WELLS FARGO EMKT INSTL	EMGNX	8/19/2014 ⁶	252.29 ⁴		23.9300	6/22/2018	268.00	25.4200	15.71	6.23
5.6770	WELLS FARGO EMKT INSTL	EMGNX	10/3/2014 ⁶	125.35 ⁴		22.0800	6/22/2018	144.31	25.4200	18.96	15.13
1.0140	WELLS FARGO EMKT INSTL	EMGNX	12/31/2014 ⁶	20.99 ⁴		20.7000	6/22/2018	25.77	25.4200	4.78	22.77
13.5550	WELLS FARGO EMKT INSTL	EMGNX	8/17/2015 ⁶	257.55 ⁴		19.0000	6/22/2018	344.58	25.4200	87.03	33.79

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Long - Continued

1.2220	WELLS FARGO EAMKT INSTL	EMGNX	12/31/2015 ^F	21.78 [*]		17.8200	6/22/2018	31.06	25.4200	9.28	42.61
0.6730	WELLS FARGO EAMKT INSTL	EMGNX	12/30/2016 ^F	13.28 [*]		19.7100	6/22/2018	17.11	25.4200	3.85	29.03
6.0680	AMER FDS EUROPACIFIC F2	AEPFX	12/11/2012 ^E	251.15 [*]		41.3872	12/7/2017	341.82	56.3300	90.67	36.10
12.7930	AMER FDS EUROPACIFIC F2	AEPFX	12/11/2012 ^E	529.48 [*]		41.3872	6/22/2018	693.36	54.2000	163.90	30.95
0.4040	AMER FDS EUROPACIFIC F2	AEPFX	12/27/2012 ^E	16.51 ^{**}		40.9049	6/22/2018	21.89	54.2000	5.38	32.59
15.1050	AMER FDS EUROPACIFIC F2	AEPFX	3/27/2013 ^F	637.16 [*]		42.1810	6/22/2018	818.69	54.2000	181.53	28.49
0.3340	AMER FDS EUROPACIFIC F2	AEPFX	12/27/2013 ^F	16.08 [*]		48.3604	6/22/2018	18.10	54.2000	2.02	12.56
15.6160	AMER FDS EUROPACIFIC F2	AEPFX	7/14/2014 ^F	786.28 [*]		50.3500	6/22/2018	846.39	54.2000	60.11	7.64
10.3130	AMER FDS EUROPACIFIC F2	AEPFX	8/19/2014 ^F	515.54 ^{**}		49.9900	6/22/2018	558.96	54.2000	43.42	8.42
1.9550	AMER FDS EUROPACIFIC F2	AEPFX	10/3/2014 ^F	93.42 [*]		47.7800	6/22/2018	105.96	54.2000	12.54	13.42
1.0770	AMER FDS EUROPACIFIC F2	AEPFX	12/29/2014 ^F	51.16 [*]		47.4900	6/22/2018	58.37	54.2000	7.21	14.09
1.2880	AMER FDS EUROPACIFIC F2	AEPFX	12/24/2015 ^F	58.81 ^{**}		45.6500	6/22/2018	69.81	54.2000	11.00	18.70
0.8230	AMER FDS EUROPACIFIC F2	AEPFX	12/24/2015 ^F	37.56 [*]		45.6500	6/22/2018	44.60	54.2000	7.04	18.74
3.8550	AMER FDS EUROPACIFIC F2	AEPFX	9/7/2016 ^F	187.62 [*]		48.6700	6/22/2018	208.94	54.2000	21.32	11.36
1.0750	AMER FDS EUROPACIFIC F2	AEPFX	12/23/2016 ^F	48.25 [*]		44.8800	6/22/2018	58.26	54.2000	10.01	20.75
1.3130	AMER FDS EUROPACIFIC F2	AEPFX	6/15/2017 ^F	68.09 [*]		51.8600	6/22/2018	71.17	54.2000	3.08	4.52
0.2070	AMER FDS EUROPACIFIC F2	AEPFX	6/15/2017 ^F	10.74 ^{**}		51.8600	6/22/2018	11.22	54.2000	0.48	4.47
18.9970	NUVEEN REAL ESTATE I	FARCX	3/27/2013 ^F	429.90 [*]		22.6300	8/29/2017	424.96	22.3700	-4.94	-1.15
0.1590	NUVEEN REAL ESTATE I	FARCX	4/1/2013 ^F	3.58 [*]		22.5800	8/29/2017	3.55	22.3700	-0.03	-0.84
0.1650	NUVEEN REAL ESTATE I	FARCX	7/1/2013 ^F	3.65 [*]		22.0900	8/29/2017	3.69	22.3700	0.04	1.10
0.0950	NUVEEN REAL ESTATE I	FARCX	9/17/2013 ^F	2.03 [*]		21.4800	8/29/2017	2.12	22.3700	0.09	4.43
0.0600	NUVEEN REAL ESTATE I	FARCX	9/17/2013 ^F	1.29 [*]		21.4800	8/29/2017	1.34	22.3700	0.05	3.88
0.2010	NUVEEN REAL ESTATE I	FARCX	10/1/2013 ^F	4.28 [*]		21.2600	8/29/2017	4.49	22.3700	0.21	4.91
1.2870	NUVEEN REAL ESTATE I	FARCX	12/16/2013 ^F	25.05 [*]		19.4700	8/29/2017	28.79	22.3700	3.74	14.93
0.4850	NUVEEN REAL ESTATE I	FARCX	12/16/2013 ^F	9.45 [*]		19.4700	8/29/2017	10.84	22.3700	1.39	14.71
0.2680	NUVEEN REAL ESTATE I	FARCX	12/31/2013 ^F	5.26 [*]		19.8000	8/29/2017	5.95	22.3700	0.69	13.12
0.1910	NUVEEN REAL ESTATE I	FARCX	4/1/2014 ^F	4.13 [*]		21.6400	8/29/2017	4.27	22.3700	0.14	3.39
0.2050	NUVEEN REAL ESTATE I	FARCX	7/1/2014 ^F	4.72 [*]		23.0800	8/29/2017	4.58	22.3700	-0.14	-2.97
13.0990	NUVEEN REAL ESTATE I	FARCX	7/14/2014 ^F	305.98 [*]		23.3600	8/29/2017	293.04	22.3700	-12.95	-4.23
7.6140	NUVEEN REAL ESTATE I	FARCX	8/19/2014 ^F	180.91 ^{**}		23.7600	8/29/2017	170.33	22.3700	-10.58	-5.85
0.3200	NUVEEN REAL ESTATE I	FARCX	10/1/2014 ^F	7.13 [*]		22.2900	8/29/2017	7.15	22.3700	0.02	0.28
1.1690	NUVEEN REAL ESTATE I	FARCX	10/3/2014 ^F	26.18 [*]		22.4000	8/29/2017	26.15	22.3700	-0.04	-0.15
1.6620	NUVEEN REAL ESTATE I	FARCX	12/1/2014 ^F	39.25 [*]		23.6100	8/29/2017	37.18	22.3700	-2.07	-5.27
0.7330	NUVEEN REAL ESTATE I	FARCX	12/1/2014 ^F	17.31 ^{**}		23.6100	8/29/2017	16.39	22.3700	-0.92	-5.31
0.5180	NUVEEN REAL ESTATE I	FARCX	12/31/2014 ^F	12.69 [*]		24.4800	8/29/2017	11.58	22.3700	-1.11	-8.75
0.3080	NUVEEN REAL ESTATE I	FARCX	4/1/2015 ^F	7.70 ^{**}		24.9700	8/29/2017	6.89	22.3700	-0.81	-10.52

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
8581-0743
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Long - Continued

0.5500	NUVEEN REAL ESTATE I	FARCX	7/1/2015 ^F	12.25 ^F		22.2800	8/29/2017	12.30	22.3700	0.05	0.41
0.5950	NUVEEN REAL ESTATE I	FARCX	9/17/2015 ^F	13.26 ^F		22.3000	8/29/2017	13.31	22.3700	0.05	0.38
0.3440	NUVEEN REAL ESTATE I	FARCX	10/1/2015 ^F	7.72 ^F		22.4100	8/29/2017	7.69	22.3700	-0.03	-0.39
2.3030	NUVEEN REAL ESTATE I	FARCX	12/16/2015 ^F	52.12 ^F		22.6300	8/29/2017	51.53	22.3700	-0.59	-1.13
0.4540	NUVEEN REAL ESTATE I	FARCX	12/31/2015 ^F	10.50 ^F		23.1500	8/29/2017	10.15	22.3700	-0.35	-3.33
0.4110	NUVEEN REAL ESTATE I	FARCX	4/1/2016 ^F	9.82 ^F		23.8900	8/29/2017	9.19	22.3700	-0.63	-6.42
0.3870	NUVEEN REAL ESTATE I	FARCX	7/1/2016 ^F	9.78 ^F		25.2700	8/29/2017	8.66	22.3700	-1.12	-11.45
4.6960	JPMORGAN S/C EQUITY FDI	VSEIX	9/7/2016 ^F	238.19 ^F		50.7200	12/7/2017	281.70	59.9900	43.51	18.27
40.9110	JPMORGAN S/C EQUITY FDI	VSEIX	9/7/2016 ^F	2,075.02 ^F		50.7200	6/22/2018	2,513.56	61.4400	438.54	21.13
7.6150	JPMORGAN S/C EQUITY FDI	VSEIX	9/8/2016 ^F	384.58 ^F		50.5000	6/22/2018	467.87	61.4400	83.29	21.66
1.2600	JPMORGAN S/C EQUITY FDI	VSEIX	12/15/2016 ^F	66.26 ^F		52.5800	6/22/2018	77.41	61.4400	11.15	16.83
0.2180	JPMORGAN S/C EQUITY FDI	VSEIX	12/21/2016 ^F	11.58 ^F		53.0000	6/22/2018	13.39	61.4400	1.81	15.63
224.0100	PIMCO INCOME FDI	PIMIX	9/7/2016 ^F	2,694.84 ^F		12.0300	6/22/2018	2,683.64	11.9800	-11.20	-0.42
0.8080	PIMCO INCOME FDI	PIMIX	10/3/2016 ^F	9.75 ^F		12.0600	6/22/2018	9.67	11.9800	-0.08	-0.82
1.0340	PIMCO INCOME FDI	PIMIX	11/1/2016 ^F	12.47 ^F		12.0600	6/22/2018	12.38	11.9800	-0.09	-0.72
1.0480	PIMCO INCOME FDI	PIMIX	12/1/2016 ^F	12.56 ^F		11.9900	6/22/2018	12.55	11.9800	-0.01	-0.08
1.0410	PIMCO INCOME FDI	PIMIX	1/3/2017 ^F	12.56 ^F		12.0600	6/22/2018	12.47	11.9800	-0.09	-0.72
1.0500	PIMCO INCOME FDI	PIMIX	2/1/2017 ^F	12.71 ^F		12.1100	6/22/2018	12.56	11.9800	-0.13	-1.02
1.0370	PIMCO INCOME FDI	PIMIX	3/1/2017 ^F	12.65 ^F		12.2000	6/22/2018	12.42	11.9800	-0.23	-1.82
1.0470	PIMCO INCOME FDI	PIMIX	4/3/2017 ^F	12.82 ^F		12.2400	6/22/2018	12.54	11.9800	-0.28	-2.18
1.0460	PIMCO INCOME FDI	PIMIX	5/1/2017 ^F	12.84 ^F		12.2700	6/22/2018	12.53	11.9800	-0.31	-2.41
1.0510	PIMCO INCOME FDI	PIMIX	6/1/2017 ^F	12.97 ^F		12.3400	6/22/2018	12.59	11.9800	-0.38	-2.93
176.4790	PIMCO DVRSFD INC INSTL	PDIX	3/27/2013 ^F	2,147.75 ^F		12.1700	6/22/2018	1,872.44	10.6100	-275.31	-12.82
0.0980	PIMCO DVRSFD INC INSTL	PDIX	4/1/2013 ^F	1.19 ^F		12.1600	6/22/2018	1.03	10.6100	-0.16	-13.45
0.7380	PIMCO DVRSFD INC INSTL	PDIX	5/1/2013 ^F	9.14 ^F		12.3900	6/22/2018	7.83	10.6100	-1.31	-14.33
0.7610	PIMCO DVRSFD INC INSTL	PDIX	6/3/2013 ^F	9.16 ^F		12.0400	6/22/2018	8.07	10.6100	-1.09	-11.90
0.6790	PIMCO DVRSFD INC INSTL	PDIX	7/1/2013 ^F	7.81 ^F		11.5100	6/22/2018	7.20	10.6100	-0.61	-7.81
0.7100	PIMCO DVRSFD INC INSTL	PDIX	8/1/2013 ^F	8.21 ^F		11.5700	6/22/2018	7.53	10.6100	-0.68	-8.28
0.7920	PIMCO DVRSFD INC INSTL	PDIX	9/3/2013 ^F	9.00 ^F		11.3600	6/22/2018	8.40	10.6100	-0.60	-6.67
8.2370	PIMCO DVRSFD INC INSTL	PDIX	9/10/2013 ^F	93.49 ^F		11.3500	6/22/2018	87.39	10.6100	-6.10	-6.52
0.7500	PIMCO DVRSFD INC INSTL	PDIX	10/1/2013 ^F	8.66 ^F		11.5400	6/22/2018	7.95	10.6100	-0.71	-8.20
0.8110	PIMCO DVRSFD INC INSTL	PDIX	11/1/2013 ^F	9.49 ^F		11.7000	6/22/2018	8.60	10.6100	-0.89	-9.38
0.8070	PIMCO DVRSFD INC INSTL	PDIX	12/2/2013 ^F	9.35 ^F		11.5900	6/22/2018	8.56	10.6100	-0.79	-8.45
1.4980	PIMCO DVRSFD INC INSTL	PDIX	12/1/2013 ^F	17.21 ^F		11.4900	6/22/2018	15.89	10.6100	-1.32	-7.67
0.8450	PIMCO DVRSFD INC INSTL	PDIX	1/2/2014 ^F	9.71 ^F		11.4900	6/22/2018	8.96	10.6100	-0.75	-7.72
0.9260	PIMCO DVRSFD INC INSTL	PDIX	2/3/2014 ^F	10.63 ^F		11.4800	6/22/2018	9.82	10.6100	-0.81	-7.62

Schedule of Realized Gains and Losses

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WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Long - Continued

0.8380	PIMCO DIVERSD INC INSTL	PDIX	3/3/2014 ^F	9.77 ⁿ		11.6600	6/22/2018	8.89	10.6100	-0.88	-9.01
0.7910	PIMCO DIVERSD INC INSTL	PDIX	4/1/2014 ^F	9.20 ⁿ		11.6300	6/22/2018	8.39	10.6100	-0.81	-8.80
0.8670	PIMCO DIVERSD INC INSTL	PDIX	5/1/2014 ^F	10.14 ⁿ		11.7000	6/22/2018	9.19	10.6100	-0.95	-9.37
0.8670	PIMCO DIVERSD INC INSTL	PDIX	6/2/2014 ^F	10.32 ⁿ		11.9000	6/22/2018	9.19	10.6100	-1.13	-10.95
0.8250	PIMCO DIVERSD INC INSTL	PDIX	7/1/2014 ^F	9.87 ⁿ		11.9700	6/22/2018	8.75	10.6100	-1.12	-11.35
97.8330	PIMCO DIVERSD INC INSTL	PDIX	7/14/2014 ^F	1,168.13 ⁿ		11.9400	6/22/2018	1,038.03	10.6100	-130.10	-11.14
1.0910	PIMCO DIVERSD INC INSTL	PDIX	8/1/2014 ^F	12.86 ⁿ		11.7900	6/22/2018	11.57	10.6100	-1.29	-10.03
60.3610	PIMCO DIVERSD INC INSTL	PDIX	8/19/2014 ^F	716.49 ⁿ		11.8700	6/22/2018	640.45	10.6100	-76.04	-10.61
1.4390	PIMCO DIVERSD INC INSTL	PDIX	9/2/2014 ^F	17.09 ⁿ		11.8800	6/22/2018	15.26	10.6100	-1.83	-10.71
1.5810	PIMCO DIVERSD INC INSTL	PDIX	10/1/2014 ^F	18.29 ⁿ		11.5700	6/22/2018	16.77	10.6100	-1.52	-8.31
1.7570	PIMCO DIVERSD INC INSTL	PDIX	11/3/2014 ^F	20.49 ⁿ		11.8600	6/22/2018	18.64	10.6100	-1.85	-9.03
1.4670	PIMCO DIVERSD INC INSTL	PDIX	12/1/2014 ^F	16.94 ⁿ		11.5500	6/22/2018	15.56	10.6100	-1.38	-8.15
14.4460	PIMCO DIVERSD INC INSTL	PDIX	12/11/2014 ^F	155.01 ⁿ		10.7300	6/22/2018	153.28	10.6100	-1.73	-1.12
4.0050	PIMCO DIVERSD INC INSTL	PDIX	12/11/2014 ^F	42.97 ⁿ		10.7300	6/22/2018	42.49	10.6100	-0.48	-1.12
1.8490	PIMCO DIVERSD INC INSTL	PDIX	12/30/2014 ^F	19.75 ⁿ		10.6800	6/22/2018	19.61	10.6100	-0.14	-0.71
1.9910	PIMCO DIVERSD INC INSTL	PDIX	1/2/2015 ^F	21.24 ⁿ		10.6700	6/22/2018	21.12	10.6100	-0.12	-0.56
1.7510	PIMCO DIVERSD INC INSTL	PDIX	2/2/2015 ^F	18.84 ⁿ		10.7600	6/22/2018	18.57	10.6100	-0.27	-1.43
1.6870	PIMCO DIVERSD INC INSTL	PDIX	3/2/2015 ^F	18.35 ⁿ		10.8800	6/22/2018	17.90	10.6100	-0.45	-2.45
1.6260	PIMCO DIVERSD INC INSTL	PDIX	4/1/2015 ^F	17.71 ⁿ		10.8900	6/22/2018	17.25	10.6100	-0.46	-2.60
1.6050	PIMCO DIVERSD INC INSTL	PDIX	5/1/2015 ^F	17.61 ⁿ		10.9700	6/22/2018	17.03	10.6100	-0.58	-3.29
1.6000	PIMCO DIVERSD INC INSTL	PDIX	6/1/2015 ^F	17.52 ⁿ		10.9500	6/22/2018	16.97	10.6100	-0.55	-3.14
1.5960	PIMCO DIVERSD INC INSTL	PDIX	7/1/2015 ^F	17.12 ⁿ		10.7300	6/22/2018	16.93	10.6100	-0.19	-1.11
1.7900	PIMCO DIVERSD INC INSTL	PDIX	8/3/2015 ^F	19.26 ⁿ		10.7600	6/22/2018	18.99	10.6100	-0.27	-1.40
4.3280	PIMCO DIVERSD INC INSTL	PDIX	8/17/2015 ^F	46.09 ⁿ		10.6500	6/22/2018	45.92	10.6100	-0.17	-0.37
1.6640	PIMCO DIVERSD INC INSTL	PDIX	9/1/2015 ^F	17.57 ⁿ		10.5600	6/22/2018	17.65	10.6100	0.08	0.46
1.7980	PIMCO DIVERSD INC INSTL	PDIX	10/1/2015 ^F	18.56 ⁿ		10.3200	6/22/2018	19.07	10.6100	0.51	2.75
1.8480	PIMCO DIVERSD INC INSTL	PDIX	11/2/2015 ^F	19.51 ⁿ		10.5600	6/22/2018	19.60	10.6100	0.09	0.46
1.7300	PIMCO DIVERSD INC INSTL	PDIX	12/1/2015 ^F	18.11 ⁿ		10.4700	6/22/2018	18.35	10.6100	0.24	1.33
2.2880	PIMCO DIVERSD INC INSTL	PDIX	12/17/2015 ^F	23.34 ⁿ		10.2000	6/22/2018	24.27	10.6100	0.93	3.98
7.4130	PIMCO DIVERSD INC INSTL	PDIX	12/30/2015 ^F	74.35 ⁿ		10.0300	6/22/2018	78.66	10.6100	4.31	5.80
2.1350	PIMCO DIVERSD INC INSTL	PDIX	1/4/2016 ^F	21.44 ⁿ		10.0400	6/22/2018	22.65	10.6100	1.21	5.64
1.6800	PIMCO DIVERSD INC INSTL	PDIX	2/1/2016 ^F	16.73 ⁿ		9.9600	6/22/2018	17.82	10.6100	1.09	6.52
1.9000	PIMCO DIVERSD INC INSTL	PDIX	3/1/2016 ^F	18.83 ⁿ		9.9100	6/22/2018	20.16	10.6100	1.33	7.06
1.8060	PIMCO DIVERSD INC INSTL	PDIX	4/1/2016 ^F	18.33 ⁿ		10.1500	6/22/2018	19.16	10.6100	0.83	4.53
1.8070	PIMCO DIVERSD INC INSTL	PDIX	5/2/2016 ^F	18.67 ⁿ		10.3300	6/22/2018	19.17	10.6100	0.50	2.68
1.6360	PIMCO DIVERSD INC INSTL	PDIX	6/1/2016 ^F	16.95 ⁿ		10.3600	6/22/2018	17.36	10.6100	0.41	2.42

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Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long - Continued											
1.5960	PIMCO DIVERSD INC INSTL	PDIX	7/1/2016 ^e	16.82 ⁿ		10.5400	6/22/2018	16.93	10.6100	0.11	0.65
1.6120	PIMCO DIVERSD INC INSTL	PDIX	8/1/2016 ^e	17.26 ⁿ		10.7100	6/22/2018	17.10	10.6100	-0.16	-0.93
1.6400	PIMCO DIVERSD INC INSTL	PDIX	9/1/2016 ^e	17.75 ⁿ		10.8200	6/22/2018	17.40	10.6100	-0.35	-1.97
1.7050	PIMCO DIVERSD INC INSTL	PDIX	10/3/2016 ^e	18.45 ⁿ		10.8200	6/22/2018	18.09	10.6100	-0.36	-1.95
1.5520	PIMCO DIVERSD INC INSTL	PDIX	11/1/2016 ^e	16.68 ⁿ		10.7500	6/22/2018	16.47	10.6100	-0.21	-1.26
1.7220	PIMCO DIVERSD INC INSTL	PDIX	12/1/2016 ^e	18.08 ⁿ		10.5000	6/22/2018	18.27	10.6100	0.19	1.05
1.4790	PIMCO DIVERSD INC INSTL	PDIX	12/29/2016 ^e	15.57 ⁿ		10.5300	6/22/2018	15.69	10.6100	0.12	0.77
1.8200	PIMCO DIVERSD INC INSTL	PDIX	1/3/2017 ^e	19.22 ⁿ		10.5600	6/22/2018	19.31	10.6100	0.09	0.47
1.6050	PIMCO DIVERSD INC INSTL	PDIX	2/1/2017 ^e	17.04 ⁿ		10.6200	6/22/2018	17.03	10.6100	-0.01	-0.06
1.6590	PIMCO DIVERSD INC INSTL	PDIX	3/1/2017 ^e	17.83 ⁿ		10.7500	6/22/2018	17.60	10.6100	-0.23	-1.29
1.8060	PIMCO DIVERSD INC INSTL	PDIX	4/3/2017 ^e	19.47 ⁿ		10.7800	6/22/2018	19.16	10.6100	-0.31	-1.59
1.5580	PIMCO DIVERSD INC INSTL	PDIX	5/1/2017 ^e	16.95 ⁿ		10.8800	6/22/2018	16.53	10.6100	-0.42	-2.48
1.6870	PIMCO DIVERSD INC INSTL	PDIX	6/1/2017 ^e	18.51 ⁿ		10.9700	6/22/2018	17.90	10.6100	-0.61	-3.30
29.4800	PRINCIPAL SMID DIV INSTS	PMIX	8/28/2014 ^e	422.76 ⁿ		14.3500	12/7/2017	525.28	17.8300	102.52	24.25
108.8810	PRINCIPAL SMID DIV INSTS	PMIX	8/28/2014 ^e	1,562.43 ⁿ		14.3500	6/22/2018	1,891.26	17.3700	328.83	21.05
0.9840	PRINCIPAL SMID DIV INSTS	PMIX	10/1/2014 ^e	13.34 ⁿ		13.5600	6/22/2018	17.09	17.3700	3.75	28.11
6.2690	PRINCIPAL SMID DIV INSTS	PMIX	10/3/2014 ^e	85.13 ⁿ		13.5800	6/22/2018	108.89	17.3700	23.76	27.91
3.8480	PRINCIPAL SMID DIV INSTS	PMIX	12/18/2014 ^e	52.22 ⁿ		13.5700	6/22/2018	66.83	17.3700	14.61	27.98
0.3750	PRINCIPAL SMID DIV INSTS	PMIX	12/18/2014 ^e	5.09 ⁿ		13.5700	6/22/2018	6.51	17.3700	1.42	27.90
1.4870	PRINCIPAL SMID DIV INSTS	PMIX	12/30/2014 ^e	20.78 ⁿ		13.9700	6/22/2018	25.82	17.3700	5.04	24.25
1.0790	PRINCIPAL SMID DIV INSTS	PMIX	4/1/2015 ^e	15.13 ⁿ		14.0200	6/22/2018	18.74	17.3700	3.61	23.86
174.1650	PRINCIPAL SMID DIV INSTS	PMIX	4/28/2015 ^e	2,473.14 ⁿ		14.2000	6/22/2018	3,025.27	17.3700	552.13	22.33
1.8960	PRINCIPAL SMID DIV INSTS	PMIX	7/1/2015 ^e	25.77 ⁿ		13.5900	6/22/2018	32.93	17.3700	7.16	27.78
9.3630	PRINCIPAL SMID DIV INSTS	PMIX	8/17/2015 ^e	126.68 ⁿ		13.5300	6/22/2018	162.63	17.3700	35.95	28.38
2.2050	PRINCIPAL SMID DIV INSTS	PMIX	10/1/2015 ^e	27.28 ⁿ		12.3700	6/22/2018	38.30	17.3700	11.02	40.40
7.6910	PRINCIPAL SMID DIV INSTS	PMIX	12/18/2015 ^e	96.91 ⁿ		12.6000	6/22/2018	133.59	17.3700	36.68	37.85
3.8420	PRINCIPAL SMID DIV INSTS	PMIX	12/29/2015 ^e	49.14 ⁿ		12.7900	6/22/2018	66.73	17.3700	17.59	35.80
2.1380	PRINCIPAL SMID DIV INSTS	PMIX	4/1/2016 ^e	28.39 ⁿ		13.2800	6/22/2018	37.13	17.3700	8.74	30.79
1.9840	PRINCIPAL SMID DIV INSTS	PMIX	7/1/2016 ^e	27.76 ⁿ		13.9900	6/22/2018	34.46	17.3700	6.70	24.14
1.4170	PRINCIPAL SMID DIV INSTS	PMIX	10/3/2016 ^e	21.16 ⁿ		14.9300	6/22/2018	24.61	17.3700	3.45	16.30
5.6450	PRINCIPAL SMID DIV INSTS	PMIX	12/29/2016 ^e	90.27 ⁿ		15.9900	6/22/2018	98.06	17.3700	7.79	8.63
0.6950	PRINCIPAL SMID DIV INSTS	PMIX	3/22/2017 ^e	11.21 ⁿ		16.1400	6/22/2018	12.07	17.3700	0.86	7.67
0.7000	PRINCIPAL SMID DIV INSTS	PMIX	6/21/2017 ^e	11.74 ⁿ		16.7800	6/22/2018	12.16	17.3700	0.42	3.58
12.9330	T ROWE PRICE BLE CHP GRW	TBXCX	3/27/2013 ^e	635.38 ⁿ		49.1300	12/7/2017	1,269.74	98.1800	634.36	99.84
1.6720	T ROWE PRICE BLE CHP GRW	TBXCX	3/27/2013 ^e	82.15 ⁿ		49.1300	7/13/2018	187.44	112.1000	105.29	128.17

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 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Misc											
7.4560	BLACKROCK CORE BOND I	BFMXX	9/11/2013 ^e	69.34 ⁿ		9.3000	6/22/2018	69.34	9.3000		
20.4700	GOLDMAN FINL SQ TREAS MM	FT1XX	12/11/2012 ⁿ	20.47 ⁿ		1.0000	6/22/2018	20.47	1.0000		
104.1500	GOLDMAN FINL SQ TREAS MM	FT1XX	3/27/2013 ⁿ	104.15 ⁿ		1.0000	6/22/2018	104.15	1.0000		
55.6900	GOLDMAN FINL SQ TREAS MM	FT1XX	9/11/2013 ⁿ	55.69 ⁿ		1.0000	6/22/2018	55.69	1.0000		
199.6800	GOLDMAN FINL SQ TREAS MM	FT1XX	7/14/2014 ⁿ	199.68 ⁿ		1.0000	6/22/2018	199.68	1.0000		
89.9300	GOLDMAN FINL SQ TREAS MM	FT1XX	8/19/2014 ⁿ	99.93 ⁿ		1.0000	6/22/2018	99.93	1.0000		
89.3900	GOLDMAN FINL SQ TREAS MM	FT1XX	4/28/2015 ⁿ	89.39 ⁿ		1.0000	6/22/2018	89.39	1.0000		
62	GOLDMAN FINL SQ TREAS MM	FT1XX	8/17/2015 ⁿ	62.00 ⁿ		1.0000	6/22/2018	62.00	1.0000		
46.1200	GOLDMAN FINL SQ TREAS MM	FT1XX	9/8/2016 ⁿ	46.12 ⁿ		1.0000	6/22/2018	46.12	1.0000		
0.3100	GOLDMAN FINL SQ TREAS MM	FT1XX	1/3/2017 ⁿ	0.31 ⁿ		1.0000	6/22/2018	0.31	1.0000		
0.3100	GOLDMAN FINL SQ TREAS MM	FT1XX	2/1/2017 ⁿ	0.31 ⁿ		1.0000	6/22/2018	0.31	1.0000		
0.2800	GOLDMAN FINL SQ TREAS MM	FT1XX	3/1/2017 ⁿ	0.28 ⁿ		1.0000	6/22/2018	0.28	1.0000		
0.3100	GOLDMAN FINL SQ TREAS MM	FT1XX	4/3/2017 ⁿ	0.31 ⁿ		1.0000	6/22/2018	0.31	1.0000		
0.3000	GOLDMAN FINL SQ TREAS MM	FT1XX	5/1/2017 ⁿ	0.30 ⁿ		1.0000	6/22/2018	0.30	1.0000		
0.3100	GOLDMAN FINL SQ TREAS MM	FT1XX	6/1/2017 ⁿ	0.31 ⁿ		1.0000	6/22/2018	0.31	1.0000		
0.3100	GOLDMAN FINL SQ TREAS MM	FT1XX	7/3/2017 ⁿ	0.31 ⁿ		1.0000	6/22/2018	0.31	1.0000		
0.6200	GOLDMAN FINL SQ TREAS MM	FT1XX	8/1/2017 ⁿ	0.62 ⁿ		1.0000	6/22/2018	0.62	1.0000		
0.6200	GOLDMAN FINL SQ TREAS MM	FT1XX	9/1/2017 ⁿ	0.62 ⁿ		1.0000	6/22/2018	0.62	1.0000		
0.6000	GOLDMAN FINL SQ TREAS MM	FT1XX	10/2/2017 ⁿ	0.60 ⁿ		1.0000	6/22/2018	0.60	1.0000		
0.6200	GOLDMAN FINL SQ TREAS MM	FT1XX	11/1/2017 ⁿ	0.62 ⁿ		1.0000	6/22/2018	0.62	1.0000		
0.6000	GOLDMAN FINL SQ TREAS MM	FT1XX	12/1/2017 ⁿ	0.60 ⁿ		1.0000	6/22/2018	0.60	1.0000		
61.8500	GOLDMAN FINL SQ TREAS MM	FT1XX	12/7/2017 ⁿ	61.85 ⁿ		1.0000	6/22/2018	61.85	1.0000		
0.6200	GOLDMAN FINL SQ TREAS MM	FT1XX	1/2/2018 ⁿ	0.62 ⁿ		1.0000	6/22/2018	0.62	1.0000		
0.6200	GOLDMAN FINL SQ TREAS MM	FT1XX	2/1/2018 ⁿ	0.62 ⁿ		1.0000	6/22/2018	0.62	1.0000		
0.7300	GOLDMAN FINL SQ TREAS MM	FT1XX	3/1/2018 ⁿ	0.73 ⁿ		1.0000	6/22/2018	0.73	1.0000		
0.9300	GOLDMAN FINL SQ TREAS MM	FT1XX	4/2/2018 ⁿ	0.93 ⁿ		1.0000	6/22/2018	0.93	1.0000		
0.9000	GOLDMAN FINL SQ TREAS MM	FT1XX	5/1/2018 ⁿ	0.90 ⁿ		1.0000	6/22/2018	0.90	1.0000		
0.9300	GOLDMAN FINL SQ TREAS MM	FT1XX	6/1/2018 ⁿ	0.93 ⁿ		1.0000	6/22/2018	0.93	1.0000		
0.3710	NUVEEN REAL ESTATE I	FARCX	7/3/2017 ^e	8.31 ⁿ		22.3900	8/29/2017	8.31	22.3700		
30.0000	CAPITAL ONE 1.75% 020320	140420-V3-1	1/27/2017 ^e	30.000.00 ⁿ		100.0000	6/27/2018				
19.0000	GOLDMAN 2.25% 042519	38143A-QW-8	4/17/2012 ⁿ	19.000.00 ⁿ		100.0000	6/27/2018				
5.0000	MICROSOFT 4.2% 060119	564918-AC-8	9/30/2014 ⁿ	5.691.50 ⁿ		113.7300	6/27/2018				
20.0000	WELLS FARGO 0% 09/02/22	94986T-M4-6	2/28/2017 ^e	20.000.00 ⁿ		100.0000	6/27/2018				
				75,518.35				826.85			
										2,730.66	

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
 ASVK MAHN/GOWEN

Prepared For:
 8581-0743
 KATHRYN G ELLIS (IRA)
 WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Report Summary			145,117.51	0.00			72,999.40			2,573.39	
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Realized Gains or Losses	
Short Term	-157.27
Long Term	2,730.66

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.
 Noncovered Tax Lot- Cost information for this tax lot is not covered by IRS reporting requirements.
 Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.
 CSI -Cash Standing Instructions indicates the disposition of stock and money on cash accounts
 MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

This report is not the official record of your account. However, it has been prepared to assist you with your investment planning and is for informational purposes only. Your Wells Fargo Advisors Client Statement is the official record of your account. Therefore, if there are any discrepancies between this report and your Client Statement, you should rely on the Client Statement and call your local Branch Manager with any questions. Cost data and acquisition dates provided by you are not verified by Wells Fargo Advisors. Transactions requiring tax consideration should be reviewed carefully with your accountant or tax advisor. Unless otherwise indicated, market prices/values are the most recent closing prices available at the time of this report, and are subject to change. Prices may not reflect the value at which securities could be sold. Past performance does not guarantee future results.

Investments in securities and insurance products are:
 NOT FDIC - INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.
 Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliates of Wells Fargo & Company.

Closed Holdings **01/01/2017 - 09/05/2018**

Wednesday, September 05, 2018
A5VK MAHN/GOWEN

Prepared For:
2257-9642
KATHRYN G ELLIS LVG TR
KATHRYN G ELLIS TTEE
U/A DTD 05/31/1994
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
14,000	GE CAPITAL BK	36161T-F3-4	4/25/2014 ^c	14,000.00 ⁿ	100.0000	5/2/2017	14,000.00	100.0000		Miscellaneous
1.1%050217										
Report Summary				14,000.00			14,000.00		0.00	

Legend	
(*) Security Held Away	Cost Amount
Open Date	E - Pre Effective
C - Covered Tax Lot	O - Post Effective
N - Noncovered Tax Lot	N - Net Average Cost
M - Net Row Contains Both Covered and Noncovered Tax Lots	M - Net Row Contains Tax Lots with Multiple Elections
W - Open Date Reflects Wash Sale Date	

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.
Noncovered Tax Lot -Cost information for this tax lot is not covered by IRS reporting requirements.
Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.
CSI -Cash Standing Instructions Indicates the disposition of stock and money on cash accounts
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01/01/2017 - 09/05/2018

Prepared For:

FESTUS MO 63028-5475

14,000.00

14,000.00

(*) Security Held Away

W - Open Date Reflects Wash Sale Date

M - Net Row Contains Tax Lots with Multiple Elections

CSI -Cash Standing Instructions indicates the disposition of stock and money on cash accounts

MMF Code - The MMF Code in the report header indicates how money market balances are treated.

**Investments in securities and insurance products are:
NOT FDIC – INSURED/NOT BANK GUARANTEED/MAY LOSE VALUE.**

Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliates of Wells Fargo & Company.

Closed Holdings

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain	Term
1	AIR PRODUCTS & CHEMICALS	APD	4/28/2016°	136.97 ⁿ	136.9664	4/16/2018	164.69	164.6894	27.72	20.24	LONG
10		APD	4/28/2016°	1,369.66 ⁿ	136.9664	6/22/2018	1,576.74	157.6770	207.08	15.12	LONG
3		APD	7/6/2016°	380.50 ⁿ	126.8315	6/22/2018	473.03	157.6770	92.53	24.32	LONG
				1,887.13			2,214.46		327.33		
3	ALERIAN MLP	AMLP	12/24/2014°	52.47 ⁿ	17.4899	4/12/2017	38.05	12.6828	-14.42	-27.48	LONG
10		AMLP	12/24/2014°	174.90 ⁿ	17.4899	4/16/2018	99.80	9.9800	-75.10	-42.94	LONG
240		AMLP	12/24/2014°	4,197.56 ⁿ	17.4899	6/22/2018	2,487.44	10.3645	-1,710.12	-40.74	LONG
65		AMLP	3/10/2015°	1,075.14 ⁿ	16.5406	6/22/2018	673.69	10.3645	-401.45	-37.34	LONG
				5,500.07			3,298.98		-2,201.09		
1	AMERICAN ELECTRIC POWER	AEP	12/24/2014°	61.14 ⁿ	61.1360	4/12/2017	67.70	67.6950	6.56	10.73	LONG
16		AEP	12/24/2014°	978.17 ⁿ	61.1360	6/22/2018	1,079.25	67.4540	101.08	10.33	LONG
				1,039.31			1,146.95		107.64		
1,000	ANHEUSER 2.15% 02/01/19	035242-AE-6	12/24/2014°	1,001.25 ⁿ	100.5380	2/27/2018	995.58	99.5580	-5.67	-0.56	LONG
12	ANHEUSER BUSCH INBEV ADR	BUD	4/18/2016°	1,534.09 ⁿ	127.8409	3/1/2018	1,312.49	109.3764	-221.60	-14.45	LONG
1	CISCO SYS INC	CSCO	12/24/2014°	28.58 ⁿ	28.5753	4/12/2017	32.58	32.5843	4.00	14.00	LONG
1		CSCO	12/24/2014°	28.58 ⁿ	28.5753	4/16/2018	43.35	43.3474	14.77	51.68	LONG
49		CSCO	12/24/2014°	1,400.18 ⁿ	28.5753	6/22/2018	2,115.79	43.1800	715.61	51.11	LONG
				1,457.34			2,191.72		734.38		
1	CRACKER BARREL OLD CNTRY	CBRL	12/24/2014°	138.37 ⁿ	138.3629	4/16/2018	164.79	164.7876	26.42	19.09	LONG
8		CBRL	12/24/2014°	1,106.89 ⁿ	138.3629	6/22/2018	1,331.98	166.5000	225.09	20.34	LONG
2		CBRL	2/19/2016°	278.42 ⁿ	139.2118	6/22/2018	332.99	166.5000	54.57	19.60	LONG
3		CBRL	10/14/2016°	400.96 ⁿ	133.6530	6/22/2018	499.50	166.5000	98.54	24.58	LONG
				1,924.64			2,329.26		404.62		
12	EDISON INTL	EIX	1/29/2015°	821.66 ⁿ	68.4720	6/22/2018	736.84	61.4046	-84.82	-10.32	LONG
10		EIX	1/19/2016°	600.93 ⁿ	60.0928	6/22/2018	614.04	61.4046	13.11	2.18	LONG
				1,422.59			1,350.88		-71.71		
2,000	GENERAL ELE 5% PERPT	369604-BQ-5	7/12/2016 ⁿ	2,154.00 ⁿ	107.7000	6/22/2018	1,945.30	97.2650	-208.70	-9.69	LONG
1	GLOBAL X FUND	MLPA	12/24/2014°	15.99 ⁿ	15.9900	4/12/2017	11.56	11.5778	-4.41	-27.58	LONG
6		MLPA	12/24/2014°	95.94 ⁿ	15.9900	4/16/2018	53.88	8.9800	-42.06	-43.84	LONG
22		MLPA	12/24/2014°	351.78 ⁿ	15.9900	6/22/2018	204.68	9.3042	-147.10	-41.82	LONG
107		MLPA	3/10/2015°	1,630.04 ⁿ	15.2340	6/22/2018	995.54	9.3042	-634.50	-38.93	LONG

Closed Holdings

Wednesday, September 03, 2016
ASVK MAHN/GOWEN

01/01/2017 - 09/05/2018

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized or Loss	% Gain or Loss	Term
34		MLPA	9/23/2016°	391.49 ⁿ	11.5143	6/22/2018	316.34	9.3042	-75.15	-19.20	LONG
				2,485.24			1,582.02		-903.22		
4	GRAINGER W W INC	GWW	12/24/2014°	1,033.60 ⁿ	258.4000	1/5/2018	950.61	237.6595	-82.99	-8.03	LONG
3		GWW	2/4/2015°	719.98 ⁿ	239.9918	1/5/2018	712.97	237.6595	-7.01	-0.97	LONG
				1,753.58			1,663.58		-90.00		
11	HOME DEPOT INC	HD	12/24/2014°	1,144.64 ⁿ	104.0586	6/22/2018	2,195.60	199.6027	1,050.96	91.82	LONG
4		HD	7/16/2015°	459.15 ⁿ	114.7679	6/22/2018	798.40	199.6027	339.25	73.89	LONG
				1,603.79			2,994.00		1,390.21		
13	ILL TOOL WORKS INC	ITW	12/24/2014°	1,265.60 ⁿ	97.3541	6/22/2018	1,851.89	142.4552	586.29	46.33	LONG
3		ITW	2/19/2016°	286.49 ⁿ	95.4966	6/22/2018	427.36	142.4552	140.87	49.17	LONG
				1,552.09			2,279.25		727.16		
131	INVESCO II FNDMNTL	ETF PHB	7/30/2015°	2,434.68 ⁿ	18.5654	6/22/2018	2,414.84	18.4342	-19.84	-0.81	LONG
22		PHB	2/19/2016°	372.02 ⁿ	16.9101	6/22/2018	405.54	18.4342	33.52	9.01	LONG
128		PHB	2/24/2016°	2,179.38 ⁿ	17.0264	6/22/2018	2,359.55	18.4342	180.17	8.27	LONG
97		PHB	7/6/2016°	1,789.46 ⁿ	18.4480	6/22/2018	1,788.11	18.4342	-1.35	-0.08	LONG
				6,775.54			6,968.04		192.50		
122	INVESCO TR II FPD	ETF PGX	12/24/2014°	1,775.68 ⁿ	14.5548	6/22/2018	1,770.70	14.5142	-4.98	-0.28	LONG
159		PGX	3/10/2015°	2,354.47 ⁿ	14.8080	6/22/2018	2,307.73	14.5142	-46.74	-1.99	LONG
26		PGX	2/19/2016°	379.53 ⁿ	14.5973	6/22/2018	377.36	14.5142	-2.17	-0.57	LONG
37		PGX	1/24/2018°	541.87 ⁿ	14.6451	6/22/2018	537.03	14.5142	-4.84	-0.89	SHORT
				5,051.55			4,992.82		-58.73		
1	ISHARES CORE US AGG	AGG	1/19/2016°	108.82 ⁿ	108.8194	4/12/2017	109.01	109.0140	0.19	0.17	LONG
13		AGG	1/19/2016°	1,414.65 ⁿ	108.8194	6/22/2018	1,376.68	105.9001	-37.97	-2.68	LONG
22		AGG	1/29/2016°	2,406.18 ⁿ	109.3720	6/22/2018	2,329.77	105.9001	-76.41	-3.18	LONG
				3,929.65			3,815.46		-114.19		
11	ISHARES IBOXX&INVT	LDD	11/1/2016°	1,324.48 ⁿ	120.4075	6/22/2018	1,253.79	113.9825	-70.69	-5.34	LONG
3		LDD	12/2/2016°	349.04 ⁿ	116.3464	6/22/2018	341.95	113.9825	-7.09	-2.03	LONG
				1,673.52			1,595.74		-77.78		
10	ISHARES MBS	MBB	2/28/2018°	1,043.73 ⁿ	104.3727	6/22/2018	1,037.71	103.7725	-6.02	-0.58	SHORT
5		MBB	2/28/2018°	521.86 ⁿ	104.3727	6/22/2018	518.86	103.7725	-3.00	-0.57	SHORT
				1,565.59			1,556.57		-9.02		
1	JOHNSON & JOHNSON	JNJ	7/14/2017°	132.48 ⁿ	132.4725	4/16/2018	132.01	132.0142	-0.47	-0.35	SHORT
9		JNJ	7/14/2017°	1,192.25 ⁿ	132.4725	6/22/2018	1,106.35	122.9285	-85.90	-7.20	SHORT

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHIN/GOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
10	KIMBERLY-CLARK CORP	KMB	12/24/2014°	1,183.96 ^h	118.3665	6/22/2018	1,014.09	101.4100	-169.87	-14.35	LONG
1	LAMAR ADVERTISING CO NEW	LAMR	12/24/2014°	54.28 ^h	54.2706	4/12/2017	73.24	73.2417	18.96	34.93	LONG
22		LAMR	12/24/2014°	1,193.94 ^h	54.2706	6/22/2018	1,540.64	70.0300	346.70	29.04	LONG
				1,248.22			1,613.88		365.66		
37	MATTEL INC	MAT	10/21/2016°	1,205.00 ^h	32.5677	5/4/2017	805.22	21.7632	-399.78	-33.18	SHORT
1	NATIONAL RETAIL PTYS	NNN	12/24/2014°	40.28 ^h	40.2762	4/12/2017	44.85	44.8501	4.57	11.35	LONG
34		NNN	12/24/2014°	1,369.39 ^h	40.2762	6/22/2018	1,475.23	43.3900	105.84	7.73	LONG
11		NNN	10/2/2017°	460.43 ^h	41.8569	6/22/2018	477.29	43.3900	16.86	3.66	SHORT
				1,870.10			1,997.37		127.27		
2	NEW MOUNTAIN FINANCE CRP	NMFC	12/24/2014°	29.80 ^h	14.8985	4/12/2017	29.55	14.7750	-0.25	-0.84	LONG
99		NMFC	12/24/2014°	1,474.93 ^h	14.8985	6/22/2018	1,327.26	13.4068	-147.67	-10.01	LONG
				1,504.73			1,356.81		-147.92		
2	PEOPLE'S UNITED FINANCL	PBCT	12/24/2014°	30.51 ^h	15.2532	4/16/2018	37.11	18.5634	6.60	21.63	LONG
71		PBCT	12/24/2014°	1,082.97 ^h	15.2532	6/22/2018	1,332.24	18.7642	249.27	23.02	LONG
52		PBCT	8/10/2016°	801.39 ^h	15.4113	6/22/2018	975.73	18.7642	174.34	21.75	LONG
				1,914.87			2,345.08		430.21		
1	Pfizer Incorporated	PFE	3/1/2018°	35.49 ^h	35.4822	4/16/2018	36.51	36.5100	1.02	2.87	SHORT
48		PFE	3/1/2018°	1,703.14 ^h	35.4822	6/22/2018	1,755.82	36.5800	52.68	3.09	SHORT
				1,738.63			1,792.33		53.70		
10	PIMCO 0-5 YEAR HY ETF	HYS	7/6/2016°	966.74 ^h	96.6740	6/22/2018	1,002.34	100.2350	35.60	3.68	LONG
1	PNC FINL PREP VAR P PFD	PNC.P	12/24/2014 ^h	27.58 ^h	27.5726	4/16/2018	27.56	27.5621	-0.02	-0.07	LONG
33		PNC.P	12/24/2014 ^h	909.86 ^h	27.5726	6/22/2018	896.93	27.1801	-12.95	-1.42	LONG
				937.46			924.49		-12.97		
4	PROCTER & GAMBLE CO	PG	1/29/2015°	342.54 ^h	85.6333	9/28/2017	363.62	90.9085	21.08	6.15	LONG
1		PG	1/29/2015°	85.64 ^h	85.6333	4/16/2018	78.49	78.4930	-7.15	-8.35	LONG
4		PG	1/29/2015°	342.52 ^h	85.6333	6/22/2018	309.85	77.4642	-32.67	-9.54	LONG
5		PG	2/5/2015°	432.53 ^h	86.5051	6/22/2018	387.31	77.4642	-45.22	-10.45	LONG
5		PG	3/10/2015°	409.92 ^h	81.9840	6/22/2018	387.32	77.4642	-22.60	-5.51	LONG
10		PG	6/11/2015°	795.05 ^h	79.5054	6/22/2018	774.64	77.4642	-20.41	-2.57	LONG
				2,408.20			2,301.23		-106.97		
1	PROGRESSIVE CORP OHIO	PGR	7/16/2015°	30.28 ^h	30.2705	4/16/2018	61.02	61.0234	30.74	101.52	LONG

Closed Holdings

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain	Term
38	PGR	PGR	7/16/2015 ^o	1,150.27 ⁿ <u>1,180.55</u>	30.2705	6/22/2018	2,321.01	61.0800	1,170.74	101.78	LONG
5	PWRSHR HY CORP BD ETF	73936T-55-7	7/30/2015 ^o	92.93 ⁿ	18.5854	4/12/2017	94.40	18.8800	1.47	1.58	LONG
2	73936T-55-7	73936T-55-7	7/30/2015 ^o	37.18 ⁿ <u>130.11</u>	18.5854	4/16/2018	37.32	18.6600	0.14	0.38	LONG
5	PWRSHR PREFERRED PORT ETF	73936T-56-5	12/24/2014 ^c	72.78 ⁿ	14.5548	4/12/2017	74.70	14.9401	1.92	2.64	LONG
3	REINSURANCE GROUPE,2%PFD	RZA	12/24/2014 ⁿ	82.13 ⁿ	28.1226	1/31/2017	84.34	28.1131	2.21	2.62	LONG
7	RZA	RZA	12/24/2014 ⁿ	190.98 ⁿ	28.1226	4/26/2017	205.01	29.2876	14.03	7.13	LONG
2	RZA	RZA	12/24/2014 ⁿ	54.37 ⁿ	28.1226	8/2/2017	58.91	29.4535	4.54	8.07	LONG
23	RZA	RZA	12/24/2014 ⁿ	617.24 ⁿ <u>944.72</u>	28.1226	6/22/2018	631.28	27.4476	14.04	2.17	LONG
1	ROCKWELL AUTOMATION INC	ROK	12/24/2014 ^c	113.20 ⁿ	113.1863	4/16/2018	174.22	174.2204	61.02	53.90	LONG
6	ROK	ROK	12/24/2014 ^c	679.17 ⁿ	113.1863	6/22/2018	1,014.00	169.0034	334.83	49.30	LONG
5	ROK	ROK	3/10/2015 ^c	556.49 ⁿ	111.2983	6/22/2018	845.01	169.0034	288.52	51.85	LONG
3	ROK	ROK	2/19/2016 ^c	305.00 ⁿ <u>1,653.86</u>	101.6657	6/22/2018	507.01	169.0034	202.01	66.23	LONG
37	SPDR BLOOMBERG HY ETF	JNK	4/7/2017 ^o	1,362.38 ⁿ	36.8212	6/22/2018	1,327.32	35.8742	-35.06	-2.57	LONG
30	JNK	JNK	11/20/2017 ^c	1,104.85 ⁿ	36.8282	6/22/2018	1,076.21	35.8742	-28.64	-2.59	SHORT
39	JNK	JNK	1/24/2018 ^c	1,439.90 ⁿ <u>3,907.13</u>	36.9205	6/22/2018	1,399.09	35.8742	-40.81	-2.83	SHORT
19	SPIRE INC	SR	9/23/2016 ^c	1,246.22 ⁿ	65.5905	6/22/2018	1,353.02	71.2125	106.80	8.57	LONG
5	SR	SR	11/2/2016 ^c	305.58 ⁿ <u>1,551.80</u>	61.1168	6/22/2018	356.06	71.2125	50.48	16.52	LONG
5	TCP CAPITAL CORP	87238Q-10-3	12/24/2014 ^c	81.59 ⁿ	16.3180	2/1/2017	82.45	16.4896	0.86	1.05	LONG
7	87238Q-10-3	87238Q-10-3	12/24/2014 ^c	114.23 ⁿ	16.3180	2/21/2017	119.61	17.0865	5.38	4.71	LONG
8	87238Q-10-3	87238Q-10-3	12/24/2014 ^c	130.55 ⁿ	16.3180	4/18/2017	138.28	17.2855	7.73	5.92	LONG
12	87238Q-10-3	87238Q-10-3	12/24/2014 ^c	195.82 ⁿ	16.3180	2/7/2018	177.58	14.7985	-16.24	-9.31	LONG
85	87238Q-10-3	87238Q-10-3	12/24/2014 ^c	1,387.00 ⁿ <u>1,909.19</u>	16.3180	6/22/2018	1,227.24	14.4384	-159.76	-11.52	LONG
1	TPG SPECIALTY LENDING	TSIX	12/24/2014 ^c	16.92 ⁿ	16.9130	4/12/2017	20.56	20.5600	3.64	21.51	LONG
1	TSIX	TSIX	12/24/2014 ^c	16.92 ⁿ	16.9130	4/16/2018	17.70	17.7041	0.78	4.61	LONG
8	TSIX	TSIX	12/24/2014 ^c	135.29 ⁿ	16.9130	6/22/2018	143.68	17.9604	8.39	6.20	LONG
61	TSIX	TSIX	1/21/2015 ^c	1,040.73 ⁿ	17.0611	6/22/2018	1,095.57	17.9604	54.84	5.27	LONG

Closed Holdings

Wednesday, September 03, 2016
ASVK MAHN/GOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss	Term
1	US BANCORP NEW	USB	6/1/2015 ^c	44.95 ⁿ	44.9455	4/12/2017	50.63	50.6250	5.68	12.64	LONG
23	USB	USB	6/1/2015 ^c	1,033.74 ⁿ	44.9455	6/22/2018	1,177.91	51.2142	144.17	13.95	LONG
10	USB	USB	2/19/2016 ^c	395.40 ⁿ	39.5404	6/22/2018	512.14	51.2142	116.74	29.52	LONG
				1,474.09			1,740.68		266.59		
32	US BANCORP PERP VAR PFD	USB.M	12/24/2014 ⁿ	945.08 ⁿ	29.5341	6/22/2018	895.25	27.9769	-49.83	-5.27	LONG
35	VANGUARD INTRMID TRM VCIT ETF	VCIT	11/20/2015 ^c	2,983.29 ⁿ	85.2369	6/22/2018	2,913.52	83.2447	-69.77	-2.34	LONG
15	VANGUARD SHRT TRM CP VC5H ETF	VC5H	8/1/2016 ^c	1,211.85 ⁿ	80.7900	6/22/2018	1,170.34	78.0242	-41.51	-3.43	LONG
18	VC5H	VC5H	12/2/2016 ^c	1,427.40 ⁿ	79.3000	6/22/2018	1,404.43	78.0242	-22.97	-1.61	LONG
				2,639.23			2,574.77		-64.46		
1	VERIZON COMMUNICATIONS	VZ	12/24/2014 ^c	47.55 ⁿ	47.5451	4/12/2017	48.71	48.7146	1.16	2.44	LONG
21	VZ	VZ	12/24/2014 ^c	998.44 ⁿ	47.5451	6/22/2018	1,040.82	49.5633	42.38	4.24	LONG
				1,045.99			1,089.53		43.54		
1	VF CORPORATION	VFC	11/24/2015 ^c	66.26 ⁿ	66.2558	4/16/2018	77.85	77.8542	11.59	17.49	LONG
13	VFC	VFC	11/24/2015 ^c	861.32 ⁿ	66.2558	6/22/2018	1,071.96	82.4600	210.64	24.46	LONG
5	VFC	VFC	2/19/2016 ^c	295.01 ⁿ	59.0025	6/22/2018	412.29	82.4600	117.28	39.75	LONG
7	VFC	VFC	10/13/2016 ^c	380.51 ⁿ	54.3581	6/22/2018	577.22	82.4600	196.71	51.70	LONG
6	VFC	VFC	12/2/2016 ^c	335.97 ⁿ	55.9944	6/22/2018	494.76	82.4600	158.79	47.26	LONG
				1,939.07			2,634.08		695.01		
1	WALMART INC	WMT	12/24/2014 ^c	86.99 ⁿ	86.9851	4/16/2018	87.25	87.2542	0.26	0.30	LONG
17	WMT	WMT	12/24/2014 ^c	1,478.74 ⁿ	86.9851	6/22/2018	1,439.92	84.7023	-38.82	-2.63	LONG
4	WMT	WMT	7/6/2016 ^c	295.02 ⁿ	73.7553	6/22/2018	338.81	84.7023	43.79	14.84	LONG
				1,860.75			1,865.98		5.23		
10	WATSCO INC	WSO	12/24/2014 ^c	1,072.20 ⁿ	107.2199	6/22/2018	1,827.87	182.7900	755.67	70.48	LONG
4	WSO	WSO	3/10/2015 ^c	468.86 ⁿ	117.2161	6/22/2018	731.15	182.7900	262.29	55.94	LONG
2	WSO	WSO	10/24/2016 ^c	285.69 ⁿ	142.8474	6/22/2018	365.58	182.7900	79.89	27.96	LONG
				1,826.75			2,924.60		1,097.85		
1	XCEL ENERGY INC	XEL	2/5/2016 ^c	39.38 ⁿ	39.3732	4/12/2017	44.84	44.8444	5.46	13.86	LONG
36	XEL	XEL	2/5/2016 ^c	1,417.43 ⁿ	39.3732	6/22/2018	1,584.78	44.0223	167.35	11.81	LONG
				1,456.81			1,629.62		172.81		

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized % Gain or Loss	Term
Report Summary				89,344.69			82,534.93		4,190.24	

Realized Gains or Losses	
Short Term	-498.90
Long Term	4,689.14

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.
Noncovered Tax Lot- Cost information for this tax lot is not covered by IRS reporting requirements.
Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.
CSI -Cash Standing Instructions indicates the disposition of stock and money on cash accounts
MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

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Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 05, 2018
ASVK MAHNGOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN
208 BARRINGTON PL
FESTUS MO 63028-5475

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Short											
10	ISHARES MBS	ETF	2/28/2018 ^e	1,043.73 ⁿ		104.3727	6/22/2018	1,037.71	103.7725	-6.02	-0.58
5	ISHARES MBS	ETF	2/28/2018 ^e	521.86 ⁿ		104.3727	6/22/2018	518.86	103.7725	3.00	-0.57
37	INVECO TR II PFD	ETF	1/24/2018 ^e	541.87 ⁿ		14.6451	6/22/2018	537.03	14.5142	-4.84	-0.89
1	JOHNSON & JOHNSON	JNJ	7/14/2017 ^e	132.48 ⁿ		132.4725	4/16/2018	132.01	132.0142	-0.47	-0.35
9	JOHNSON & JOHNSON	JNJ	7/14/2017 ^e	1,192.25 ⁿ		132.4725	6/22/2018	1,106.35	122.9285	-85.90	-7.20
37	MATTEL INC	MAT	10/21/2016 ^e	1,205.00 ⁿ		32.5677	5/4/2017	805.22	21.7632	-399.78	-33.18
11	NATIONAL RETAIL PTYS	NNN	10/2/2017 ^e	460.43 ⁿ		41.8569	6/22/2018	477.29	43.3900	16.86	3.66
1	PRIZER INCORPORATED	PFE	3/1/2018 ^e	35.48 ⁿ		35.4822	4/16/2018	36.51	36.5100	1.02	2.87
48	PRIZER INCORPORATED	PFE	3/1/2018 ^e	1,703.14 ⁿ		35.4822	6/22/2018	1,755.82	36.5800	52.68	3.09
30	SPDR BLOOMBERG HY	JNK	11/20/2017 ^e	1,104.85 ⁿ		36.8282	6/22/2018	1,076.21	35.8742	-28.64	-2.59
39	SPDR BLOOMBERG HY	JNK	1/24/2018 ^e	1,439.90 ⁿ		36.9205	6/22/2018	1,399.09	35.8742	-40.81	-2.83
				9,381.00				8,882.10		-498.90	

Long											
1	AIR PRODUCTS & CHEMICALS	APD	4/28/2016 ^e	136.97 ⁿ		136.9664	4/16/2018	164.69	164.6894	27.72	20.24
10	AIR PRODUCTS & CHEMICALS	APD	4/28/2016 ^e	1,369.66 ⁿ		136.9664	6/22/2018	1,576.74	157.6770	207.08	15.12
3	AIR PRODUCTS & CHEMICALS	APD	7/6/2016 ^e	380.50 ⁿ		126.8315	6/22/2018	473.03	157.6770	92.53	24.32
3	ALERIAN MLP	AMLP	12/24/2014 ^e	52.47 ⁿ		17.4899	4/12/2017	38.05	12.6828	-14.42	-27.48
10	ALERIAN MLP	AMLP	12/24/2014 ^e	174.90 ⁿ		17.4899	4/16/2018	99.80	9.9800	-75.10	-42.94
240	ALERIAN MLP	AMLP	12/24/2014 ^e	4,197.56 ⁿ		17.4899	6/22/2018	2,487.44	10.3645	-1,710.12	-40.74
65	ALERIAN MLP	AMLP	3/10/2015 ^e	1,075.14 ⁿ		16.5406	6/22/2018	673.69	10.3645	-401.45	-37.34
1	AMERICAN ELECTRIC POWER	AEP	12/24/2014 ^e	61.14 ⁿ		61.1360	4/12/2017	67.70	67.6960	6.56	10.73
16	AMERICAN ELECTRIC POWER	AEP	12/24/2014 ^e	978.17 ⁿ		61.1360	6/22/2018	1,079.25	67.4540	101.08	10.33
12	ANHEUSER BUSCH INBEV ADR	BUD	4/18/2016 ^e	1,534.09 ⁿ		127.8409	3/1/2018	1,312.49	109.3764	-221.60	-14.45
1	CRACKER BARREL OLD CNTRY	CBRL	12/24/2014 ^e	138.37 ⁿ		138.3629	4/16/2018	164.79	164.7876	26.42	19.09
8	CRACKER BARREL OLD CNTRY	CBRL	12/24/2014 ^e	1,106.89 ⁿ		138.3629	6/22/2018	1,331.98	166.5000	225.09	20.34
2	CRACKER BARREL OLD CNTRY	CBRL	2/19/2016 ^e	278.42 ⁿ		139.2118	6/22/2018	332.99	166.5000	54.57	19.60
3	CRACKER BARREL OLD CNTRY	CBRL	10/14/2016 ^e	400.96 ⁿ		133.6530	6/22/2018	499.50	166.5000	98.54	24.58
1	CISCO SYS INC	CSCO	12/24/2014 ^e	28.58 ⁿ		28.5753	4/12/2017	32.58	32.5843	4.00	14.00
1	CISCO SYS INC	CSCO	12/24/2014 ^e	28.58 ⁿ		28.5753	4/16/2018	43.35	43.3474	14.77	51.68
49	CISCO SYS INC	CSCO	12/24/2014 ^e	1,400.18 ⁿ		28.5753	6/22/2018	2,115.79	43.1800	715.61	51.11
12	EDISON INTL	EIX	1/29/2015 ^e	821.66 ⁿ		68.4720	6/22/2018	736.84	61.4046	-84.82	-10.32
10	EDISON INTL	EIX	1/19/2016 ^e	600.93 ⁿ		60.0928	6/22/2018	614.04	61.4046	13.11	2.18
1	GLOBAL X FUND	MLPA	12/24/2014 ^e	15.99 ⁿ		15.9900	4/12/2017	11.58	11.5778	-4.41	-27.58
6	GLOBAL X FUND	MLPA	12/24/2014 ^e	95.94 ⁿ		15.9900	4/16/2018	53.88	8.9800	-42.06	-43.84

Schedule of Realized Gains and Losses

Wednesday, September 03, 2018
ASVK MAHN/GOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFOS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long - Continued											
22	GLOBAL X FUND	ETF	12/24/2014	351.78		15.9900	6/22/2018	204.68	9.3042	-147.10	-41.82
107	GLOBAL X FUND	ETF	3/10/2015	1,630.04		15.2340	6/22/2018	995.54	9.3042	-634.50	-38.93
34	GLOBAL X FUND	ETF	9/23/2016	391.49		11.5143	6/22/2018	316.34	9.3042	-75.15	-19.20
4	GRAINGER W W INC	GWV	12/24/2014	1,033.60		258.4000	1/5/2018	950.61	237.6595	-82.99	-8.03
3	GRAINGER W W INC	GWV	2/4/2015	719.98		239.9918	1/5/2018	712.97	237.6595	-7.01	-0.97
11	HOME DEPOT INC	HD	12/24/2014	1,144.64		104.0566	6/22/2018	2,195.60	199.6027	1,050.96	91.82
4	HOME DEPOT INC	HD	7/16/2015	459.15		114.7879	6/22/2018	798.40	189.6027	339.25	73.89
11	ISHARES IBOX&INVT	ETF	11/1/2016	1,324.48		120.4075	6/22/2018	1,253.79	113.9825	-70.69	-5.34
3	ISHARES IBOX&INVT	ETF	12/2/2016	349.04		116.3464	6/22/2018	341.95	113.9825	-7.09	-2.03
13	ILL TOOL WORKS INC	ITW	12/24/2014	1,265.60		97.3541	6/22/2018	1,851.89	142.4552	586.29	46.33
3	ILL TOOL WORKS INC	ITW	2/19/2016	286.49		95.4966	6/22/2018	427.36	142.4552	140.87	49.17
122	INVESCO TR II PFD	ETF	12/24/2014	1,775.69		14.5548	6/22/2018	1,770.70	14.5142	-4.98	-0.28
159	INVESCO TR II PFD	ETF	3/10/2015	2,354.47		14.8080	6/22/2018	2,307.73	14.5142	-46.74	-1.99
28	INVESCO TR II PFD	ETF	2/19/2016	379.53		14.5973	6/22/2018	377.36	14.5142	-2.17	-0.57
131	INVESCO II FNDMNTL	ETF	7/30/2015	2,434.68		18.5854	6/22/2018	2,414.84	18.4342	-19.84	-0.81
22	INVESCO II FNDMNTL	ETF	2/19/2016	372.02		16.9101	6/22/2018	405.54	18.4342	33.52	9.01
128	INVESCO II FNDMNTL	ETF	2/24/2016	2,179.38		17.0264	6/22/2018	2,359.55	18.4342	180.17	8.27
97	INVESCO II FNDMNTL	ETF	7/6/2016	1,789.46		18.4480	6/22/2018	1,788.11	18.4342	-1.35	-0.08
1	ISHARES CORE US AGG	AGG	1/19/2016	108.82		108.8194	4/12/2017	109.01	109.0140	0.19	0.17
13	ISHARES CORE US AGG	AGG	1/19/2016	1,414.65		108.8194	6/22/2018	1,376.68	105.9001	-37.97	-2.68
22	ISHARES CORE US AGG	AGG	1/29/2016	2,406.18		109.3720	6/22/2018	2,329.77	105.9001	-76.41	-3.18
10	KIMBERLY-CLARK CORP	KMB	12/24/2014	1,183.96		118.3965	6/22/2018	1,014.09	101.4100	-169.87	-14.35
1	LAMAR ADVERTISING CO NEW	LAMR	12/24/2014	54.28		54.2706	4/12/2017	73.24	73.2417	18.96	34.93
22	LAMAR ADVERTISING CO NEW	LAMR	12/24/2014	1,193.94		54.2706	6/22/2018	1,540.64	70.0300	346.70	29.04
1	NATIONAL RETAIL PTYS	NNN	12/24/2014	40.28		40.2762	4/12/2017	44.85	44.8501	4.57	11.35
34	NATIONAL RETAIL PTYS	NNN	12/24/2014	1,369.39		40.2762	6/22/2018	1,475.23	43.3900	105.84	7.73
2	NEW MOUNTAIN FINANCE CRP	NMFC	12/24/2014	29.80		14.8985	4/12/2017	29.55	14.7750	-0.25	-0.84
99	NEW MOUNTAIN FINANCE CRP	NMFC	12/24/2014	1,474.93		14.8985	6/22/2018	1,327.26	13.4068	-147.67	-10.01
1	PNC FINL PREP VAR P PFD	PNC.P	12/24/2014	27.58		27.5726	4/16/2018	27.56	27.5621	-0.02	-0.07
33	PNC FINL PREP VAR P PFD	PNC.P	12/24/2014	909.88		27.5726	6/22/2018	896.93	27.1801	-12.95	-1.42
2	PEOPLES UNITED FINANCL	PBCT	12/24/2014	30.51		15.2532	4/16/2018	37.11	18.5534	6.60	21.63
71	PEOPLES UNITED FINANCL	PBCT	12/24/2014	1,082.97		15.2532	6/22/2018	1,332.24	18.7642	249.27	23.02
62	PEOPLES UNITED FINANCL	PBCT	8/10/2016	801.39		15.4113	6/22/2018	975.73	18.7642	174.34	21.75
10	PIMCO 0-5 YEAR HY	HYS	7/6/2016	966.74		96.6740	6/22/2018	1,002.34	100.2350	35.60	3.68
5	PWRSRHR PREFERRED PORT	ETF	12/24/2014	72.78		14.5548	4/12/2017	74.70	14.9401	1.92	2.64
5	PWRSRHR HY CORP BD	ETF	7/30/2015	92.93		18.5854	4/12/2017	94.40	18.8800	1.47	1.58

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 03, 2018
ASVK MAHN/GOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain of Loss
Long - Continued											
2	PWRSHR HY CORP BD ETF	73936T-55-7	7/30/2015	37.18		18.5854	4/16/2018	37.32	18.6600	0.14	0.38
4	PROCTER & GAMBLE CO	PG	1/29/2015	342.54		85.6333	9/28/2017	363.62	90.9085	21.08	6.15
1	PROCTER & GAMBLE CO	PG	1/29/2015	85.64		85.6333	4/16/2018	78.49	78.4930	-7.15	-8.35
4	PROCTER & GAMBLE CO	PG	1/29/2015	342.52		85.6333	6/22/2018	309.85	77.4642	-32.67	-9.54
5	PROCTER & GAMBLE CO	PG	2/5/2015	432.53		86.5051	6/22/2018	387.31	77.4642	-45.22	-10.45
5	PROCTER & GAMBLE CO	PG	3/10/2015	409.92		81.9840	6/22/2018	387.32	77.4642	-22.60	-5.51
10	PROCTER & GAMBLE CO	PG	6/11/2015	795.05		79.5054	6/22/2018	774.64	77.4642	-20.41	-2.57
1	PROGRESSIVE CORP OHIO	PGR	7/16/2015	30.28		30.2705	4/16/2018	61.02	61.0234	30.74	101.52
38	PROGRESSIVE CORP OHIO	PGR	7/16/2015	1,150.27		30.2705	6/22/2018	2,321.01	61.0800	1,170.74	101.78
3	REINSURANCE GROUP6.2%PFD	RZA	12/24/2014	84.37	82.13	28.1226	1/31/2017	84.34	28.1131	2.21	2.62
7	REINSURANCE GROUP6.2%PFD	RZA	12/24/2014	196.86	190.98	28.1226	4/26/2017	205.01	29.2876	14.03	7.13
2	REINSURANCE GROUP6.2%PFD	RZA	12/24/2014	56.25	54.37	28.1226	8/2/2017	58.91	29.4535	4.54	8.07
23	REINSURANCE GROUP6.2%PFD	RZA	12/24/2014	646.81	617.24	28.1226	6/22/2018	631.28	27.4476	14.04	2.17
1	ROCKWELL AUTOMATION INC	ROK	12/24/2014	113.20		113.1963	4/16/2018	174.22	174.2204	61.02	53.90
6	ROCKWELL AUTOMATION INC	ROK	12/24/2014	679.17		113.1963	6/22/2018	1,014.00	169.0034	334.83	49.30
5	ROCKWELL AUTOMATION INC	ROK	3/10/2015	556.49		111.2983	6/22/2018	845.01	169.0034	288.52	51.85
3	ROCKWELL AUTOMATION INC	ROK	2/19/2015	305.00		101.6657	6/22/2018	507.01	169.0034	202.01	66.23
1	TPG SPECIALTY LENDING	TSIX	12/24/2014	16.92		16.9130	4/12/2017	20.56	20.5600	3.64	21.51
1	TPG SPECIALTY LENDING	TSIX	12/24/2014	16.92		16.9130	4/16/2018	17.70	17.7041	0.78	4.61
8	TPG SPECIALTY LENDING	TSIX	12/24/2014	135.29		16.9130	6/22/2018	143.68	17.9604	8.39	6.20
61	TPG SPECIALTY LENDING	TSIX	1/21/2015	1,040.73		17.0611	6/22/2018	1,095.57	17.9604	54.84	5.27
19	SPIRE INC	SR	9/23/2015	1,246.22		65.5905	6/22/2018	1,353.02	71.2125	106.80	8.57
5	SPIRE INC	SR	11/2/2015	305.58		61.1168	6/22/2018	356.06	71.2125	50.48	16.52
37	SPDR BLOOMBERG HY ETF	JNK	4/7/2017	1,362.38		36.8212	6/22/2018	1,327.32	35.8742	-35.06	-2.57
5	TCP CAPITAL CORP	87238Q-10-3	12/24/2014	81.59		16.3180	2/1/2017	82.45	16.4896	0.86	1.05
7	TCP CAPITAL CORP	87238Q-10-3	12/24/2014	114.23		16.3180	2/21/2017	119.61	17.0865	5.38	4.71
8	TCP CAPITAL CORP	87238Q-10-3	12/24/2014	130.55		16.3180	4/18/2017	138.28	17.2855	7.73	5.92
12	TCP CAPITAL CORP	87238Q-10-3	12/24/2014	195.82		16.3180	2/7/2018	177.58	14.7985	-18.24	-9.31
85	TCP CAPITAL CORP	87238Q-10-3	12/24/2014	1,387.00		16.3180	6/22/2018	1,227.24	14.4384	-159.76	-11.52
32	US BANCORP PERP VAR PFD	USB.M	12/24/2014	945.08		29.5341	6/22/2018	895.25	27.9769	-49.83	-5.27
1	US BANCORP NEW	USB	6/11/2015	44.95		44.9455	4/12/2017	50.63	50.6250	5.68	12.64
23	US BANCORP NEW	USB	6/11/2015	1,033.74		44.9455	6/22/2018	1,177.91	51.2142	144.17	13.95
10	US BANCORP NEW	USB	2/19/2015	395.40		39.5404	6/22/2018	512.14	51.2142	116.74	29.52
1	VF CORPORATION	VFC	11/24/2015	66.26		66.2558	4/16/2018	77.85	77.8542	11.59	17.49
13	VF CORPORATION	VFC	11/24/2015	861.32		66.2558	6/22/2018	1,071.96	82.4600	210.64	24.46
5	VF CORPORATION	VFC	2/19/2015	295.01		59.0025	6/22/2018	412.29	82.4600	117.28	39.75

Schedule of Realized Gains and Losses

01/01/2017 - 09/05/2018

Wednesday, September 03, 2018
ASVK MAHN/GOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
Long - Continued											
7	VF CORPORATION	VFC	10/13/2016	380.51		54.3561	6/22/2018	577.22	82.4600	196.71	51.70
6	VF CORPORATION	VFC	12/2/2016	335.97		55.9944	6/22/2018	494.76	82.4600	158.79	47.26
35	VANGUARD INTRMKT TRM ETF	VCIT	11/20/2015	2,983.29		85.2389	6/22/2018	2,913.52	83.2447	-69.77	-2.34
15	VANGUARD SHRT TRM CP ETF	VCSH	8/11/2016	1,211.85		80.7900	6/22/2018	1,170.34	78.0242	-41.51	-3.43
18	VANGUARD SHRT TRM CP ETF	VCSH	12/2/2016	1,427.40		79.3000	6/22/2018	1,404.43	78.0242	-22.97	-1.61
1	VERIZON COMMUNICATIONS	VZ	12/24/2014	47.55		47.5451	4/12/2017	48.71	48.7146	1.16	2.44
21	VERIZON COMMUNICATIONS	VZ	12/24/2014	998.44		47.5451	6/22/2018	1,040.82	49.5633	42.38	4.24
1	WALMART INC	WMT	12/24/2014	86.99		86.9851	4/16/2018	87.25	87.2542	0.26	0.30
17	WALMART INC	WMT	12/24/2014	1,478.74		86.9851	6/22/2018	1,439.92	84.7023	-38.82	-2.63
4	WALMART INC	WMT	7/6/2016	295.02		73.7553	6/22/2018	338.81	84.7023	43.79	14.84
10	WATSCO INC	WSO	12/24/2014	1,072.20		107.2199	6/22/2018	1,827.87	182.7900	755.67	70.48
4	WATSCO INC	WSO	3/10/2015	468.86		117.2161	6/22/2018	731.15	182.7900	262.29	55.94
2	WATSCO INC	WSO	10/24/2016	285.69		142.8474	6/22/2018	365.58	182.7900	79.89	27.96
1	XCEL ENERGY INC	XEL	2/5/2016	39.38		39.3732	4/12/2017	44.84	44.8444	5.46	13.86
36	XCEL ENERGY INC	XEL	2/5/2016	1,417.43		39.3732	6/22/2018	1,584.78	44.0223	167.35	11.81
1,000	ANHEUSER-2.15% 020119	035242-AE-6	12/24/2014	1,005.38	1,001.25	100.5380	2/27/2018	995.58	99.5580	-5.67	-0.56
2,000	GENERAL ELE 5% PERPTL	369604-BQ-5	7/12/2016	2,154.00		107.7000	6/22/2018	1,945.30	97.2650	-208.70	-9.69
				80,007.39	1,945.97			84,652.83			
										4,688.14	

Schedule of Realized Gains and Losses

Wednesday, September 05, 2018
ASVK MAHN/GOWEN

Prepared For:
7220-1842
KATHRYN G ELLIS (IRA)
WIFCS AS CUSTODIAN

Quantity	Name	Security	Open Date	Cost Amount	Adjusted Cost Amount	Trade Price	Close Date	Close Amt	Close Price	Realized	% Gain or Loss
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Report Summary				89,388.39	1,945.97			93,534.93		4,190.24	
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Realized Gains or Losses	
Short Term	-498.90
Long Term	4,689.14

Legend	
(*) Security Held Away	
Open Date	Cost Amount
C - Covered Tax Lot	E - Pre Effective
N - Noncovered Tax Lot	O - Post Effective
M - Net Row Contains Both Covered and Noncovered Tax Lots	N - Not Average Cost
W - Open Date Reflects Wash Sale Date	M - Net Row Contains Tax Lots with Multiple Elections

Covered Tax Lot- Cost information for this tax lot is covered by IRS reporting requirements.
Noncovered Tax Lot- Cost information for this tax lot is not covered by IRS reporting requirements.
Wash Sale Date- The cost for this tax lot has been adjusted due to wash sale activity as defined by the IRS regulations.
CSI -Cash Standing Instructions Indicates the disposition of stock and money on cash accounts
MMF Code -The MMF Code in the report header indicates how money market account balances are treated.

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Wells Fargo Clearing Services, LLC, Member SIPC is a registered broker-dealer and a separate non-bank affiliates of Wells Fargo & Company.

September 5, 2018

Portfolio Report

Prepared for:
Kathy Ellis

Prepared by:
Kyle J. Gowen, MBA
Financial Advisor
Mahn Wealth Management Group of Wells Fargo Advisors

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Investment and Insurance Products:

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Accounts Included in the Report

XXXXX9642	ELLIS KATHRYN G	Taxable	Internal	9/4/2018
XXXXX2136	ELLIS KATHRYN 401K	Deferred	Internal	9/4/2018
XXXXX4833	ELLIS KATHRYN G	Deferred	Internal	9/4/2018
XXXXX1842	ELLIS KATHRYN G	Deferred	Internal	9/4/2018
XXXXX5983	ELLIS KATHRYN G	Deferred	Internal	9/4/2018
XXXXX0743	ELLIS KATHRYN G	Deferred	Internal	9/4/2018

Corporate Bond	45	432	648	436	301	925	349	431	596	417	281	631	326	5,774
Market Linked	1													0
Equity Fund	10			1,982			566			882			566	3,986
Bond Fund	7	702	702	702	702	702	702	702	702	702	702	702	702	8,419
Multi-Asset Fund	1	345	345	345	345	345	345	345	345	345	345	345	345	4,137
Federal	12	20	248	116		231	1,335	20	248	35		231	1,335	3,818
Government Bond	10	20	248	116		231	241	20	248	35		231	241	1,629
Municipal Bond	2						1,094						1,094	2,189
Unassigned	5	0	0	0	0	0	0	0	0	0	0	0	0	0
Not Available	5	0	0	0	0	0	0	0	0	0	0	0	0	0
Cash Alternative	5	0	0	0	0	0	0	0	0	0	0	0	0	0
Portfolio Estimated Income Total	133	1,653	2,177	4,075	1,502	2,413	3,791	1,709	2,126	2,875	1,482	2,119	3,768	29,691

Total Portfolio Value as of 09/04/18: \$ 1,126,938

This report is not a substitute for official tax reporting. This report separates the holdings, first based on the taxability at the account level. The secondary aggregation is Tax Type which is used to aggregate securities based on their assumed taxability of income (interest and dividends) at the time of this report. Classifications are made by data sources we deem reliable; however, we do not guarantee the accuracy of these classifications. Most securities are subject to capital gains taxes and some may be considered in calculations for Alternative Minimum Tax (AMT) that are not so designated here. This report does not attempt to portray phantom income (income accreted or accrued but not paid) on discount or zero coupon securities. You should consult with your tax advisor regarding the taxability of your holdings.

The following tax type classifications may be included in this report: AMT - subject to Alternative Minimum Tax; Dividend - taxable as dividend income; Federal - income taxable by federal government (state and local income taxes may not apply); Non-Federal - income non-taxable by federal government but may be subject to state and local taxes; Taxable - income taxable by federal, state and local taxes; and Not Available - taxability undetermined.

Estimated Income Annualized (EIA) takes your current income, based on the current dividends or interest paid by your securities and multiplies it to create an annualized hypothetical figure. It does not reflect actual or future performance and should not be relied upon when making financial decisions. All annualizations are based upon current positions using a simple mathematical calculation and assumes all figures remain constant for a year; any subsequent position changes will affect these calculations. Calculations for certain types of securities could include a return of principal or capital gain, in which case the figures would be overstated. Past performance is not a guarantee of future results. Dividend payments are made solely at the discretion of the issuer and are subject to be changed or eliminated at any time. Estimated Income is an estimate and the actual income might be lower or higher than the estimated amount. Estimated Income reflects only the income generated by an investment. It does not reflect changes in price, which may fluctuate.

This report is not complete without the Understanding Your Portfolio report which contains important terms and definitions.

Understanding Your Portfolio

General Information

Investing involves risk, including the possible loss of principal. This report is intended to provide you with a descriptive overview of your current portfolio. The report may encompass one account or multiple accounts as specified in the report. The material has been prepared or is distributed solely for information purposes and does not supersede the proper use of your Wells Fargo Advisors client statements and/or trade confirmations, which are only indications of market values, rather than actual values. Any market prices are only indications of market values, rather than actual values. Reported prices should not be considered actual bids. For these reports, in certain groupings, Corporate Bonds includes Certificates of Deposit. Additionally, the report is prepared as of trade date, rather than settlement date, and may be prepared on a different date than your statement. The information contained in this report may not reflect all holdings or transactions, their costs, or proceeds in your account.

The report may also include information you provided about assets held at other firms. Information on assets held away from Wells Fargo Advisors was provided by you and may not be covered by SIPC. We have relied solely on information from you regarding those assets. We do not verify or confirm those assets held with other firms or affiliates and you are responsible for notifying your Financial Advisor of any changes in your externally held investments including cost basis or incomplete or inaccurate cost basis will affect your plan results because the tax assumptions are incorrect. Due to timing issues, if this report includes decisions please validate your account information with your Financial Advisor. The financial data used to generate this report is believed to be reliable, but not guaranteed. Security ID will be ticker symbol, CUSIP number, Security Number or, if you have not been verified, Security ID will be a generic asset classification type. We will assign a dash to Wells Fargo Advisors by third party vendors. While this information is believed to be reliable, it has not been verified. Security ID will be a generic asset classification type. For more detailed information, this report is not complete unless all pages noted are included. For more detailed information, including current pricing, call your Financial Advisor.

To the extent that this report includes fixed income securities, you should be aware that the descriptions of fixed income securities are general in nature and do not reflect important information that you should consider, such as but not limited to, yield, call features, credit quality, and various tax implications. Before making any decisions regarding fixed income investments, contact your advisor to obtain more detailed information regarding specific fixed income securities.

Asset classification of holdings in external accounts where classification is not readily available may be assigned to a multi-asset class category or reassigned into additional asset classes by your Financial Advisor which may not be the most accurate asset class based on the holding's characteristics and risk profile. It is your responsibility to review the asset classification for external accounts and notify us of any changes.

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External Accounts Included in Your Report

As a service, we may have included your assets and/or your liabilities held at other financial institutions. We assume no responsibility for the accuracy or completeness of the information you provided either to your Financial Advisor or through any third party aggregation service; however, there may be cases when updating prices is not possible. In addition, any transactions, values or changes in your external accounts will not be reflected unless you provide updated information to your Financial Advisor. In instances where you use a third party aggregation service, we rely on you to take action when notified by the third party service that updates are needed and accuracy and completeness of the information you provide may materially affect the results and any recommendations contained in this report.

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Individual retirement accounts are for general asset allocation advice. Individual retirement accounts is for general asset allocation advice.

Fixed Income Analysis

Municipal bonds: Under present federal income tax law, the interest income received from investing in tax-exempt municipal bonds is generally free from federal income taxes. While the interest income may be tax-free, capital gains, if any, will be subject to taxes. Income for some investors may be subject to the federal Alternative Minimum Tax (AMT). FNLMA pass-through securities, FHLMC Participation Certificates and FHLMC Gold Participation Certificates are fully guaranteed as to the timely payment of principal and interest by FNLMA. These securities are not backed by the full faith and credit of the U.S. Government. GNMA pass-through securities are backed by the full faith and credit of the U.S. Government. The market value of all these securities is guaranteed as to the timely payment of principal and interest by GNMA. GNMA's are backed by the full faith and credit of the U.S. Government. Treasuries are direct U.S. Government securities. Redemption is not guaranteed and may fluctuate. Treasuries are direct U.S. Government sponsored entities. Agencies include U.S. Government backed agencies and U.S. Government sponsored entities. Agencies include U.S. Government backed agencies and U.S. Government sponsored entities.

Asset Class Descriptions

The asset class descriptions below are included solely to provide insight into how individual securities are tied to specific asset classes. We use our best efforts to correctly classify investments. However, no warranty of accuracy is made.

Equity Investments: Equity investments refer to buying stocks of United States companies. The investment return to the owner of stock (shareholder) is in the form of dividends and/or capital appreciation. The market capitalization of companies is used to group large, medium (Mid), and small companies. Shareholders share in both the upside potential and the downside risk.

Capitalization: Market capitalization definitions differ but one example of capitalization methodology is that of Morningstar, which defines "large-capitalization" stocks as those stocks that form the top 70% of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index (a diversified broad market index that represents approximately 97% of the market capitalization of publicly traded U.S. Stocks). The Morningstar Index methodology defines "mid-capitalization" stocks as those stocks that form the 20% of market capitalization between the 70th and 90th percentile of the market capitalization and "small-capitalization" stocks as those stocks that form the 7% of market capitalization between the 90th and 97th percentile of the market capitalization of the stocks eligible to be included in the Morningstar US Market Index.

Investing in small and mid-cap companies involve additional risks such as limited liquidity and greater volatility.

Fixed Income Securities (Bonds): Bonds are promissory notes of a United States corporation or federal government entity (taxable bonds) or a state or local government entity (tax-exempt or municipal bonds). Bonds usually make a series of interest payments followed by a return of principal at maturity. If sold prior to maturity, the price that can be obtained for a bond may be more or less than face value, depending on interest rates at the time the bond is sold and the remaining term of the bond.

Fixed income securities include Treasuries (i.e., public obligations of the U.S. Treasury that have remaining maturities of more than one year), government-related issues (i.e., agency, sovereign, supranational, and local authority debt), and corporate bonds.

Investments in fixed-income securities are subject to market, interest rate, credit/default, inflation and other risks. Bond prices fluctuate inversely to changes in interest rates. Therefore, a general rise in interest rates can result in the decline in the bond's price. Lower rated securities are speculative and involve greater risk of default.

Term: Short-term bonds have effective maturities of five years or less, intermediate bonds have effective maturities between five and ten years, and long-term bonds have maturities of ten years or longer.

Income from tax exempt bonds is generally free from federal and state taxes for residents of the issuing state. While the interest income is tax-free, capital gains if any are subject to taxes. Income of certain tax-exempt bonds may be subject to the Federal Alternative Minimum Tax (AMT).

Real Assets: Real Assets includes Public Real Estate, Private Real Estate and Commodities. Public Real Estate includes listed real-estate companies and equity and mortgage REITs. A REIT combines the capital of many investors to either acquire or provide financing for real estate. Private Real Estate is an investment that uses an active management strategy consisting of both direct and secondary ownership of equity and debt interests in various types of real property. Commodities are usually agricultural products such as corn, livestock, coffee and cocoa or metals such as gold, copper and silver, or energy products such as oil and natural gas. Commodities can either be sold on the spot market for immediate delivery or on the commodities exchanges for later delivery. Trade on commodities exchanges is usually in the form of future contracts.

There are special risks associated with an investment in real estate, including possible illiquidity of the underlying properties, credit risk, interest rate fluctuations and the impact of varied economic conditions. Mortgage REITs will be subject to interest rate fluctuations and to the spread between short-term and long-term bond rates. Privately offered real estate funds are speculative and involve a high degree of risk. Investments in real estate have special risks, including the possible illiquidity of the underlying properties, credit risk, interest rate fluctuations, and the impact of varied economic conditions. There can be no assurance a secondary market will exist and there may be restrictions on transferring interests. The commodities markets are considered speculative, carry substantial risks, and have experienced periods of extreme volatility.

Multi-Class: This category is primarily used to classify investments that include a substantial amount of both equity and fixed income investments, or some other combination of classes.

Cash Alternatives: Cash Alternatives include liquid, short term and interest bearing investments. Examples are Treasury bills and commercial paper. It is possible to lose money by investing in cash alternatives.

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